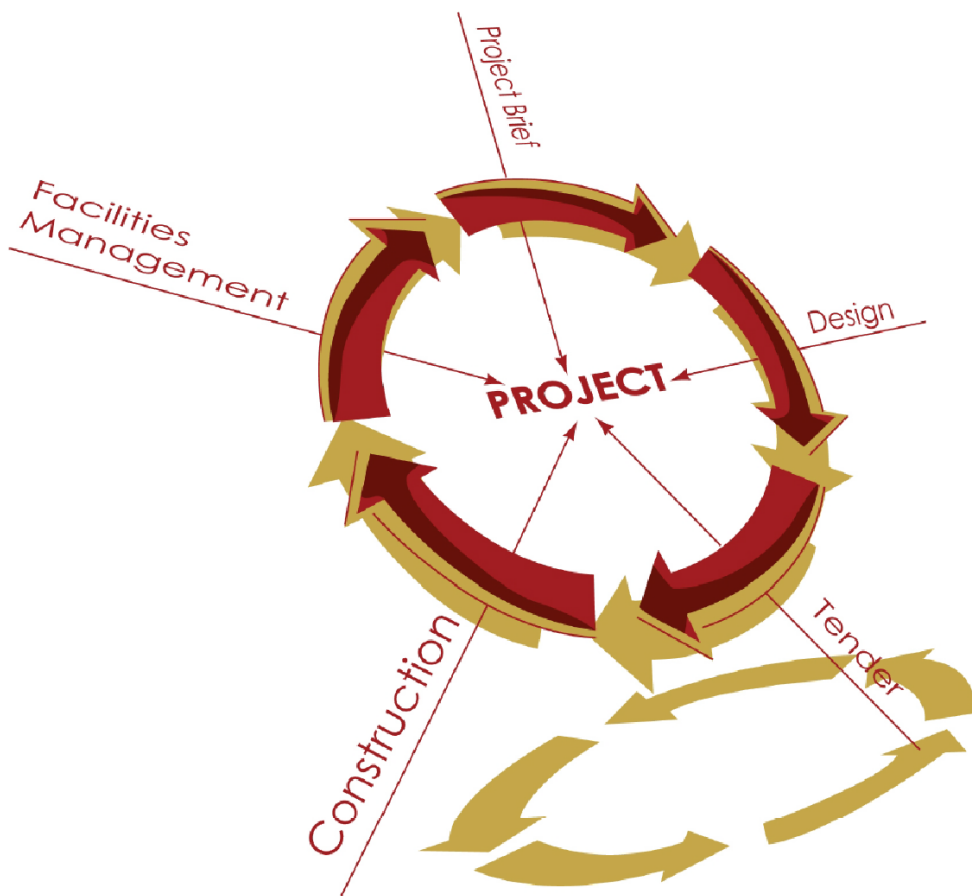


# Malaysian Construction Research Journal

RISM INTERNATIONAL RESEARCH CONFERENCE 2020



# **MALAYSIAN CONSTRUCTION RESEARCH JOURNAL (MCRJ)**

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## Introduction

Welcome to this special issue in Malaysian Construction Research Journal (MCRJ) for the RISM International Research Conference 2020 (RISM-IRC2020). This conference has been successfully jointly organised by the Royal Institution of Surveyors Malaysia (RISM) and University of Malaya.

This special issue consists of 20 selected papers reviewed by conference scientific committee and international expert reviewers. The conference main theme is “Exceed the Exceeding” which comprises of the following sub-theme; Digital Construction, Quality Safety and Productivity, Environmental Sustainability, Practice and Professionalism, Engineering Technology, Construction Management, Facilities Management; and Teaching and Learning in Built Environment.

This publication has contributed in the area Building Information Modeling (BIM) where it will drive the adoption of Industry Revolution 4.0 within the construction industry. Focus is given in the BIM protocol and contract related matters where it is hope that industry players will aware about legality of the data in BIM and also the contractual process involved in adopting BIM in a projects. Besides that, attention also being given to the affordable houses where paper presented mainly highlighted about the problem, policy and issues rise by the young buyers. It is also hope that this will enhance greater knowledge growth, improves efficiency and improved the current demands of affordable houses in Malaysia. The quantity surveying practices especially in the area of private finance initiative and e tendering has been revisited to improve further the existing practices in Malaysia. Teaching and learning practices highlighted to enhance the effectiveness teaching methodologies and thus producing a specific skills and competency in the construction industry.

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## Editorial

Welcome from the Editors

Welcome to the Malaysian Construction Research Journal (MCRJ) special issue. This journal provides a forum for dissemination of research activity broadly in the built environment. The RISM-IRC2020 is an outreach platform for surveying postgraduates and researchers with the aim to serve as an open dialogue avenue in the region on contemporary surveying and built environment issues. The conference presents the best of current systems research and practice, emphasizing innovation and quantified experience. It is aimed to emerge this platform as the foremost world-wide gathering of academic researchers, Ph.D. and graduate students, top research thinks tanks and industry technology developers. It would also be the best opportunities for linkages, networking and talent scout for research institutions and industry around the globe.

This is the second year for the RISM QS Division organising the conference. Previously, it was successfully brought together researchers in one venue to not only present breakthrough research in future technologies but to also promote practicality and applications and an intra- and inter-field exchange of ideas. Since we received an overwhelming participation, the publication has been divided into two. One publication has been published in the year 2020 and the 2<sup>nd</sup> publication is published in the year 2021.

We are very happy to have a team of excellent scientific committee and editorial board members from the national and international league covering in depth the related topics. The abstract of the twenty (20) articles are as follow;

**Samsuri Abdul Hamid and Nurshuhada Zainon**, have proposed a BIM capabilities model for the airport project as a project management tool in order to educate and promote BIM in airport infrastructure development in Malaysia. A questionnaire survey was designed to identify the importance level of each variables and the significant relationship between the BIM capabilities and the project management process groups. Subsequently, a model was developed based on 33 significant BIM capabilities which were arranged according to the importance level within the respective project management process groups. Through the proposed model, it could assist project stakeholders in understanding the BIM capabilities; available opportunities as well as benefits related to engaging BIM in airport project management processes.

**Nurulhuda Hashim et al.**, have found that BIM allows Quantity Surveying (QS) consultants to visualize the building in 3D and obtain accurate quantity in a shorter time. In order to achieve the BIM work outputs, Quantity Surveyors (Qs) need to be equipped with some competencies related to BIM. QS graduates are expected to have basic level of BIM skills and employers would also like to see other technical competencies in today's QS graduates.

**Sharifah Nur Aina Syed Alwee et al.**, have proposed a conceptual framework on the strategic process protocol for BIM contract administration in Malaysia. It reviewed the current literature and suggested the adoption of qualitative research as the strategy for the primary data collection. The proposed exploratory study aims to analyse the contractual issues and

find solutions for improving the existing implementation. Findings from the literature conceptualise the importance of having the right contractual practice as one of the improvement pillars in BIM adoption. It is hoped that the study will contribute to a new knowledge of BIM contract administration in project management and construction studies, increase the awareness on the contractual rights and responsibilities amongst the BIM stakeholders, thus, to enhance the utilisation of BIM as the catalyst for digital construction.

**Azrina Md Yaakob et al.**, have identified specific factors that can affect telecommuting acceptance and further examine the level of impact these factors have in influencing the QS's readiness in the adoption of telecommuting. A data from a survey of registered QSs under the Board of Quantity Surveyors Malaysia has been analysed. The results reveal that Attitude, Work-life Balance, Nature of Work, Efficiency, Empowerment and Information Technology have a positive effect on telecommuting acceptance. Empowerment and Nature of Work have contributed most to telecommuting acceptance. The study contributes to the identification, conceptualisation and measurement can allow firms to consider QSs participation into a modern workplace when firms adopt telecommuting. It also helps to identify barriers of telecommuting intention among QS employees.

**Olaolu Titus Olalekan et al.**, have showed the similarities in both databases in the construction dispute subject area. However, the high publications in Scopus indicate that Web of Science has low publications in the area of social sciences, art and humanities. Furthermore, the retrieved publications from both databases were analyzed using VOSviewer. The result shows that most of the publications focused more on managing already existing dispute by litigation, arbitration, and Alternative Dispute Resolution (ADR) and a lack in the area of dispute prevention methods.

**Mohd Adib Ramli et al.**, have discussed a building defects and restoration techniques of heritage buildings in Malaysia. Malaysia, being endowed with heritage a building that signifies a sense of livelihood to the nation, should not take these as the only retort. Permanent shortage of heritage buildings and their continuous disappearance calls for a need to preserve heritage through restoration. Restoration preserves the past and plan for the future. Restoration also prevents further decay, damage and defects to the existing building. As restoration works are known to have unique challenges due to their high uncertainties and complexities, the need to understand the factors that affects the restoration process becomes more prominent. To provide more clarity and certainty, the common building defects in local heritage buildings have to be identified and its respective current restoration techniques have to be evaluated.

**Nurul Liyana Hanapi et al.**, have analysed the location of the elderly evacuees and its effect on time and distance in multi-storey housing. Method applied in this study is through computer simulation using Pathfinder software. Nine cases are tested where every case runs a two set of scenarios between randomly placed elders and lowered floor elders to see the differences. Results shows, when the elders are located at the lower floor are significantly reduced the total time and distance travelled by the elders. By positioning the elders at the lower floor area will not affecting other evacuees evacuate the building as others time and distance are much similar the other scenarios. Future studies can consider elders with other limited physical capability or depending on an assistant as this study only focuses those elders who are able to evacuate by themselves.



**Myzatul Aishah Kamarazaly et al.**, has investigated the influence of Feng Shui factors on the landed residential housing selection among Malaysians. Feng Shui theory is thought of “harmony between heaven, earth and people” and described as “the Chinese art of placement and design”. The findings revealed that most of the Malaysian Chinese and Indians would consider Feng Shui factor when choosing a premise. However, although only less than half of the Malaysian Malays would consider Feng Shui, but it reflected that they are getting more concerns and familiar with Feng Shui concepts. The facing direction of a house is the major issue among Malaysians. Result revealed that a house near to or directly facing the hospital, a cemetery and tall buildings would be unfavourable and would have impact on their house buying intentions.

**Zafirah Al Sadat Zyed et al.**, have examined examine the problems of housing affordability among younger working households (YWH) in terms of governing housing affordability. The selected respondents were interviewed at least 30 minutes based on the semi-structured interview questions. The findings revealed that the state identified one of the housing affordability problems among YWH is influence by house price. In addition, there is less opportunity for YWH to purchase a house in urban area. Apart from that, lack of financial literacy among YWH is due to the upbringing of YWH from well off parents.

**Myzatul Aishah Kamarazaly et al.**, have investigated the stress levels and coping strategies amongst quantity surveying students in private university. If the student is unable to deal with the circumstances appropriately, it will cause stress. Coping mechanism plays an important role in reducing and overcoming the stress experienced by an individual. Different type of coping strategies can influence individual experience stress differently. This study aims to find out the stressors that contribute to Quantity Surveying students in a private university, students’ coping strategies and the stress level of students by using Perceived Stress Scale. The result of this study indicated that majority of the Quantity Surveying students are having moderate level of stress and academic factors is the main source of stress to students.

**Noraliza Basrah et al.**, have explored the environment criteria applied in sustainable affordable housing (SAH), which influence a household’s quality of life. This paper presents findings from the survey distributed to residents of affordable housing in Klang Valley area and 21 environment criteria were analyzed by using SPSS through chi-square test. Hence, 19 environment aspects were identified as significant criteria to affordable sustainable housing.

**Shirley Chin Ai Ling et al.**, have focused on assessing the factors and impacts on the accuracy of cost planning in the construction industry during pre-contract stage to ensure the project is completed within budget. The findings revealed that the most significant factors that affecting the accuracy of cost planning is highly dependent on the level of estimator experience and information available when preparing the cost planning. In addition, the respondents agreed that in accuracy of cost estimate would cause the cost overrun in the project development. Hence, the findings have successfully identified that by develop a historical database of completed projects will definitely improve an accuracy of cost estimate.

**Nurshuhada Zainon and Leong Vicky**, have proposed an implementation of BIM for the existing clauses of Malaysian standard form of contracts. This research started with a comparative analysis of contractual obligations that influences BIM-based project in Malaysia

and the BIM conditions of international contract, by considering BIM International Standards BS EN ISO 19650-1 and 2 as a baseline. After the preliminary proposed conditions are developed, experts' interview was conducted to enrich and validate the findings by implying thematic analysis. The findings are hoped to assist stakeholders including clients, architectures, quantity surveyors, engineers understand their liability and scope of work clearer in BIM process. At the same time, to allow smooth process, to reduce the risk and cost of projects, as well as, to avoid disputes.

**Chu Sheng Ding et al.**, have ranked different girder bridge design alternatives based on their contribution towards the aesthetics of girder bridge design. Twenty-four (24) consulting engineers and contractors in Sarawak, Malaysia were asked to rate various girder bridge components design alternatives in term of their importance in enhancing the aesthetics of girder bridge design based on 5-point Likert Scale. The results were analyzed using Relative Importance Index (RII) method. Based on the results, the design alternatives that contributed the most to the girder bridge aesthetics are V-shaped pier (RII 0.975), New Jersey parapet with steel railing (RII 0.933), and box girder (RII 0.900).

**Noor Suzaini M. Zaid et al.**, have revealed that the Malaysian heritage conversation legislation is lacking integration between the environmental sustainability element, in comparison to social and economic elements of sustainable development. The three existing heritage conservation legislation in Malaysia has no integration towards the carrying capacity element of environmental sustainability principle, while only has indirect integration for ecosystem integrity. Future policy makers should seriously take this environmental disregard within Malaysian heritage conservation legislation into account.

**Norhafizah Yusop et al.**, have investigated the key factors and themes that are related to construction quantification performance of the quantity-surveying learners. The data for the study was collected through a semi-structured interview conducted on 25 participants comprising of consultant quantity surveyors registered with the Board of Quantity Surveyors Malaysia (BQSM). Based on the thematic analysis, four (4)-core inquiry topics (themes) were identified, namely construction quantification proficiency, construction quantification difficulties, academic performance and information technology or software intervention. The findings also showed that the key factors and themes greatly influenced the performance of the learners. This study provides an overview of issues related to quantification skills and performance development amongst learners in the quantity-surveying field.

**Erni Juwita Abd Manan and Umi Kalsum Zolkafli @Zulkifly**, have investigated on the problems that affect the handing over stage for PFI projects in Malaysia. Based on the analysis, the problems identified are delay, defect, commissioning, incomplete as-built documentation, incomplete O&M documentation, financial issues, unclear project brief, incompliance to client requirement, drawing discrepancies, project control and monitoring failure, collaboration issues and human-related issues. The solutions for problems that affect handover of PFI Projects in Malaysia are also identified which mainly are planning, control, monitoring, competent/experienced team and the stakeholder management.

**Mohamad Izzat Bin Zulkanain et al.**, have explored the adaptive reuse concept and benefits in managing public building quarters in Malaysia. This paper provides literature review on the concept of adaptive reuse and its benefits by using a Systematic Literature

Review (SLR) approach. As stated by previous scholars, the concept of adaptive reuse is explained throughout this paper and the identified benefits of adaptive reuse are in term of environmental, social and cultural, economic, promoting innovation and time saving. Such benefits may be applicable to support adaptive reuse towards public quarters (teachers' quarters) as it may revitalise and lengthen the lifecycle of the building.

**Umi Kalsum Zolkafli @Zulkifly et al.,** have proposed an improvement model for public sector construction e-Tendering system by achieving objectives of identifying barriers and solutions for improving usage of National e-Tendering system. Public sector procurement department is the target respondents where their opinions on barriers and solutions for improving usage of National e-Tendering system is collected through quantitative which is questionnaires. This research found that the top three barriers for improving usage of National e-Tendering system are 'resistance to change in users', 'securities concern' and 'incomplete technical coverage on whole e-Tendering process'.

**Habizah Sheikh Ilmi et al.,** have identified the traditional and present roles of quantity surveyors and find out the threats to quantity surveyors' profession in the future. A quantitative method is used through the survey questionnaires to gather data and information from the quantity surveying practitioner and graduates. The research outcome showed that the quantity surveying profession and the graduates are still relevant and stay competitive in the industry.

# DEVELOPMENT OF BIM CAPABILITIES MODEL FOR MALAYSIA AIRPORT PROJECT MANAGEMENT

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## Abstract

Building Information Modelling (BIM) adoption in the Malaysian construction industry are driven by the Ministry of Works. However, transportation construction projects are complex and high-risk, which in common circumstances, these kinds of projects are categorized under Transportation Ministry's portfolio and are self-executed by them. As the consequences, the transportation projects are slower in coping with the use of BIM technology. As such, airport infrastructure construction is currently still using conventional project management practices without adaptation of BIM technology although a period of about eleven years has elapsed since BIM was implemented in public-sector projects. The capabilities of BIM technology in managing airport infrastructure development projects have yet to be explored. Therefore, this research aims to propose a BIM capabilities model for the airport project as a project management tool in order to educate and promote BIM in airport infrastructure development in Malaysia. A questionnaire survey was designed to identify the importance level of each variables and the significant relationship between the BIM capabilities and the project management process groups. Subsequently, a model was developed based on 33 significant BIM capabilities, which were arranged according to the importance level within the respective project management process groups. Through the proposed model, it could assist project stakeholders in understanding the BIM capabilities, available opportunities as well as benefits related to engaging BIM in airport project management processes.

**Keywords:** *Building Information Modelling (BIM); capabilities; airport; project management; transportation project.*

## INTRODUCTION

The construction industry is generally known as one of the most challenging industries in many countries. Among all, airport projects are especially complex because they involve such a wide variety of stakeholders and revenue sources. Airport developments also are typically very large in scope, use large amounts of space as well as support vast infrastructure and numerous facilities, much like a small city (Wells & Young, 2004). It normally involves a long timeline from planning to completion, increasing the likelihood of design and other changes along the way, even it is always having a well-planned and intricate system where many experienced professionals have worked hard to ensure that all details are considered (Huang et al., 2018).

Malaysia is facing 70% of airport projects by the Ministry of Transport (MOT) was not completed within the original contract period and had to be given an extension of time (data was extracted from Sistem Pemantauan *Projek* II; one of the Electronic-Government applications under the Multimedia Super Corridor (MSC) flagship to monitors Federal Government development projects under the 5-year Malaysia Plan). However, the industry is broadly criticized for low productivity and insufficient innovation (Redwood et al., 2017).

In the Malaysia context, the business of construction and operation of the airports are carried out by two different entities. The construction of airport infrastructures is managed by the Government meanwhile the operation and maintenance of the airport are currently run by the private sector, the Malaysia Airports Holdings Berhad (MAHB). MAHB is a privatized company which operates and manages all the airports in Malaysia except for Kerteh Airport in Terengganu and the Senai Airport in Johor (Karim et al., 2003). According to the Operating Agreement (OA) entered between the Government of Malaysia through the MOT and MAHB, the responsibility for providing airport-related infrastructure facilities is born and financed directly from the Government except for the KLIA2 project (Karim et al., 2003). Therefore, MOT is responsible to manage airport construction from the initiation up to closure processes. This is including proposing and obtaining project allocation and approvals from Economic Planning Unit (EPU), project procurement, contractors and consultants' appointment, supervising and monitoring project performance until the completion of the projects. Then, the infrastructure of the airports is handed over to MAHB for operations, maintenance and assets management. Tladi (2012) argued that designers, owners, and end-users who involve in the building process, normally have very little communication between end-users and the other two groups (designers and owners). This leads to designers and owners making decisions for the end-users and this may result in scope changes, facilities that do not suit the end-users, resulting in facilities that are not easy to operate and maintain.

The wide uptake of BIM in the development sector around the world is believed to deliver a great impact on the existing construction project management models (Karim et al., 2003). Many nations around the globe have rolled out technology advantages in the project lifecycle. This situation leads to initiatives in facilitating BIM adoption in the construction industry via regulations, to support the development and adoption of BIM and modern methods and to implement competency and learning management system (CIDB, 2016). However, the mega infrastructure size, combined with complex operational challenges that makes the construction of airports fertile ground for BIM technology. For the case of construction of complex and high-risk projects such as airport and railway infrastructures, the implementation of these projects is categorized under MOT's portfolio and most the projects are self-executed by the ministry through their Development Division, not by Public Work Department (PWD). As the consequences, the projects under the control of MOT are likely to go far beyond the use of BIM technology.

It is desirable that a study which looks at the capabilities of BIM's utilization in public-sector projects, particularly in airport infrastructure development, can be made. Therefore, this study aims to propose a BIM capabilities model for airport project management. It reviews the significant capabilities of BIM technology as a construction project management tool for the purpose of assessing project status and its contribution to airport projects.

## **LITERATURE REVIEW**

### **Airport Construction Project**

Airport project are considered as large-scale and complex projects due to its difficulty in design, operate and manage (Alnasseri, 2015). In fact, airport facilities serve multiple users, needs certain facilities to operate and function. The airport components have two main categories: airside and landside areas (Wells & Young, 2004). The airside is dealing with

aircraft operations, and the landside is related to passenger operations (Adrem et al., 2006). The airport components are planned and designed in a way that guarantees the relationship between the relevant activity and the exact flow from one point to the other.

The airport's own features that are vulnerable to various risks require it to be carefully planned and constructed and in accordance with all established international standards and procedures. Basically, airport projects have much in common with any other conventional building, except for airside areas. However, based on the study conducted by Alnasseri (2015) have made a several comparison analyses and revealed the unique characteristics of an airport as shown in the figure below:

Airside Safety Rules and Regulations	Many Stakeholders	High Level of Details
<p><b>Security Elements</b> Personnel badges, license for vehicle on airside, certified drivers, security check points often distance from site by the specific country's security agency.</p>	<p><b>Airport Different Activities and Functions</b> Several key functions need to be considered by several stakeholders which lead to many construction requirements.</p>	<p><b>High Complex Projects</b> Clients are tempted to set-up design and specification before engaging a contractor. The client assumes the risk and not take advantage of the contractor's technical know-how and experience.</p>
<p><b>Insurance Policies</b> Potential damage, flammable fuel</p>	<p><i>Function Examples:</i></p>	<p><b>Prepared for Big Events</b></p>
<p><b>Airport Regulations</b> Must be followed by various stakeholders involved on airside activities</p>	<p>Terminal Coordination</p> <p>Commercial</p>	<p><b>Time Extremely Crucial</b> World Cup, Olympic &amp; Paralympics Game, Pilgrimage</p>
<p><b>Commercial Factors</b> Airports often 24/7, major operations in low traffic period</p>	<p>Traffic Coordination</p> <p>Customs and Security</p>	<p><b>High Level of Impact</b></p>
<p><b>Expansion Projects</b> Common, new materials conform with existing one. Identifying installation connection points.</p>	<p>Design</p> <p>Ministries</p>	<p><b>Economic</b> Trade, Tourism</p> <p><b>Development</b> Transportation, Infrastructure</p>

**Figure 1.** Characteristics of Airport Construction (Alnasseri, 2015).

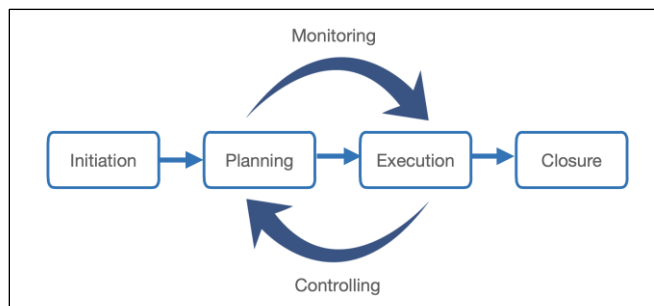
Large-scale complex projects such as airports will certainly involve many stakeholders starting from the initial stage of planning, construction and even airport operations. The integrated process related with the airport's project will not only involves the infrastructure, but also must be look at the handling multiple facilities, end-users and service providers in order to provide safe and secure air travel services. In general, stakeholders involved in airport projects in Malaysia can be categorized under few groups such as following:

- Airport Owners: Government entity that owns the infrastructures of the airports – MOT.
- Airport Operator: the private entity that runs the airports – MAHB.
- Aviation Authorities: Government entity that provides regulations, rules and other instructions related to airport's activities – Civil Aviation Authority of Malaysia (CAAM).
- Airlines: private companies that provide air transportation services.
- Local Authorities: local state governments or private organisations with certain authorities (e.g.: Environmental Protection Department, *Tenaga Nasional Berhad* etc.)
- Contractors and Consultants: a firm that undertakes a contract to provide materials, labour or services to build the infrastructures.

## Project Management Process Groups

In order to assess the capabilities of BIM technology in airport project management point of view, it should first figure out what "right" or "best" project management practices are. The response to this inquiry is open-ended and beyond the scope of this study. Rather, this study looked towards "A Guide to the Project Management Body of Knowledge" (PMBOK) 6<sup>th</sup> Edition, 2017 by Project Management Institute (PMI) as a project management standard represent a substantial investment of expertise, advancement exertion, wide-based accord, and far-reaching acknowledgment of project management best practices.

According to PMBOK 6th Edition, the project management process groups are describing how a project is taken from brief through to delivery (PMI, 2017). The project management process groups are as illustrates in figure below:



**Figure 2.** Project Management Process Groups (PMI, 2017).

## BIM and Airport Construction Projects

BIM is described as the process of gathering input from team members through complex multiphase process to model the components (Viscuso et al., 2020). BIM is a tool that will be adopted during the process of construction to produce an exceptional perspective of the building process and to handle 3-dimensional (3D) models of a facility's structural and operational features (Galiano-Garrigos et al., 2017).

In Malaysia, since the beginning of 2000, the adoption of BIM was driven primarily by the private sector (Zainon et al., 2016). However, BIM began to be widely used when PWD produced the BIM Standard Manual and Guideline in 2007 to be used in public-sector projects (Latiffi et al., 2014). Even though, to date, there is no reported study presents BIM adoption in airport construction project. It is still using conventional project management practices without adaptation of BIM technology although a period of about thirteen years has elapsed since BIM was implemented in public-sector projects.

## Preliminary Mapping Matrix of BIM Capabilities

As Eastman et al. (2008) summarised based on the 10 case studies, no single project has yet realized all or even a majority of BIM's potential benefits and the BIM implementation varies from one organization to another. For the implementation of aviation sector projects in Malaysia, the researcher has identified a total of 21 variables of BIM's capabilities for the airport's project as well as the relevant benefits. This study had preliminary mapped all these

21 variables of BIM's capabilities into each of the project management processes by using the Matrix Method of Literature Review Analysis. When each of these variables is grouped according to Project Management Groups respectively, a total of 44 variables were identified as capable to contribute to the airport's project. The results of these 44 variables as well as the benefits are shown in the table below.

**Table 1.** Preliminary Mapping Matrix for BIM Capabilities.

PM PROCESS	BIM CAPABILITY	DESCRIPTION	BENEFITS
INITIATION	Existing Condition Modeling	Assist clients in producing a comprehensive feasibility study report especially for upgrading and expansion of an existing airport	Feasibility study
	Cost Estimation	Assist the Government to obtain more reliable cost estimates for project listings under Malaysia Plan and budget screening sessions	Budget Screening
	Phase Planning	Assist stakeholders to more easily understand the project phasing as well as provide multiple choice of conceptual designs through Modeling and visualization	Conceptual design visualisations
PLANNING	Existing Condition Modeling	Planning on passenger flow will be more organized and clearer through an animated model, especially for live and busy airports	Passenger flow Modeling
	Cost Estimation	The exact cost estimate is very important at this stage to undergo a compulsory Value Management session for Government projects worth RM50 million and above as well as for the tender purposes	Value Management
	Phase Planning	For a non-technical person, Phase Planning through a developed model would give a better understanding of project milestones and construction plans	Project milestones/ Construction plans
	Programming	The developed BIM model allows the project team to analyse space by having strategic plan visualisations of standards and regulations.	Strategic plan visualisations
	Site Analysis	Guides with producing a strategic development planning in making decisions on selecting suitable sites for airport development	Strategic development planning
	Design Review	Through this ability, BIM is able to provide immediate information on specific space such as commercial property fit-out design required by airports stakeholders	Commercial property fit-out design
EXECUTION	Existing Condition Modeling	Provides accurate information about the actual situation and works on the site	Accurate representation of work
	Cost Estimation	This function can be integrated with the 4D analysis (schedule) for budget track purposes so that all dealings involving costs throughout the construction will be well managed	Track budgets
	Phase Planning	Among the disadvantages of conventional phase planning weaknesses is the increase in waste on job sites, which can be improved by using BIM	Decreased waste on job sites
	Programming	Efficient and accurate assessment of design performance regarding spatial requirements	Design performance
	Design Authoring	The same design will be used by all parties who can access directly to that design	Design transparency
	Design Review	No more traditional construction mock-ups	Eliminate traditional mock-ups



PM PROCESS	BIM CAPABILITY	DESCRIPTION	BENEFITS
	Structural Analysis	Comprehensive structural analysis through various forms of analysis will optimize the design	Comprehensive structural analysis
	Sustainability Evaluation	Sustainability evaluation can be made more comprehensively when all needs can be calculated, simulated and presented via energy Modeling	Energy Modeling
	Code Validation	Avoid any design processes that do not comply with international standards and requirements from local authorities	Efficient design process
	3D Coordination	Clash detection will be able to improve project performance by avoiding clashes of all disciplines under construction which may lead to cost implications	Clash detection
	Site Utilization Planning	Site usage layout can make construction management work efficiently like organizing material transfers, temporary facilities and working area demarcation (especially in the airside area)	Site usage layout
	Construction System Design	Reduce the risk of misunderstandings and different interpretations (language barriers) when engaging in the construction of complex buildings from various project backgrounds	Reduce language barriers
	Digital Fabrication	It assists the manufacturer to have complete information for fabrication with minimal waste, reduce the error and the delay of production.	Minimal fabrication ambiguities
	3D Control and Planning	It will integrate layouts with certain live coordinates (such as GPS) that can increase efficiency as well as reduce layout errors	Decrease layout errors
	Record Modeling	The capability of the record model to link to the contract with historical data will minimize facility turnover dispute	Minimize facility turnover dispute
<b>CONTROL &amp; MONITORING</b>	Existing Condition Modeling	Verify project status with quantity information for progress monitoring and reporting	Progress reporting
	Cost Estimation	Information about Variation Order is essential to be monitored throughout construction for owners to get updated on the project's overall cost control status	Cost control
	Phase Planning	Controlling procurement issues such as monitoring to avoid delaying essential equipment or materials delivery to the site and impacting the project as a whole	Avoid procurement issues
	Design Authoring	Transparent design information that can be accessed by all stakeholders will be able to control and improve the design quality	Quality control
	Design Review	Design evaluation will assess the effectiveness in meeting the requirements of airport stakeholders as stipulated in the contract	Design evaluation
	Structural Analysis	Increasing consistency throughout the entire project with a single building information model is used for both the analysis and the documentation phases	Increase consistency
	Sustainability Evaluation	Optimized building performance by improved energy performance management	Energy performance management
	Code Validation	Specific code compliance such as Federal Aviation Administration (FAA) and International Civil Aviation Organisation (ICAO), Annex 14	Specific code compliance

PM PROCESS	BIM CAPABILITY	DESCRIPTION	BENEFITS
	3D Coordination	Will ensure that the as built drawings are accurate and as per what has been built	As-built documentation
	Site Utilization Planning	During the construction works, the usage of the space as well as site organisation will be easily updated	Space usage update
	3D Control and Planning	Integrate with real-time technologies as a control points, produce accurate information as data received directly from the model	Reduce rework
	Record Modeling	Client requirement assessment to as designed	Client requirement assessment
<b>CLOSURE</b>	Existing Condition Modeling	Visualize current and future facility status and plans	Future facility plans
	Cost Estimation	Reduce the operating expenditure cost by precisely quantify the life-cycle cost of the buildings	Life-cycle cost calculation
	Record Modeling	The information contain in the model will improve documentation and facilitate any future uses, e.g., environmental assessment documentation for extension of runway on reclamation area	Environmental assessment documentation
	Maintenance Scheduling	Reduce corrective maintenance and repairs by the airport operator	Reduce corrective maintenance
	Building System Analysis	Ensure building is operating to specified design and sustainable standards	Sustainable standards operation
	Asset Management	Integrated assets information	Integrated assets information
	Space Management	Provides guidance for the preparation of airport master plans from small general aviation to large commercial service facilities	Airport master plans
	Disaster Planning and Management	Provide immediate access to the airport facilities for emergency in real-time (such as Airport Fire and Rescue Service (AFRS), police, etc.)	Real-time emergency access

## METHODOLOGY

### Data Collection

The early phase of the research began with reviewing literature reviews of published articles, reports and texts to scope the relevant parameters to the research. The best project management practice, PMBOK 6th Edition, 2017 guided by PMI, was chosen to be used in this research and every single process in the Project Management Process Groups was described. The BIM's capabilities in the construction industry, as well as their benefits in the airport's project, were identified. This step was vital since the subject of discussion was relatively new and the application of BIM technology in airport project management in Malaysia was never being explored. In order to identify the BIM capabilities variables that suit for an airport project in Malaysia, this study used Mendeley Data software owned by Elsevier as a database using several keywords such as airport, BIM, project management and BIM capabilities. As a result, 21 variables of BIM's capabilities that suit for the airport projects in Malaysia had been identified and supported by related refereed paper, published academic journal articles, books, reports, and reliable sources.

In the next stage, a preliminary mapping was conducted to categorize the 21 variables according to the Project Management Process Groups by using the Matrix Method of Literature Review Analysis. This method of analysis was characterized as a conceptual framework in a table or grid format, consisting of various rectangular symbols arranged in rows and columns to form a symbolic set which, when used together as a set, allows a researcher to conclude the non-obvious relationship that exists between entries on the table (Klopper et al., 2007). The goal of this analysis was to identify the patterns in the each of BIM Capabilities as well as the Project Management Process Groups and used these patterns to address the issue.

The final mapping process has then been verified through inputs from the primary data of the questionnaires survey and statistical analysis. The questionnaire had 3 main sections. Section A is to determine the demography of the respondents, Section B focused on the respondents' opinions about the BIM capabilities as well as its benefits in the respective Project Management Process Groups, and Section C determined the impact of using BIM towards overall project performance.

The targeted respondents were construction players who have BIM knowledge. The questionnaires were randomly distributed to officials from MOT, PWD, MAHB, airport regulators, CIDB, consultants and contractors. Among them are the specialized from BIM Unit under the Integrated Asset Management Division (PWD) and MyBIM Malaysia. For that, a total of eight sub-questions consist of general and technical aspects of BIM were given to the respondents. The respondents with scores ranging from 0-60% were categorized as 'lack of BIM knowledge' while respondents with scores ranging from 61% - 100% were those who categorized as 'with BIM knowledge'. This method has been adopted to ensure only respondents who are eligible to be selected for analysis (Alston & Bowles, 2003).

The sample size for this study was calculated using G-Power software and a total of 138 samples were required. Total of 425 sets of questionnaire surveys were distributed using an online survey and self-administered survey. The online surveys consist of the URL link generated from a platform known as Google Form was sent out and distributed via email. Respondents were given two months to complete the survey before the link is closed. The self-administered survey was sent out by-hand and collected at the respondent's office. The researcher received a total response of 73 sets of answered questionnaires from Google Form and another 112 sets of surveys from the collection at the respondent's office. Thus, the total numbers of completed questionnaire surveys were 185 sets out of 425 which resulted in 44% of response rate.

## **Data Analysis**

The Relative Importance Index (RII) was used to rank the variables using the 'mean score' method derive from the frequency value of the questionnaire findings by establishing the relative importance and relevance of the respondent's opinions respectively (Johnson & LeBreton, 2004). Then, a Spearman Rank Correlation Coefficient will be conducted to support the findings from the relative importance analysis by producing a mathematical value that shows the direction and strength of the relationship (descriptive) and to test the significant relationship between the variables (inferential). This correlation analysis was used since the variables for BIM capabilities were in the form of categorical data (Bin et al., 2019). Results

from this analysis were interpreted by the strength value of correlation coefficients ( $r_s$ ) and were categorized into a weak, moderate or strong relationship based on the Cohen's Statistical Analysis. Pearson Product Moment Correlation was also conducted in order to support the model by giving the indication to the airport's stakeholders regarding the ability of BIM usage at every project management process to contribute to the improvement of overall project performance.

Model verification was essential parts of the model development process if models to be accepted (Charles, 2005). Hence, this model has been presented to the Head of BIM Unit, PWD as an expert and BIM practitioner. The purpose of this model verification was to get an expert opinion on the usability of this model in the field. According to Charles (2005), the goal of model verification is to make the model useful in the sense that the model addresses the right issues, provides accurate information about the system being modelled, and to makes the model used.

## **ANALYSIS AND FINDINGS**

### **Respondents' Demographic**

From the total of 185 respondents, 79% (147 respondents) were able to score 61% and above and belong to the 'With BIM Knowledge' group. Meanwhile, 21% (38 respondents) scored 60% and below. Only respondents in the category of 'With BIM Knowledge' were analysed.

A total of 59% (109 respondents) were a public sector which the largest type of organization in this study. Most of the public sector respondents were largely contributed by PWD officials. The second largest type of organization was the private sector such as contractors/consultants or any player in the construction industry. 48 respondents were involved which contributes 26% of the total respondents. Thirdly, 15% or 28 respondents are from airport operator, MAHB. Only officials from Planning and Development, MAHB division are suitable to participate in this survey since the study requires respondents who have a background in the construction industry. This is the reason for the small number of respondents from this organization.

The largest number of respondents came from engineering sector (51%), followed by managerial sector (32%), quantity surveying sector (8%), site supervisions sector (5%), architectural sector (3%) and others (0.7%).

The range of 6-10 years of experience in the construction industry was the majority (59 respondents) in this survey, while the smallest group was from the respondents with less than 1 years' experience (17 respondents). A total of 38 respondents in the category of "lack of BIM knowledge", 14 numbers were contributed by respondents with experience of 1 year and below. This is followed by an experience of 1-5 years as the second largest contributor. The number of respondents in this category is seen decreasing in tandem with the increase of experience in the construction industry. Of the 185 respondents, 108 respondents (58%) were less experienced in the airport's project. This makes 42% of the total are those who have more than 1-year experience in the airport's project. From the total 147 respondents selected in the "With BIM Knowledge" category, only 36% (53 respondents) were contributed by those who had experience in the airport's project.

## The Significance of BIM Capabilities in Airport Project Management

The RII was calculated for each BIM capabilities in every project management process. Having observed the most likely important BIM capabilities based on frequencies, the index value of the RII was also presented as Severity Index (SI) to indicate the ranking in the form of percentage. There were quite a few studies related to the construction industry has used the variables ranking as part of the data analysis method (Doloi et al., 2011; Yang & Wei, 2010; Odeh & Battaineh, 2002). The value of RII is between 0 to 1 (for SI, the value will be between 0 to 100%), and the closer to 1 the value gets, the more important it is perceived to be (Umar et al., 2012).

Therefore, the researcher has set the condition that only BIM capabilities with RII value of more than 0.75 (SI values of 75% and above) can be regarded as “important” and will be mapped into the model, while BIM capabilities with RII value of lower than 0.75 are considered as “less important” for it is to be included in the model. This action is necessary to ensure that only BIM capabilities that are truly eligible will be included in the model (Syazwina, 2017). The results are shown in the following tables.

**Table 2.** Results on the BIM Capabilities Ranked According to RII and SI.

Code	BIM Capabilities	RII	SI (%)	Rank
<b>INITIATION</b>				
A1	Existing Condition Modeling	0.7959	79.59	1
A3	Phase Planning	0.7850	78.50	2
A2	Cost estimation	0.7483	74.83	3
<b>PLANNING</b>				
B2	Cost estimation	0.7959	79.59	1
B4	Programming	0.7918	79.18	2
B3	Phase Planning	0.7878	78.78	3
B5	Site Analysis	0.7864	78.64	4
B6	Design Reviews	0.7864	78.64	5
B1	Existing Condition Modeling	0.7837	78.37	6
<b>EXECUTION</b>				
C10	3D Coordination	0.8544	85.44	1
C6	Design Reviews	0.8449	84.49	2
C1	Existing Condition Modeling	0.8000	80.00	3
C14	3D Control Planning	0.7946	79.46	4
C4	Programming	0.7810	78.10	5
C2	Cost estimation	0.7796	77.96	6
C5	Design Authoring	0.7755	77.55	7
C15	Record Model	0.7755	77.55	8
C9	Code Validation	0.7619	76.19	9
C3	Phase Planning	0.7592	75.92	10
C11	Site Utilization Planning	0.7497	74.97	11
C12	Construction System Design	0.7483	74.83	12
C7	Structural Analysis	0.7388	73.88	13
C13	Digital Fabrication	0.7374	73.74	14
C8	Sustainability Evaluation	0.7306	73.06	15
<b>CONTROL &amp; MONITORING</b>				
D5	Design Reviews	0.8231	82.31	1
D9	3D Coordination	0.7946	79.46	2

Code	BIM Capabilities	RII	SI (%)	Rank
D2	Cost estimation	0.7918	79.18	3
D1	Existing Condition Modeling	0.7823	78.23	4
D11	3D Control Planning	0.7823	78.23	5
D4	Design Authoring	0.7782	77.82	6
D12	Record Model	0.7687	76.87	7
D6	Structural Analysis	0.7537	75.37	8
D10	Site Utilization Planning	0.7510	75.10	9
D8	Code Validation	0.7456	74.56	10
D3	Phase Planning	0.7361	73.61	11
D7	Sustainability Evaluation	0.7320	73.20	12
<b>CLOSURE</b>				
E4	Maintenance Scheduling	0.8327	83.27	1
E6	Asset Management	0.8272	82.72	2
E2	Cost estimation	0.8204	82.04	3
E1	Existing Condition Modeling	0.7837	78.37	4
E7	Space Management	0.7810	78.10	5
E3	Record Model	0.7728	77.28	6
E5	Building System Analysis	0.7497	74.97	7
E8	Disaster Planning & Management	0.7197	71.97	8

The Spearman's Rho Correlation test was essential to this study in order to support the results from the above analysis in terms of significance relationship. A hypothesis test of the "significance of the correlation coefficient" is performed to decide whether the relationship between the two variables (BIM capabilities and project management process) is strong enough to use to model the relationship. The strength of the relationship will be determined by the value of Correlation Coefficient ( $r_s$ ). The results of the Spearman's Rho Correlation test for Initiation until Closure process are as shown in the following table. It shows that the value of  $\rho$  for each variable was  $\rho = 0.00$  (smaller than  $\alpha = 0.05$ ). Therefore, the decision from this analysis was to reject the Hypothesis Null ( $H_0$ ). Results revealed a significant relationship between each BIM Capabilities and Project Management Process.

**Table 3.** Results on Spearman's Rho Correlation Test.

<b>INITIATION</b>		
Variables	$r_s$	$\rho$
Existing Condition Modeling [1]	.778**	.000
Phase planning [2]	.718**	.000
<b>PLANNING</b>		
Cost estimation [1]	.760**	.000
Programming [2]	.715**	.000
Phase planning [3]	.794**	.000
Site analysis [4]	.649**	.000
Design Reviews [5]	.771**	.000
Existing Condition Modeling [6]	.640**	.000
<b>EXECUTION</b>		
3D Coordination [1]	.546**	.000
Design Reviews [2]	.506**	.000
Existing Condition Modeling [3]	.596**	.000
3D Control Planning [4]	.652**	.000
Programming [5]	.674**	.000

INITIATION		
Variables	$r_s$	$p$
Cost estimation [6]	.575**	.000
Design Authoring [7]	.711**	.000
Record Model [8]	.708**	.000
Code Validation [9]	.744**	.000
Phase planning [10]	.653**	.000
CONTROL & MONITORING		
Design Reviews [1]	.757**	.000
3D Coordination [2]	.649**	.000
Cost estimation [3]	.696**	.000
Existing Condition Modeling [4]	.757**	.000
3D Control Planning [5]	.791**	.000
Design Authoring [6]	.712**	.000
Record Model [7]	.733**	.000
Structural analysis [8]	.654**	.000
Site Utilization Planning [9]	.782**	.000
CLOSURE		
Maintenance Scheduling [1]	.598**	.000
Asset Management [2]	.769**	.000
Cost estimation [3]	.664**	.000
Existing Condition Modeling [4]	.766**	.000
Space Management [5]	.725**	.000
Record Model [6]	.759**	.000

## Development of BIM Capabilities Model

To support the model and show the relationship between each of the project management process (Independent Variables) towards the overall project performance (Dependent Variables), Pearson Correlation Coefficients test was carried out since both variables are intervals and the data were normally distributed. The researcher had considered that any score of Skewness within the range of  $\pm 2.5$  is acceptable to meet the normality requirements as it is approaching the normal graph (George & Mallery, 2010).

**Table 4.** Pearson Correlation Coefficients between Project Management Process and Overall Project Performance.

Variables	R	P
Initiation	.641**	0.000
Planning	.764**	0.000
Execution	.783**	0.000
Control & Monitoring	.777**	0.000
Closure	.763**	0.000

The table above shows the results on Pearson Correlation Coefficients between project management process and overall project performance. The  $p$  value for each variable is smaller than  $\alpha$  (0.005), proving that there is a significant relationship between the project management process and the overall project performance. The strongest strength value (0.783) was in the Execution process. Followed by Control & Monitoring (0.777), Planning (0.76), Closure (0.763) and Initiation (0.641). The result shows that respondents are of the view that BIM's use ability are the most during the Execution process to contribute to the better overall project

performance. Although the strength value in the Initiation process is the lowest, it does not indicate that BIM is not important at this process to contribute to the better project performance. It is interpreted as BIM's application at this stage are less used in contributing to the overall project performance compared to the usage of BIM in other project management processes.

Based on these results, the preliminary mapping matrix was updated. The process of the development of BIM capabilities model has achieved the essential requirements through the inclusive analysis implemented above. In order to provide clear and transparent illustrations on the BIM capabilities for airports project management in a way that all related stakeholders involve can simply understand, the researcher has proposed the model as shown in Figure 3. This model has also been presented and verified by an expert BIM practitioner.

## DISCUSSION

Generally, all BIM capabilities identified from the literature review are related and involved in the stated project management processes. However, the production of a model requires only the significant variables in the context of Malaysia's construction industry to be included. From the data of the questionnaires survey, RII was used to compile each BIM capabilities according to the importance level from the perspective of the airport's project. The researcher decided to issue variables which had the results of "less important" to ensure that the model to be produced is concise but complete with significant information the stakeholder's needs.

It should be reminded that this removal does not mean that the previously identified variables are not usable at all in that project management process. As mentioned in literature review, there is no single project has yet realized all or even most of the BIM's capability and the BIM implementation varies from one organization to another (Eastman et al., 2008). On the contrary, it should be interpreted that, the unselected variables were less important by the respondents in a way of giving an impact on the activities involved within that project management process in airport's construction project. Hence, through the RII test, the selected variables of BIM capabilities have been verified as important (Somiah et al., 2015).

This study requires another test to verify the relationship. The most appropriate statistical analysis is to use the Spearman's Rank Correlation Coefficient since the variables for BIM capabilities was in the form of categorical data and not numerical (Bin, Ruodu & Yuming, 2019). The results of Spearman's Rank Correlation Coefficient show that the  $\rho$  value of each variable is lower than the value of  $\alpha$  (0.05). Results revealed a significant relationship between each BIM capabilities (IV) and their respective project management process (DV).

It is not statistically appropriate to translate correlation coefficient values through the Spearman Rank Correlation Coefficient as to indicate the importance level of BIM's capability in the relevant project management process. The reason was the importance level of BIM's capability has been verified through RII test. For this study, the Spearman Rank Correlation Coefficient used to examine whether there is a significant relationship between the variables based on the hypothesis constructed to support the output of preliminary mapping and RII decisions. According to Ambaum (2010), "Significance level" is a misleading term that many researchers do not fully understand. In normal English, "significant" means important, while



in Statistics "significant" means probably true (not due to chance). A research finding may be true without being important. When statisticians say a result is "highly significant" they mean it is very probably true. They do not (necessarily) mean it is highly important (Ambaum, 2010). The value of the correlation coefficient strength should be interpreted as representing respondents' opinions on the BIM capabilities utilization's level at the relevant project management process. The higher the value of the correlation coefficient, that variable can be very probably true to be utilized more frequently in that project management process.

## **Initiation Phase**

Existing Condition Modeling scored the highest rank in the Initiation process. Existing Condition Modeling is where the designers build up a 3D model of the current conditions nearby, once the model is developed it can be questioned for data. This data is most helpful for the development of new airport, redevelopment, expansion of main terminal buildings as well as the extension of the runway in which the existing condition data are essential. Furthermore, it can improve the proficiency and precision of existing conditions documentation, gives documentation of condition to future uses as well as assist clients in producing a comprehensive feasibility study report (McCuen & Pittenger, 2016). Phase Planning will be used 4D model to adequately strategize phased occupancy in an airport terminal expansion, redevelopment, extension of the runway and so on, or to demonstrate the development arrangement and space prerequisites on the airport facilities (Gatwick Airport, 2014). Dynamic phasing plans of occupancy with conceptual design visualizations can provide several choices of designs to the stakeholders.

Through the RII method, Cost Estimation at the Initiation process was considered as "less important". It is wrong to interpret that the cost estimation activity is not important at this stage since budget screening were amongst the most important activities at the Initiation process. As stated in the literature review, at this point, MOT will make a joint evaluation session with the central agency to get the approval of the ceiling project cost under Malaysia's Plan.

However, based on the results obtained, it should be noted that respondents are of the view that there was no need for assistance from BIM application at this stage to produce an accurate cost estimation since the client's needs only a simple derivation for a project ceiling cost. Furthermore, at this earliest stage, without any detailed technical input as there is no bill of quantities, contract documents, nor contractors yet to be appointed, the client practice on estimating ceiling cost was only based on the precedence airport construction budget. The more accurate 5D analysis related to costs will be needed in the following process of project management where that cost will be the basis of the tendering process and actual project costs (Talebi, 2014).

## **Planning Phase**

Six BIM capabilities have been identified in the literature review involved in the Planning process. Through the RII test, all six capabilities are found to have a high index which means the respondents are of the view that at this process, BIM has a lot of important capabilities to be used and can contribute to achieving more organized project planning. In some completed construction projects, 40% - 90% reduction of rework during construction was reported by using BIM prior to the actual start of work (Fischer & Kunz, 2004).

The highest index position was recorded by BIM's ability in doing cost estimation. As indicated by Sabol (2008), BIM with Cost Estimation capabilities (5D analysis) integrates all the information with cost data such as quantities, schedules and prices and form a basis to be used in the procurement phase, which happened in this Project Management Process. BIM are capable to increase the exactness of the cost estimation process by improving data accessibility (Sabol, 2008). With 5D examination, the items in a 3D configuration are connected to value records for various materials. The benefits of having BIM at this stage will also provide an easier exploration of different design options and concepts especially during the Value Management session and assists the Ministry in making appropriate financial planning.

The following BIM capabilities in Planning process was Phase Planning. For a non-technical person, Phase Planning through a developed model would give a clearer picture of the project milestones and easier for them to understand the phasing of the construction plans. A 4D model is utilized to effectively plan the phased occupancy or to show the construction sequence (Messner, 2011) especially for the airport terminal redevelopment and upgrading works.

As stated in the literature review, Site Analysis is used to calculate decision making and determine if potential sites meet the required criteria according to project requirements, technical factors, and financial factors to produce a strategic development planning. Design Reviews will give the opportunities to the project team to get immediate response on the requirements, the commercial property fit-out design or space aesthetics (Rydén, 2013). The results of this study also support the literature review that strategic planning for the passenger flow Modeling especially for live and busy airports can be produced through BIM capabilities of Existing Condition Modeling.

## **Execution Phase**

According to Aranda et al. (2009), the capabilities of BIM to reduce a lot of rework activities is the biggest contribution during construction. Usually, during the execution of the project, lack of understanding in design, language barriers, coordination problems at site that involves many disciplines, is one of the top causes of incompatibility. Failure to manage these issues will result in too many Requests for information (RFIs) which come together with the increase in cost and time than the initially planned budgets (Khemlani, 2006). BIM capabilities of 3D Coordination occupy the top list in the Execution process, acts as an unparalleled tool for coordination and clash detection between components of various disciplines. After clash reporting, resolutions are applied on to the model to generate a practically clash free model. Once the model is deemed clash free, the shop drawings and various information are extracted and the model is made available to different stakeholders for a better understanding of how execution is to be carried out (Azhar et al., 2007).

The 3D model with the accurate information of the current conditions of the physical characteristics and obstacle surfaces (Existing Condition Modeling capability) within the airport boundary will facilitate the constructors to comply with the airport's standards set by Annex 14 (ICAO, 2013).

The most important first step of any field layout is establishing control. Control is the alignment of at least two points in the virtual world with the corresponding points in the physical world. When control is acquired, all future points can be shot and collected relative to those control points. BIM with the 3D Control Planning capability will be able to reduce the numbers of errors in the layout by integrate it with the real-time (satellite) technology.

Spatial programming in Execution process is to consistently evaluate the progress of the designs related to space as required by the airport operator as the end-user for terminal building's requirements such as the segregation between international and domestic area, departure and arrival, etc., throughout each of the design phases and throughout construction. The BIM capabilities of spatial Programming ensures that the project is meeting the gross square footage set by the airport regulator. Budget and schedule overruns are a big number of construction projects. Within a virtual design and construction working environment, BIM can be described as a convenient tool that takes advantage of improved team coordination and visualization tools to associate detailed time and cost values with each component of a 5D building model. BIM developed cost estimate are capable of tracks budget and schedule monitoring throughout the project (Jrade & Lessard, 2015).

Design authoring is one of the essential BIM capabilities. Using a 3D model enable transparency of design and improve communication for all stakeholders. Subsequently, a decision can be made much faster and earlier in the Execution process before the exploration costs too much time and money.

By the end of the construction phase, BIM Record Modeling will describe what has actually been built with geometries and specifications branded (proprietary) wherever appropriate (and as far as this is practical), and assembly drawings showing actual dimensions and details rather than those in the contractual construction, that will definitely minimize facility turnover dispute.

A local authority has a general duty to enforce the building regulations in its area. Notwithstanding the possibility of enforcement action, if the local authority or approved inspector considers that building work carried out does not comply with the building regulations and it is not rectified, no completion/final certificate will be issued. Code Validation could be used to make sure all necessary code is complying and reduce risks.

Phase Planning is an ongoing effort to manage the progress of a construction project and react accordingly to the situation on the ground. By including schedule data, the model can be used for 4D visualization (time is the fourth dimension). 4D models include planning data such as the start and end date of a component and their criticality or slack. As a result, using BIM for 4D visualization provides an intuitive interface for the project team and other stakeholders to visualize the assembling of a building over time. Hence, during the Execution process, project productivity can be increased and waste on job sites can be minimized.

## **Controlling and Monitoring Phase**

Despite our efforts to plan exceptionally well, things may change or happen that cause our projects to derail. Therefore, effective control and monitoring process in overall project management is essential. It is during this process that we keep abreast of project progress, the

quality of our efforts, identifying deviations, determining necessary corrective action and implementing those corrective actions (PMI, 2017). Every stakeholder has different needs and goals. Therefore, it is important to understand how their needs and goals can impact and influence the direction of the project.

Airport's project is widely recognized as an information-intensive and complex industry (Wells & Young, 2004). The proliferation towards multi-participant has heightened the need for an effective and efficient evaluation and monitoring by stakeholders. A persistent problem in construction has been in documenting changes, which occur in the field, and preparing the as-built drawings (Memon et al., 2006). BIM with 3D Coordination is capable to produce more accurate as-built drawings and offers a potential solution.

Variation Order (VO) or changes in scope are very likely in many construction projects. Those changes can be of different nature and may include additional works, changing material specifications, changing working conditions, design changes before, during and even after work execution. It is essential for the entire project team to know exactly what the scope of work and the requirements are (Eastman et al., 2008). BIM capabilities of Cost Estimation during Control & Monitoring Process can provide cost information that the project team needs to control during the project implementation. By doing this, it can also avoid scope creep and the project will be properly managed and organized.

Existing Condition Modeling during Control & Monitoring Process can verify project status with quantity information whether the project activities are implemented according to plans, and whether the means of observation are used in a correct and efficient manner. The system will supply project management with a continuous flow of information throughout the project for monitoring and reporting.

Design Authoring in Control & Monitoring process used 3D software to ensure that the building's design is compliance with the requirements and was included the key important criteria that were translated from the developed model. Design Authoring connects 3D model with a powerful database of properties, quantities, means, and methods so that the project will have better control over the quality of the design, cost, and schedule.

A 3D As-Built Model is a combination of 3D models from various project disciplines that when merged represent an accurate picture of the constructed facility and its assets. A Record Model is a step further; as 3D As-Built Model that has information embedded into the model geometry such as warranty, Ownership & Maintenance manuals, training videos, etc.

Structure in the civil point of view is very important to be in proper design and detailing so that maximum benefit can be achieved by the people. For example, a hangar is a place where the aircraft can be taken care, proper management and enhancement in the manufacturing parts can be made. Hence, hangars should be designed keeping in mind the future point of view. BIM can provide a single building information model for both the analysis and the documentation phases, contributing to better coordination between the Structural Analysis results and the overall design, increasing consistency throughout the entire project.

BIM capabilities of Site Utilization Planning will provide a proper decision-making process for determining the location of temporary facilities (i.e., lay down areas, unloading areas, material paths, staging areas, personnel paths, storage areas, prefabrication areas etc.) within the boundary of airport construction site, identifying spatial relationships and developing best alternative solutions so that the efficiency of the construction process is improved over the project life cycle (Whitman, 2014).

## **Closure Phase**

Maintenance Scheduling scored the highest rank of BIM capabilities during the Closure process. As stated in the literature review, Maintenance Scheduling is a procedure to ensure information on the use of building structures (passenger loading bridges, hangars, etc.) and equipment in the building (generator set, instrument landing system, etc.) are maintained in an efficient and well-organized manner (McCuen & Pittenger, 2016). This BIM's capability will be able to reduce corrective maintenance by airport operator (MAHB) as well as emergency maintenance repairs such as potholes on the runway and the track maintenance history.

Asset Management through BIM technology is connected to a record model to proficiently help in the operation and maintenance of airport's facility, comprising of the physical building, surrounding environment and equipment which capable for monitoring the performance in the most cost-effective manner.

Airports, as well as other governmental entities, usually are required to take the lowest bid when procuring assets. This approach tends not to take into consideration the life-cycle costs, such as the operation and maintenance (O&M) costs, which can result in higher than anticipated costs from the O&M budget. Taking a life-cycle approach, the capability of BIM to provide the 5D analysis (Cost estimation) can precisely quantify modelled materials of life-cycle cost and ensure more fiscally responsible use of funds.

Instead of having information and benefits in a set of as-built plans, Existing Condition Modeling provide more data about the property is captured, resulting in more detailed plans. Whether it is for greenfield airport or an upgrading project, by providing the most detailed view possible of a particular structure and property such as the locations of electrical and data outlets, ductwork, and sprinkler lines, the Existing Condition Modeling during the Closure Process will be able to visualize current and future facility status and plans.

Space Management will provide guidance for the preparation of airport master plans that range in size and function from small general aviation to large commercial service facilities and planning airport facilities that accommodate general aviation aircraft. The guidance is designed to help airport practitioners plan flexible and cost-effective facilities that are responsive to industry needs. The record model contains information relating to the main architectural, structural, and MEP elements. Extra data including documentation of environment, equipment and space planning system will be fundamental when MOT and MAHB expect to use in the future (McCuen & Pittenger).

### Development of BIM Capabilities Model

The model was presented in clockwise representation to reflect a life cycle, starting from the process of Initiation, Planning, Execution, Control & Monitoring and Closure. This model had been presented to the Head of BIM Unit, PWD as an expert and BIM practitioner. The goal of model verification is to make the model useful in the sense that the model addresses the right issues, provides accurate information about the system being modelled, and to makes the model used. As such, the final BIM capabilities Model for Airport’s Project Management that has been confirmed and verified was shown in the figure below. This model will be able to facilitate stakeholders in understanding BIM capabilities and giving added value to the management of airports project in Malaysia.

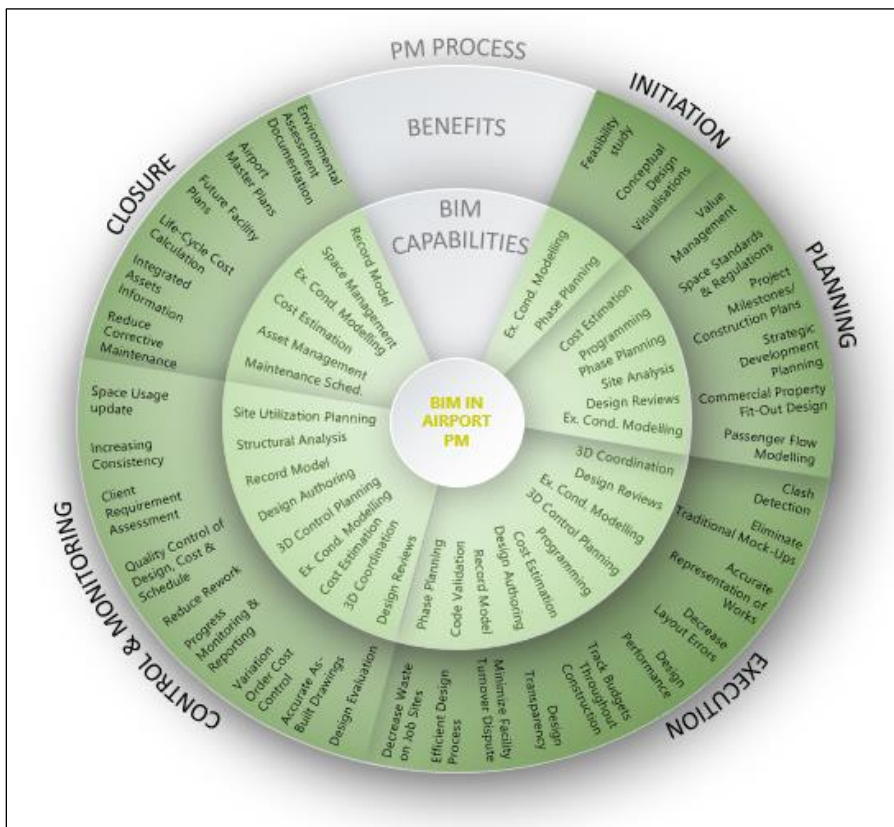


Figure 3. BIM Capabilities Model for Airport Project Management.

### CONCLUSION

This study is expected to produce the significant BIM's capabilities model for airport project management, which can be used as a platform to increase the understanding and knowledge about BIM, to create awareness among airport stakeholders, especially MOT and also to the other local construction industry players by promoting the potential capabilities of BIM technology in airport projects. By exploring the potential of BIM’s adoption in this sector, it is not only can benefit in terms of project management practices, but a transformed in ways of managing airport projects will enable the government to strengthen its fiscal position by both enhancing revenue and reducing cost of capital expenditure. Most likely, the

BIM's capabilities will be expanded to other large-scale projects under the MOT's portfolio such as railway construction, port infrastructure and so on. This situation will indirectly attract other ministries and central government agencies to participate in the more extensive use of BIM.

It is recommended that future studies will cover on the topic as follows: 1) to look at the estimated initial costs that the Government has to bear including the cost of software, hardware and training; 2) the complexity and uniqueness of an airport facility are a barrier to calculating ROI. Further research is needed in this area to support an airport's ability using BIM in calculating the ROI up to the national level; and 3) BIM has proven to have many capabilities but what kind of constraints that could be a barrier? A study in this area will complement the developed BIM capabilities model by creating more awareness among the players of Malaysian construction industry.

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# BUILDING INFORMATION MODELING – UTILIZATION IN QS CONSULTANT FIRMS AND COMPETENCY REQUIREMENTS OF QS GRADUATES

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## **Abstract**

At different phases of a facility, BIM allows collaboration by different professionals in a project team to insert, extract, update and modify information. Quantity Surveying (QS) consultants are using BIM due to its' efficient and effective quantity take-off feature especially in Glodon TAS and Glodon TRB as these BIM software are using the standard of measurement method 2 (SMM2). In this study, the utilization of BIM in QS consultant firms and the competency required of QS graduates specifically in BIM were identified and analysed through qualitative method by interviewing few QS consultant employers. The findings of this thesis showed that BIM allows QS consultants to visualize the building in 3D and obtain accurate quantity in a shorter time. In order to achieve the BIM work outputs, Quantity Surveyors (QSSs) need to be equipped with some competencies related to BIM. QS graduates were expected to have basic level of BIM skills and employers would also like to see other technical competencies in today's QS graduates.

**Keywords:** *Building Information Modeling (BIM); Quantity Surveyor (QSSs); Utilization of BIM; competency.*

## **INTRODUCTION**

Since it was first introduced about 15 years ago, BIM has revolutionized the construction industry by allowing industry players to collaborate closely not only with each other but with the building being designed. This BIM capability has helped boost the extensiveness and intensiveness of their actions that has led to a much more comprehensive management of the whole life value of a building asset. With such features, members working with BIM can coordinate and integrate their work and harness on the combined efforts of individuals, technology, and method (Furneaux & Kivitt, 2008). Olanrewajuna and Anahve (2015). This further explained how QSSs need to handle price management, acquisition, and written agreement problems within the supply chain and marketplace. Moreover, QSSs also monitor and update initial estimates and manage the contractual obligations of respective parties as construction work progresses which usually will be plagued with many variations and contractual claims. BIM's auto computation feature helps to provide dependable and correct quantities and price estimation updates which reduces human errors (Ashworth, Hogg, & Higgs, 2013). Ashworth and Hogg (2007) identified that economic, legal, technological and managerial are the major QSSs' talents required. These talents allow QSSs to forecast, analyse, plan, control and account a construction project (RICS, 1971). Many other studies done in recent years show that information technology competency is the most significant skill to a QSSs. Therefore, the employer would like to employ graduates with technical skills, information skills, and interpersonal skills which can be learned at academic institutions (Chan et al., 2002).

According to CIDB report 2016, the BIM adoption rate in QS firm is only 12%. This finding highlights the crucial role of QS fresh graduates to prepare themselves with learning

BIM in their degree course before they begin employment because BIM competency will be one of the competencies employers hope to see (CIDB Malaysia, 2017). Incompetency and ineffective collaboration within BIM team have caused the low adoption of BIM in Malaysia (Ali et al., 2016). In the research study by Ismail, Adnan and Bakhary (2019), among 202 QSs, only 23.3% have implemented BIM software, 68.3% were aware of BIM usage but have not implemented and another 9.4% are not aware of BIM. Through that, they concluded low adoption of BIM and its slow development in Malaysia can lead to low awareness level among construction players.

This research aims to help the current QS graduates prepare for the skills and competencies that are required by employers in QS consultant firms. BIM is also becoming more popular in Malaysia and it is expected that more QSs will want to implement BIM in their consultant firm especially in the next 5 years. Data for this research will be collected through qualitative method. The employers from QS consultant firms will be invited for an interview and their perspectives on the extent of BIM utilization in QS consultant firms and the competency requirement of QS graduates will be collected too. The objectives of this research are:

- i. To identify the utilization of BIM in Quantity Surveying consultant firms.
- ii. To identify the required competency of QS graduates in QS consultant firms specially in BIM competency.

## **OVERVIEW OF BIM**

### **Analysis of BIM**

BIM is a well-known Modeling technology that can produce, communicate and analyse digital information throughout a project life cycle (CIDB, 2014). Based on the Cambridge Centre for Housing and Planning Research, project members can collaborate, share data and make decisions in a construction project (Goubau, 2019). At different phases of a facility, the different team players can import, extract, update and change the information of building by using BIM (Azhar et al., 2015). Hardin (2009) stated that BIM improves the collaborative-process of architectural and structural work. BIM can be integrated with other “third party software” for simulation such as light analysis (Eastman et al., 2011).

### **Functions of BIM**

At the pre-design stage, BIM enables ease of communication and collaboration with the client's demands for space allocation. BIM eases project feasibility study and develop more reliable cost plan in providing maximum value for clients.

During the tender document stage, QSs are mandated to manage the financial and contractual documents and they can collect data through documentation and statistic assigned to the information via BIM. This helps reduce errors in estimation and further facilitates by having standard data feature, so the users can search and extract data straightaway from the Modeling (Azhar et al., 2015). The QSs can detect clashes during quantity take-off when several models are integrated into one main BIM model. Besides that, Bill of Quantities (BQ) can be generated via BIM as it is using standard method of measurement.

In the design phase, BIM improves the efficiency and effectiveness of project-related decision making by obtaining critical information related to design and geometry. Qs can visualize the different project details and thus it is easy to detect and resolve the problems of the project. BIM can easily detect the clashes and make a change on the design immediately. 3D Modeling allows users to add detail that makes the model to closely mimic the completed structure (Zainon et al., 2016).

During the construction phase, information on building quality (specifications), project agenda and project value are available concurrently with the implementation of BIM. Thus, Qs can make necessary changes to the initial cost estimate plan and construction planning (Zainon et al., 2016).

### **Benefits of BIM**

According to McKinsey's report, 75% of consultant firms gained some benefits like shorter construction periods and lower material costs after adopting BIM (Agarwal et al., 2016). Laiserin (2002) mentioned that high quality of work, great speed and productivity and low cost of a development project can be achieved by implementing BIM. The BIM feature of visualization reduces information loss especially when the project is required to be passed to another team because it allows the project team members to communicate through and gather detailed information to/from the BIM model (Eastman, 2009). Besides that, with BIM, design and documentation can proceed concurrently and this leads to greater speed. The BIM feature of 5D can automatically (with minimal manual input) generate BQ which helps to get rid of the long and troublesome traditional taking off method and so minimize human errors. Not only that, but Qs can also easily identify and relate how the total project cost are broken down into each building element or space as BIM improves the identification of the quantity relationship versus locations and costs. BIM enables the project team to efficiently execute value management with integrated cost estimation during the design phase.

### **BIM Competencies**

Individual BIM competencies are when an individual who apply his/her traits, professional expertise and technical skills in construction works with the implementation of BIM (Succar et al., 2012). BIM competencies can be gained through tertiary education, part-time working, and seminar organized by professionals. Taiebat and Ku (2010) declare individuals with a deep conceptual knowledge of BIM are more appreciated by employers as compared to those with only BIM application skills.

Based on the explanation of Hussin and Omran (2009), Qs are responsible to plan and control project costs and decide on the procedures of management and some related technical materials and equipment. Therefore, they must have at least the basic managerial and technical competency. Role of a QS is critical as the QS must perform cost planning, controlling and analysing, value management, conceptual studies, and lastly cost evaluation as well as handle the information in a project team which includes developer, architect, contractor, and others. Thus, administration, operation, and functional competency are critical competencies to a QS.

Besides that, RICS (2018) has also defined employability skills through the Assessment of Professional Competences (APC) pathway of competencies domain and categorized into

mandatory, core and optional. In mandatory competencies, there are ethics, rules of conduct and professionalism, client care, communication and negotiation as well as diversity, inclusion and teamworking. While contract practice, construction technology and environmental services, procurement and tendering, quantification and costing of construction works are under the category of core competencies. BIM management, contract administration, risk management and due diligent are categorised as optional competency. Different employer would have different opinions on the competency required of QS fresh graduate, depending on the needs of QS works.

## Competency Level

Individual Competency Index (ICI) is a performance model to measure the competency level and promoted by Benner (Dwivedi et al., 2011).

**Table 1. ICT Competency Level**

Level	Description
Level 1 (Basic)	An understanding of fundamentals and some initial practical application
Level 2 (Intermediate)	A solid conceptual understanding and some practical application
Level 3 (Advanced)	Significant conceptual knowledge and practical experience in performing a competency to a consistently high standard
Level 4 (Expert)	Extensive knowledge, refined skill and prolonged experience in performing a defined competency at the highest standard

## RESEARCH METHODOLOGY

### Sampling and Limitation

Qualitative method (interview) was adopted as the research technique in this research. By having an interview, the researcher can find out in more details the extent of BIM utilization in QS consultant firms and the competency that employers expect from the fresh graduates. The sampling techniques were a mix of judgment and snowball selection. Content analysis was chosen to determine the existence of certain phrases or concepts within the answer given by the respondents. Evaluation of content is divided into two general categories: abstract and relational evaluation. This study used data display, codes identify, information reduction, code count frequency and related categories. This is a manual analysis to be grasped in order to contribute to qualitative analysis of code. The participants that were chosen were specifically selected according to the following criteria:

- QS working in a QS Consultant firm
- Employer (Principal/Owner) of QS consultant firm
- QS who implement BIM in a consultant firm
- Minimum 5 years of experience
- QS firms that engage interns and fresh graduates

### Demographic Profile

The respondents identified have more than 7 years' working experience and they were from QS consultant firms. Therefore, they can share their experiences on their implementation of BIM and perspectives on employability requirements. All the respondents have implemented BIM for at least 5 years. Respondents' profile is the analysis in Table 2.

**Table 2.** Demographic Profile

Respondent No.	Years of Experience	Type of Projects	Value of Project (RM)	Years of BIM Experience
R1	8	Commercial, Residential, Industrial	200 million – 350 million	6
R2	30	Commercial, Residential	100 thousand – 200 million	8
R3	23	Commercial, Residential, Industrial, Infrastructure, Public Work	10 million – 400 million	6
R4	20	Commercial, Residential	10 million – 300 million	5

## Research Framework

**Table 3.** Dependent and Independent Variables

Independent Variables	Dependent Variables
<ul style="list-style-type: none"> <li>• BIM Software</li> <li>• BIM Functions</li> <li>• BIM Benefits</li> </ul>	<ul style="list-style-type: none"> <li>• Utilization of BIM in QS Consultant Firm</li> </ul>
<ul style="list-style-type: none"> <li>• BIM Competencies</li> </ul>	<ul style="list-style-type: none"> <li>• Competency Requirement of QS Graduates</li> </ul>

## ANALYSES OF BIM UTILIZATION

### Analysis on The Utilization of BIM In Consultant Firm

Respondents who have contributed their experience to the utilization of BIM in their consultant firm via semi-structured interviews are categorized as in Table 3.

**Table 4.** Coding for Objective 1

Category	Themes	Subthemes
BIM Utilisation	1. Reason of using BIM	a. Future construction industry b. Measurement
	2. Construction Stages	a. Every stage b. Tender documentation stage
	3. BIM software	a. Glodon TAS b. Glodon TRB c. Revit
	4. Functions of BIM	a. Modeling b. Coordination c. Clash analysis d. Quantity takes off e. BQ preparation f. Tendering process
	5. Benefits of BIM	a. Time saving b. Accuracy c. 3D representation
	6. Ways to monitor BIM works	a. Cloud based software b. Bulk check

### Reason for Using BIM

R1 explained that he started implementing BIM due to *future construction industry*. He believes BIM has a significant impact on the construction industry. The other respondents (R2, R3, and R4) started using BIM because Glodon approached them and BIM can do *measurement* very fast.

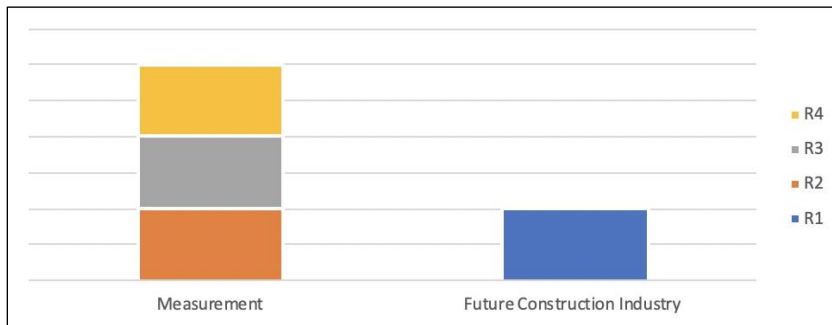


Figure 1. Reason for Using BIM

All respondents except R3 utilize BIM in *every stage* while R3 mentioned they use BIM for BQ preparation in *tender documentation stage* only. R2 uses BIM in the beginning and slowly develops to the BQ. R4 stated that they use BIM in the pre-tender stage because they can amend the cost estimation based on the changes of drawings and don't need to rush through when producing BQ. While R1 stated the reason for using BIM in the construction stage is BIM allows them to know more details about the building such as maintenance period, service centre and cost of maintenance.

### Type of BIM Software

Respondents (R2, R3, and R4) use *Glodon TAS* and *TRB* for measuring the architectural, structural and reinforcement bar. R4 mentioned BIM is more effective than measuring manually. This software allows quick quantity take-off. R1 is using *Revit* which allows architects, engineers, QSs, and clients to bring their concept to life. *Revit* offers a brilliant model-based technique to plan, design and construct infrastructure and buildings. R1 uses *Glodon TAS* and *TRB* in the past. The difference between these BIM is a *Revit* model can integrate with other software for simulation or different analyses.

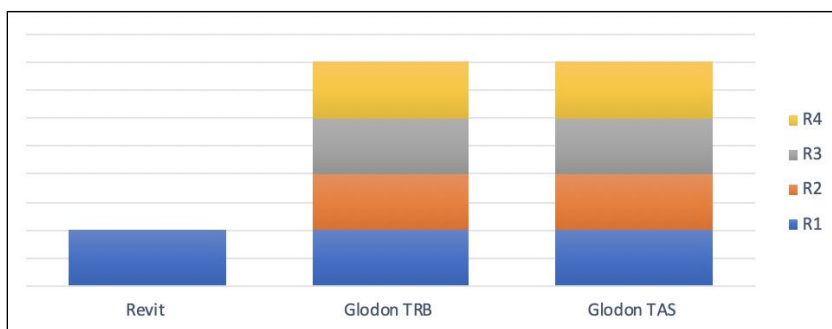


Figure 2. Types of BIM Software

### Functions of BIM

R1 used BIM for different purposes compared to other respondents. R1's focus is modeling, coordination and clashes analysis because they are responsible to measure mechanical and electrical work. Other respondents are mainly using BIM for the preparation of BQ and quantity take-off. Glodon can generate BQ using a standard method of measurement so it greatly helps the QS consultant in measurement.

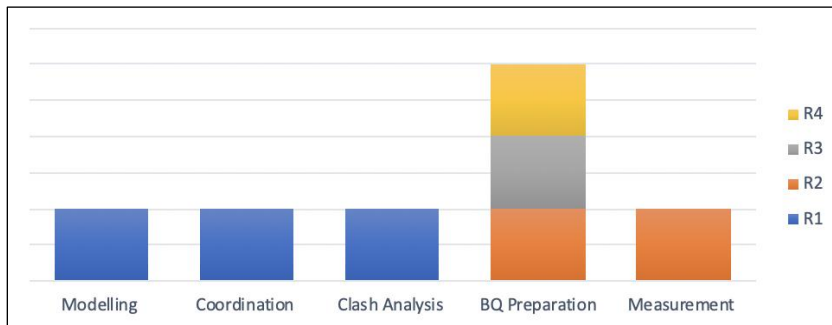


Figure 3. Functions of BIM

### Benefits of Using BIM

R1, R3, and R4 state BIM can speed up the production of BQ and other documents. Apart from R3, the other respondents agreed that BIM produces accurate data, to the extent that R2 is confident to meet his client and can give assurance to the clients on the accuracy of quantity. Next, R2 and R3 highlighted another benefit of BIM is the 3D representation of measurement. It helps in bulk checking and able to capture 90% of the building.

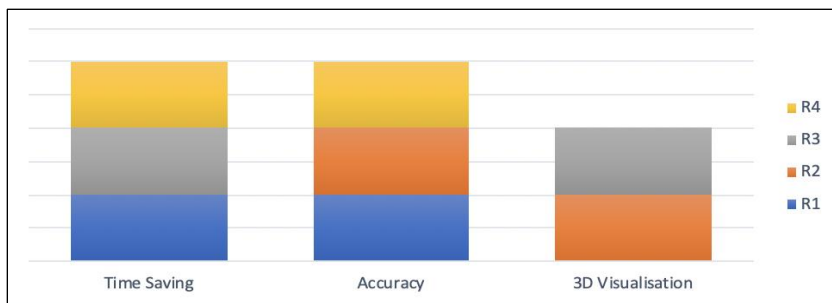


Figure 4. Benefits of BIM

### Ways to Monitor BIM Works

By monitoring the quality of BIM works, R1 stated that he uses a cloud-based software called Autodesk 360. He mentioned it is important to keep, share and manage the information of BIM. As for the other respondents, their usual practice is doing a bulk check after finishing modeling in BIM, to ensure quantities are correct and accurate.

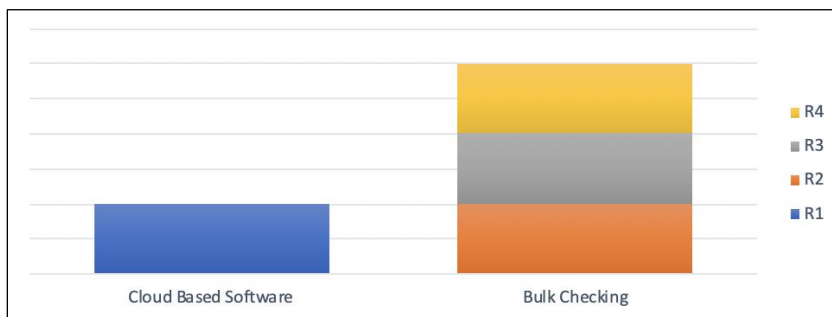


Figure 5. Ways to Monitor BIM Works



## QS COMPETENCY REQUIREMENT AND BIM SKILLS

### Objective 2 – QS BIM Competency

Respondents have shared their perspectives on the competency of QS practitioners and QS graduates via the semi-structured interviews and categorized as per Table 4.

**Table 5.** Coding for Objective 2

Category	Themes	Subthemes
BIM Competency	1. Importance of BIM skills	a. Level 1 b. Level 2 c. Level 3 d. Level 4
	2. Ways to train BIM skills	a. Hands on project b. Training/ short course/ class
	3. BIM knowledge of graduates	a. Attend seminar b. Attend course c. Self-learn
	4. Employability Requirement	a. Yes
	5. Top 3 BIM competencies	a. Administration b. Operation c. Technical d. Managerial e. Supportive f. Functional g. Implementation
	6. Mandatory competency	a. Ethics, rules of conduct and professionalism b. Conflict avoidance, management and dispute resolution procedures c. Data management d. Diversity, inclusion and teamworking e. Sustainability f. Communication and negotiation g. Client care h. Inclusive environments
	7. Core competency	a. Quantification and costing of construction works b. Programming and planning c. Contract practice d. Commercial management e. Procurement and tendering f. Construction technology and environment services g. Design economics and cost planning

### Importance of BIM Skills

Only R1 and R4 rate the importance of BIM skills of their employee at level 4 which is the ‘expert’ level. R4 mentions BIM skill is significantly important to a QS while R1 explains BIM is a future facility to deliver good quality and faster projects. Another 2 respondents (R2 and R3) are of the view that BIM is not critical, QS still needs to know about other basic QS skills, so their employees are only expected to have an advanced level of BIM skill (Level 3).

All respondents prefer QS graduates to be equipped with at least level 1 (basic) of BIM skills before joining the company. R2 further emphasized that the basic is important; fresh

graduates must know how to use BIM software and what they can do with BIM. R1 mentioned this because due to the advancement in technology, fresh graduates need to keep improving and learn new things. If not, they will be outdated.

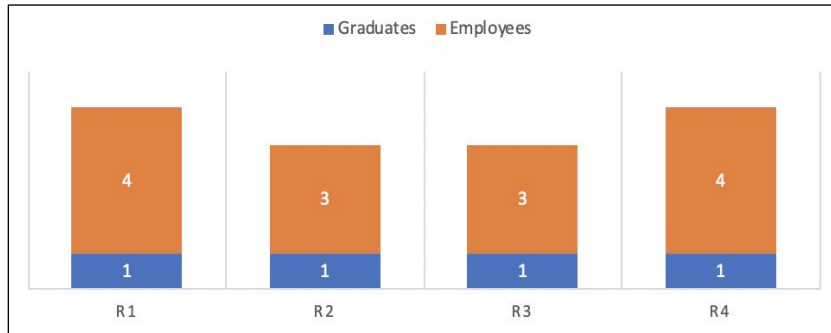


Figure 6. Importance of BIM Skills

### Ways to Train BIM Skills

R1, R3, and R4 will train the new employees who lack of BIM skills by giving them some projects, to use BIM from basic like structural and architectural. Besides that, except for R1, other respondents are willing to send their employees for short courses organized by Glodon.

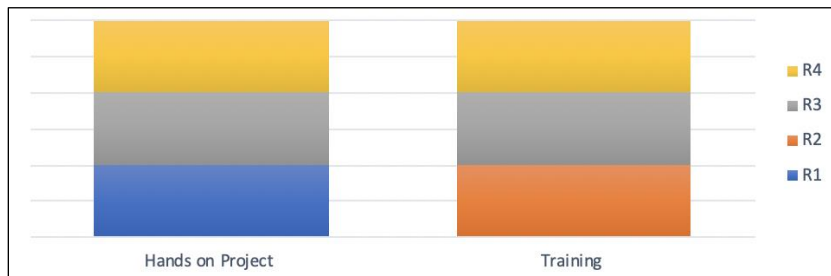


Figure 7. Ways to Train BIM Skills

### BIM Knowledge of Graduates

When BIM has an update, the respondents except R2 will send their new employees to the courses provided by BIM like Glodon. R1 mentioned he allocates funds for employees' development. Besides that, R2 and R3 will assign experienced employees in their firm to take charge of BIM updates. He or she will be responsible to learn the new features of BIM and communicate issues on BIM usage with the BIM expert to reduce miscommunication. Furthermore, R1 suggested that he would also send his new employees to a seminar organized by Building Smart, PAM or others if he thinks it is suitable and useful, so they will be updated with the new technology, features, and knowledge.

All 4 respondents mentioned they will consider employing fresh graduates with very basic BIM knowledge. R2 explained he appreciates the fact that we cannot learn everything in school/university as most learning process will be from the industry, thus why he will give them an opportunity. While R3 stated that it is important that fresh graduates are willing to learn and have a coachable attitude.

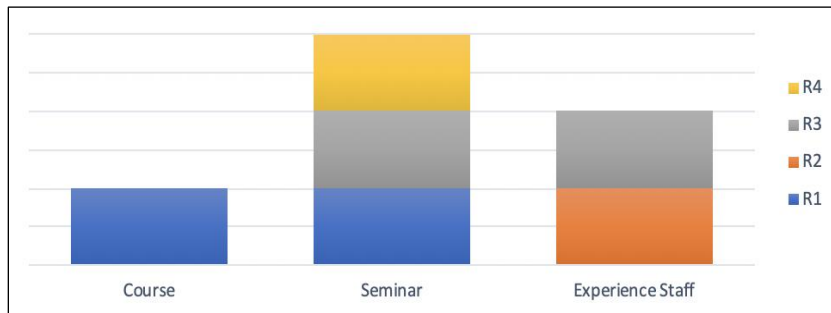


Figure 8. BIM Knowledge of QS Graduates

### Competencies Requirement of QS Graduates

R1 and R2 pick administration because QS needs to be very organized. QS must deal with client, architects, contractors, and others, and he/she must manage the massive amount of information produced by them. R1 and R4 think the operation is important because QS needs to deal with contractors. Next, all respondents except R2 choose technical competency because they expect the graduates' foundation of measurement must be good and whether the graduates are competent to use BIM. While R2 would like to see managerial competence in graduates because QS needs to be familiar with the price and able to generate costs quickly by using BIM. R3 mentioned supportive competency is important as in how the graduates deal with people. Furthermore, R2 and R3 think functional is one of the important competencies they expect from the graduates. The ability to do a quick estimate during the meeting with the designer. R4 expects graduates to have implementation competency because the application of knowledge into work progress is very important.

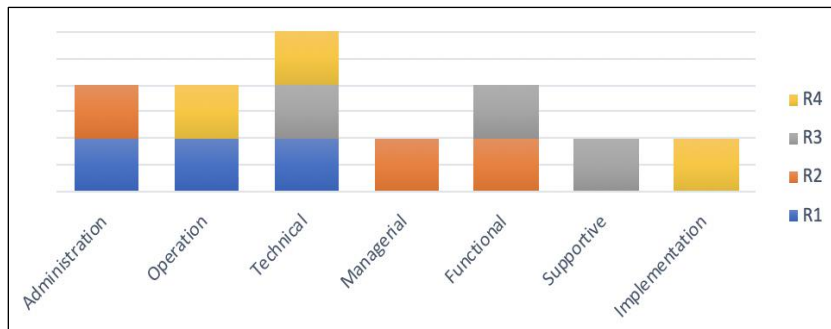
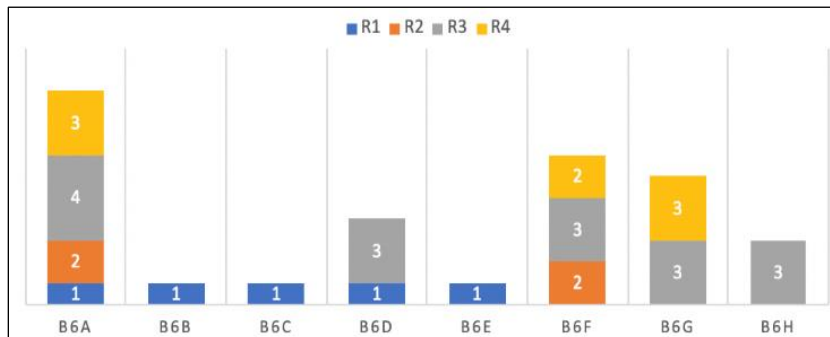


Figure 9. Competencies Requirement of QS Graduates

### QS Graduates Mandatory Competency Requirements

R1 prefers graduates with a good attitude and willingness to learn. However, he thinks these mandatory competencies are the basis of a QS, so he said level 1 is enough. R2 mentioned ethics is very important (level 2) because a QS needs to be firm in their dealings as well as honest. He added that communication is what the graduates are lacking now, they are unable to communicate and deliver the message, idea or right information clearly and he sometimes has difficulties in understanding them. R3 rated ethics, rules of conduct and professionalism at the highest (level 4) compared to other competencies because honesty is very critical when graduates start working. Besides that, he also rated diversity, inclusion and

teamwork, communication and negotiation, client care and inclusive environment at level 3. R3 added he is not looking for independent people but a team player, so team working is very important. R4 mentioned ethics, rules of conduct and professionalism are as important as client care because they are employing graduates for the long term. Thus, the graduates must act professional and secure the job given by the client to protect the reputation of their company. R4 prefers graduates with an intermediate level of communication and negotiation.



**Figure 10.** Scale of Mandatory Competency of QS Graduates

R1 explained that he is not expecting the graduates to have other competencies besides quantification and costing of construction work and programming planning because he started working without those competencies, so he rates this at level 2. R2 mentioned graduates should have an intermediate level of understanding contract. He ranks these competencies level 2 because the graduates can learn it along when they start working.

From the figure 10 above, R3 is stricter with the core competency of graduates. He ranked the contract practice, commercial management, and procurement and tendering at level 3 and quantification and costing of construction works and construction technology and environmental services at level 4. Similar to R2, R4 does not expect a high scale level of competency from the graduates as he is willing to guide the graduates along the journey of working so he gives a rank of level 2 for the competencies he thinks a graduate should have, which are quantification and costing, contract practice, construction technology, and environmental services and also design economics and cost planning.

### QS Graduates Core Competency Requirements

For the core competency aspect, all respondents agreed that BIM skill requirement is only at level 1 as they understand graduates would not have learnt the full outline of BIM in university. Other than R1, other respondents have chosen contract administration as one of the optional competencies. R2 and R4 rate the requirement for this competency at level 1 but R3 is level 2. Although graduates need to produce contract documents, R2 and R4 explained that graduates probably do not have many opportunities to prepare contract documents during their internship, so they only expect the graduates to know the production of contract documents theoretically.

Due diligence of a graduate is important to R3 and he justified a graduate need to do double-checking when they finish the work. As QS work will be very hectic with measurement especially before tendering, so the graduates must be responsible for their work.

R4 however expects graduates to have a basic understanding of construction and financial risk and thus he picks risk management (level 1).



Figure 11. Scale of Core Competency of QS Graduates

## FINDINGS AND CONTRIBUTION OF THE STUDY

Based on the input collected, all respondents started using BIM because of its' efficient and effective quantity take-off feature which can be used at every stage of construction. Currently they are using Glodon TAS and TRB only because it is the only BIM that comply with standard of measurement method 2 (SMM2). BIM allows QSs to visualize the building in 3D and obtain accurate quantity in a shorter time. However, human errors do happen sometimes, so they always do manual bulk checks to monitor the quality of BIM work output.

As all respondents have at least five years of BIM-related working experience and their employees are expected to have level 3 or 4 of BIM skills. However, they are willing to hire QS graduates with basic BIM skills. For the graduates, they are willing to train them by attending short courses provided by BIM and giving hands-on project experience. Each employer has different perspectives on the BIM competency of a QS due to their diverse working experience. However, the result shows most of the employers expect the QS graduates to have technical competency especially on using BIM software because they are no longer doing measurement manually. The findings also show that ethics, rules of conduct, professionalism, communication, and negotiation skills have higher ranking in mandatory competency. The employers wish to hire QS graduates who are able to communicate, explain and discuss their BIM works to the client. Quantification and costing of construction work and contract practice is still the necessary core competencies of QS graduates as all the respondents give a scale of 2.

In conclusion, currently, consultant firms mainly use BIM for quantity take-off and BQ preparation. Malaysian construction industry has yet to take full advantage of BIM capabilities which is expected to increase soon. By understanding the required BIM competency of a QS, it helps the graduates to prepare themselves for future employment.

Future studies can be carried out by identifying the utilization of BIM in contractor firms because it is noticeable that the BIM adoption rate in contractor firm in lower. BIM can be very useful in contractor firms for clash analysis, coordination and facility management. It will be interesting to know the reasons why contractors in Malaysia have not implemented BIM widely in their organizations.

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# STRATEGIC PROCESS PROTOCOL FOR BUILDING INFORMATION MODELING (BIM) CONTRACT ADMINISTRATION IN MALAYSIA – A CONCEPT PAPER

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## Abstract

Building Information Modeling (BIM) in this age of digital construction is very well established as a technology to solve and encourage building stakeholders to address issues in the construction industry such as the disintegration of construction processes, weak project management, and issues relating to the project execution, such as delays and cost overruns. Nevertheless, many scholars have found that one of the main barriers in BIM adoption is the unresolved legal and contractual issues. Until today, the contractual requirements for BIM implementation is still unsettled to overcome interoperability issues in BIM processes, ownership of BIM data and models, unclear payment mechanism and the most crucial is the non-availability of BIM provisions in the standard forms of contract. This paper aims to propose a conceptual framework on the strategic process protocol for BIM contract administration in Malaysia. It reviewed the current literature and suggested the adoption of qualitative research as the strategy for the primary data collection. The proposed exploratory study aims to analyse the contractual issues and find solutions for improving the existing implementation. Findings from the literature conceptualise the importance of having the right contractual practice as one of the improvement pillars in BIM adoption. It is hoped that the study will contribute to a new knowledge of BIM contract administration in project management and construction studies, increase the awareness on the contractual rights and responsibilities amongst the BIM stakeholders, thus to enhance the utilisation of BIM as the catalyst for digital construction.

**Keywords:** *Building Information Modeling (BIM); contractual issues; contract administration; process protocol; BIM-based construction projects.*

## INTRODUCTION

Under the Eleventh Malaysia Plan (11<sup>th</sup> MP), transformation in the construction industry is one of the key areas that has been identified as the main driver to accelerate the national economic momentum. The Construction Industry Transformation Programme (CITP), covers duration from the year 2016 until 2020, aims to empower and strengthen the construction industry via a few strategic Thrusts under the 11<sup>th</sup> MP. Nevertheless, there are still certain obstacles that are crucial to be highlighted. According to the Construction Industry Development Board (CIDB), issues of ineffectiveness and low productivity of the construction industry are still occurring. These are due to the limited adoption of technologies and practices (CIDB, 2017). Modern technology, such as information and communications technology (ICT) need to be embraced and utilised successfully. One of the ways to do it is by using Building Information Modeling (BIM), which is widely known as an advanced ICT that can improve the efficiency, productivity and quality of construction developments (CIDB, 2017). With the use of BIM in construction projects, the virtual creation of model can increase the accuracy of design and construction process (Balali et al., 2020). Hence, the construction industry can embrace the digital era of the 4<sup>th</sup> Industrial Revolution together with the other innovations in digital construction, such as the Internet of Things (IoT), Augmented Reality (AR)/Virtual Reality (VR), drones, 3D construction printings and many more.



One of the critical components that ought to be tackled in advance should be the contractual and procurement aspects of BIM implementation. Currently, the existing contractual documents available in BIM-based construction projects are still lacking in terms of demonstrating the precise requirements to support BIM collaborative process and information sharing (Abdirad, 2015; Winfield & Rock, 2018). In Malaysia, a few scholars have also criticised the same situation (Abd. Jamil & Fathi, 2019; Kamal Hasni et al., 2019; Teoh et al., 2018). It is discovered that very limited references are currently available to solve unclarities that occurred from the use of BIM data as well as the uneven contractual risks associated with the supply chain process in the local context. Hence, based on a comprehensive literature review, this study aims to propose the conceptual framework for the strategic process protocol as an instrument to improve the contract administration of BIM-based construction projects in Malaysia. In deriving this aim, a few objectives have been determined. Firstly, to explore the current practice of BIM in the construction industry, secondly to outline the existing BIM contractual protocols and requirements used in the industry, thirdly to highlight the contractual issues arise from BIM implementation, and finally to describe the established process protocol practices in the construction industry.

## **BUILDING INFORMATION MODELING (BIM) IN THE CONSTRUCTION INDUSTRY**

In recent years, the definition of BIM has been emerged into a modernised terminology to reflect the evolution involved in the construction industry. BIM can be described as "a verb or adjective phrase to describe tools, processes, and technologies that are facilitated by digital, machine-readable, documentation about a building, its performance, it is planning, its construction, and later its operation" (Sacks et al., 2018). BIM combines three main pillars, namely process, technology and policy (Haron et al., 2015). As a tool that can change the traditional ways of doing things, BIM integrates human and technology within construction processes throughout the lifespan of construction projects. It can ensure the project goals and objectives be delivered successfully. However, the right knowledge of doing BIM is very crucial to gain those benefits. It is pertinent to mention that the implementation of BIM demands a high order of thinking in terms of the way construction business can work. Therefore, to utilise BIM technology into construction practice, the players must not only master the software applications (Sacks et al., 2018; Yaakob et al., 2014). The knowledge on how to recreate the work sequences, consistent training programmes to upskill the competency levels and the capability to fulfill all responsibilities assigned are the most significant traits that they should be able to equip from time to time.

In the global arena, various standards have been established to support the utilisation of BIM processes such as BIM Guide Series (US), ISO 19650 (UK), Senate Properties' BIM Requirements for Architectural Design (Finland), NATSPEC National BIM Guide (Australia), BIM Standards Manual and Project Specification (Hong Kong), Singapore BIM Guide and many more. In the year 2016, the Public Work Department (PWD) and CIDB have taken similar action by releasing a few Malaysian standards such as CIDB BIM Guide, PWD BIM Guidelines, Standards and Manual Working Process with the mission to ease the BIM implementation for public sectors construction projects. The use of Level 2 BIM for public projects is mandatorily required, where the contract values exceeding RM100 Million (Kamal Hasni et al., 2019; Roslan et al., 2019). Nevertheless, until today, there are still many local players who reluctant to adopt BIM. It is reported that only 10 percent of construction players in Malaysia who adopted BIM in their construction projects (Mamter et al., 2017). In fact,

many scholars have criticised that the level of BIM adoption in Malaysia is still at its infancy stage (Kamal Hasni et al., 2019; Teoh et al., 2018). In a national report produced by the CIDB (CIDB, 2017), one of their findings disclosed that 45% of the respondents from various construction backgrounds with the knowledge of BIM show that only 17% of them have experience using BIM. From this population, 12.8% (which is the highest result) have 1 to 5 years of experience implementing BIM. These shreds of evidence suggest that BIM in the Malaysian construction industry is still in embracing stage. Many local construction players are still relying on traditional approaches when developing construction projects. Hence, this will reflect the status-quo of the industry's performance, such as poor coordination throughout the supply-chain and isolation of professionals based on their skills and expertise. Construction players that are disconnected and work in isolation ways will result in inefficiencies of managing the project, which can lead to various conflicts and disputes between them (Ariffin et al., 2017). For these reasons, BIM working procedures such as protocols and contractual requirements have been established from time to time to facilitate the construction players to do the right BIM processes.

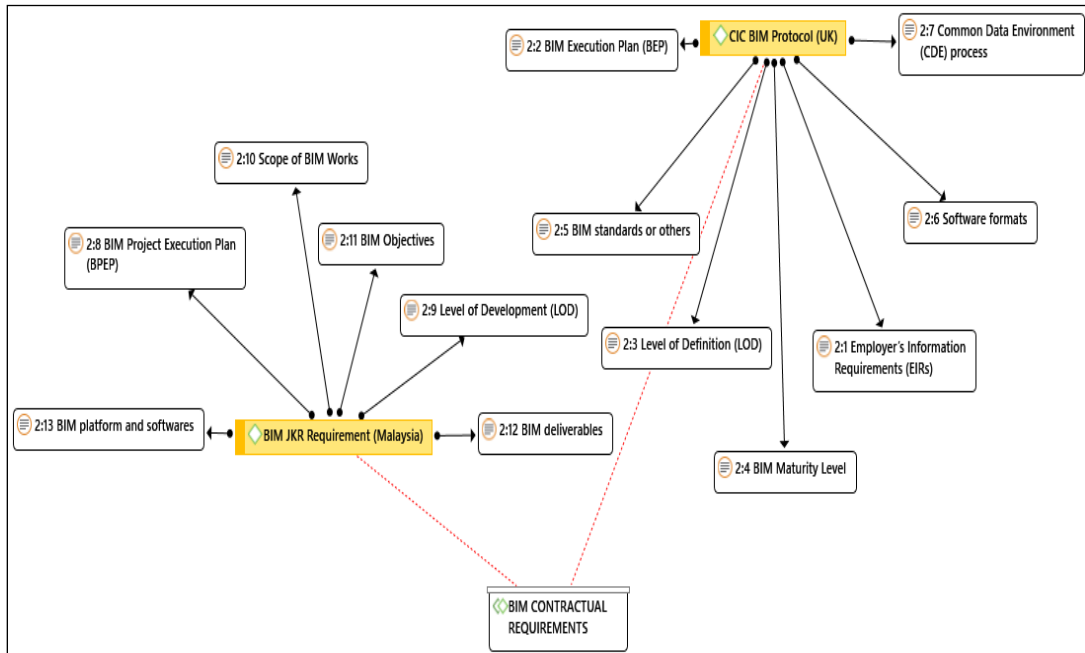
## **BIM PROTOCOLS AND CONTRACTUAL REQUIREMENTS**

It is important to know some of the existing BIM contractual guidelines that are available worldwide. The function of these documents is mainly to facilitate the BIM players, especially to the contracting parties, on how they should fulfill their contractual obligations while managing BIM-based projects. These documents can be described as follows:

- Time and Cost Management Contract, Chartered Institute of Building (CIOB) 2015, UK
- JCT Design and Build Contract 2016 (*integrated with CIC BIM Protocol*), UK
- BIM and JCT Contracts 2019 - Practical Note (*integrated with CIC BIM Protocol*), UK
- New Engineering Contract (NEC4) Engineering and Construction Contracts 2018 (*integrated with CIC BIM Protocol*), UK
- CIC BIM Protocol, 2<sup>nd</sup> Edition 2018, UK
- AIA Doc G201 2013, US
- AIA Doc G202 2013, UK
- AIA Doc E203 2013, US
- Consensus DOCS – 301 BIM Addendum 2008, US
- BIM Particular Conditions, Version 2 2015, Singapore
- JKR BIM Requirements for Design and Build Projects 2016, Malaysia.

Figure 1 outlines some of the provisions in the JKR BIM Requirement (Malaysia) and CIC BIM Protocol (UK). These two references were discussed in this section to demonstrate the similarities and differences of the risk allocation for BIM implementation. The common elements between these two guidelines emphasised the needs for delivering the project based on specific levels of development (LOD), standardisation on the types of software and data format, employer's information requirements (EIR) and the requirement for the contractor to propose their BIM execution plan (BEP) for tendering and post-contract purposes. Nevertheless, provisions such as the remedies for breach of BIM data security, time and cost compensation, management of changes or variation orders, termination of contract and

resolution of conflicts are not explicitly stated particularly in the BIM JKR Requirement, which means there are some considerations still lacking in the existing contractual guideline. It is pertinent to mention that the higher integration process in BIM may create more risks to the project implementation. Therefore, parties involved with BIM must know the right allocation of risks and responsibilities to overcome these difficulties.

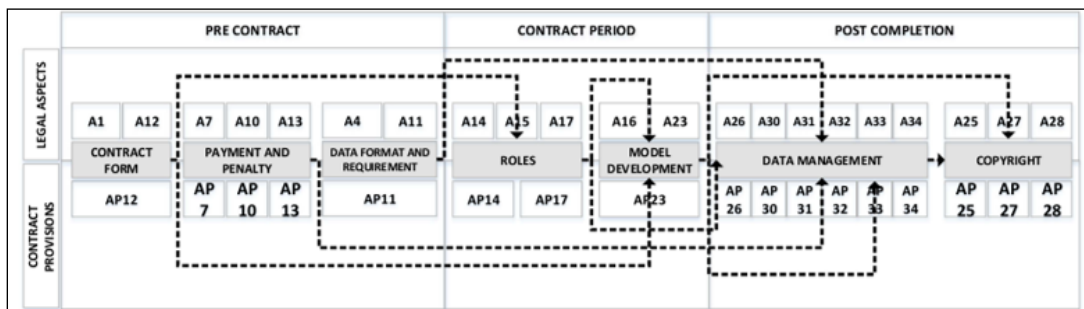


**Figure 1.** BIM Provisions in the JKR BIM Requirement and the CIC BIM Protocol

In Figure 2 below, it can be discovered that the administration of the contract for BIM is separated into three main phases, which are the pre-construction stage, construction and post-construction stage (Chong et al., 2017). It comprises the whole life cycle of BIM contractual integration, whereby the roles and responsibilities should be allocated clearly amongst the BIM project team. Furthermore, the right contractual languages should be used in drafting BIM requirements for the contract administration. Eastman, as quoted in Manderson et al. (2015), specifies that the language of the first level should cover all technical specifications to standardise the use of BIM for project execution and the language of the second levels should show the highest level of process definition and protocols which can then be included in the BIM contract documents. Without the clarity and adequacy in drafting the BIM contractual guidelines, it will impact more contractual issues, particularly during the collaboration processes.

## CONTRACTUAL ISSUES IN BIM IMPLEMENTATION

The contractual issue can be defined as a problem that incurred between two or more parties due to the unfulfillment of obligation in their existing agreement (Abdullah Habib, 2017). Currently, the construction contracts are still relying on traditional contractual practices; hence many obstacles were found when the construction sequences have to be integrated with the digitalised coordination works and modernised technology. This can be associated with the procurement and contractual issues of BIM implementation.



Chong et al. (2017)

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| <p><b>PRE-CONSTRUCTION</b></p> <p><b>A. Contract Form</b><br/>                 A1 - BIM standard form of contract<br/>                 A12 - Collaborative Procurement method (i.e. Partnering or IPD)</p> <p><b>B. Payment and Penalty</b><br/>                 A7 - BIM Cost based on fixed percentage of overall project cost<br/>                 A10 - BIM Cost based on completion of models and functions required in the project<br/>                 A13 - Cost for model development including the penalty and rewards</p> <p><b>C. Data Format and Requirement</b><br/>                 A4 - Digital Data or Information as a part of contract documents<br/>                 A11 - Standards or guidelines throughout BIM model development</p> <p><b>CONSTRUCTION PERIOD</b></p> <p><b>D. Roles</b><br/>                 A14 - Appointment of BIM Manager<br/>                 A15 - Roles and scopes of works for all parties involved<br/>                 A17 - Clear definition and connection of client, designers and contractors</p> | <p><b>E. Model Development</b><br/>                 A16 - BIM goals and quality checks for different stages of development<br/>                 A23 - Standard of care by all parties for the use of BI model</p> <p><b>POST-CONSTRUCTION</b></p> <p><b>F. Data Management</b><br/>                 A26 - Development of BIM model in advance in all project development stages<br/>                 A30 - Protection, security and integrity of digital data<br/>                 A31 - Prevention of data loss and privacy<br/>                 A32 - Liability of Data Providers for the data inserted in the model<br/>                 A33 - Use and access, recordkeeping, warranty and preservation of the model within agreed duration<br/>                 A34 - Indemnity against any errors or technical issues from tools and software used</p> <p><b>G. Copyright</b><br/>                 A25 - QR Code to prevent any infringements or copyrights issues<br/>                 A27 - Designer who develop the model own the rights of copyright<br/>                 A28 - Owner of the model can use, access and reproduce the model with permission</p> |
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**Figure 2.** The Contract Administration Aspects of BIM-Based Construction Projects (Adapted from Chong et al., 2017)

### Fragmented Procurement System

The traditional procurement system in Malaysian public projects has several limitations (Ariffin et al., 2017). One of them can be associated with the segregations of design, construction and the Facility Management (FM) stage. Hence, alternative procurement routes have been innovated from time to time to overcome these limitations. Integrated Project Delivery (IPD) has emerged in recent years as a new project delivery method that has a vast potential to revolutionise the delivery of BIM-based projects. It is also known as the relational contract comprised procurement (Abd. Jamil & Fathi, 2018) whereby all construction parties are abided to comply with their employment agreement under a single multi-party contract. Nevertheless, only a limited number of projects are using this integrative method. Some of the challenges with IPD are due to the unresolved legal and contractual issues and organisational problems (Ghassemi & Becerik-Gerber, 2011), such as ambiguous descriptions of the roles and responsibilities of various parties involved with the contract.

Therefore, many practitioners are now reversing to explore the parts of BIM within the existing procurement methods (Aibinu & Papadonikolaki, 2016; Holzer, 2015), such as Traditional and Design and Build. However, more specific research should be conducted to study the potentialities (Ariffin et al., 2017). Although some of the conventional procurement methods inhibit cooperation an integration (Jowett et al., 2018), but many prospects and opportunities can be enhanced from Design and Build processes starting from the pre until the post-construction stage of BIM employment (Abd. Jamil & Fathi, 2019; Jowett et al.,

2018). Hence, more strategies and endeavors are required to improve the current atmosphere of existing procurement frameworks.

### **Legal and Contractual Barriers in BIM**

It is important to note that, somehow, the usage of BIM can carry more threats in achieving mutual collaboration. There is a belief that a higher level of BIM maturity, will create more emerging issues due to the intricate arrangement of collaborations amongst the project participants (Abdirad, 2015). In BIM adoption, the contractual issues are very much related to the unclear project roles and responsibilities, copyright and insurance coverage due to the multi-party involvements (Porwal & Hewage, 2013). These will rise into the professional liability due to errors and corruptions while transferring data into the BIM models (Abd. Jamil & Fathi, 2019; Ghaffarianhoseini et al., 2017; Teoh et al., 2018). Moreover, improper financial strategies may also lead to unclear financial sharings such as fees, payments, rewards and penalties (Abd. Jamil & Fathi, 2018; Fan et al., 2018; Jiang et al., 2018; Kamal Hasni et al., 2019). Hence, the identified barriers could lead to dispute and conflict, but the dispute settlement mechanism is still missing until today (Mat Ya'acob et al., 2018). These challenges occurred due to the more complex procedures that the parties need to collaborate. The higher integration process in BIM may create more risks to the project implementation. Therefore, the parties involved must know the right allocation of risks and responsibilities to overcome these difficulties.

### **Contractual Impediments in the Project Implementation Process**

It can be figured out that some of the construction practitioners who adopt BIM in their construction projects have a lack of understanding to integrate the work practices with BIM contractual requirements. Even though the client requires BIM Execution Plan (BEP) as a part of the BIM contracting documents, still, in reality, the actual progress on-site failed to capture the same planning as described earlier in the BEP (Abd. Jamil & Fathi, 2019). The BIM documents remain theoretic and not being adopted into real practice (Abd. Jamil & Fathi, 2018). In more recent discovery, it is revealed that there is an ambiguity of the validation process for FM data management during the handover stage for the operation and maintenance of a BIM project (Abd. Jamil & Fathi, 2020). Thus, these evidences show that inconsistencies in the interpretation of BIM documents make the parties to the contract fall within the "agreement to agree" principles (Winfield & Rock, 2018). It means that the parties agreed to make a contract, but they do not know the rights and obligations that they should execute when they entered into the BIM agreement.

### **Lack of BIM Requirements in the Contract Document**

The function of BIM requirements is to impose the rights and responsibilities in achieving the project success. Nevertheless, there is a claim that the existing legal and contractual frameworks are "too unsettled" (Porwal & Hewage, 2013) and rather obstruct than support the uses of BIM (Holzer, 2015). This circumstance can lead to constructability issues that can lessen the esteem from the utilisation of BIM. Currently, there is an absence of appropriate BIM contracting documents, particularly in the Malaysian construction industry (Abd. Jamil & Fathi, 2018; Kamal Hasni et al., 2019; Teoh et al., 2018). The available contracting systems that put conventional forms of collaboration will only lead to un-unified contract strategies

(Eschenbruch & Bodden, 2018). Therefore, these problems remained long-standing challenges for the construction industry. The legal and contractual barriers in BIM are mainly occurred from the non-standardised contracting system and due to the lack of clarity in determining the right content for BIM processes, particularly on the project roles and their contractual responsibilities.

The contractual hurdles with the current implementation of BIM may be summed up as a result of the fragmentation of the current procurement system, legal and contractual barriers which hamper the adoption process, poor understanding of BIM project players, and lack of contractual framework standards to serve as a guideline for BIM contracts. As mentioned before, until recently, no standard forms were incorporating BIM provisions in the Malaysian construction contracts. The identified contractual barriers somehow must be resolved to improve the BIM implementation in our country. A new way of managing the contract administration is therefore needed since BIM adoption involves a few phases of development and these will be described further in the next section.

## **PROCESS PROTOCOL FOR BIM-BASED CONTRACT ADMINISTRATION**

The above discussions have discussed some of the theoretical backgrounds related to BIM and its contractual implications. This section focuses more on the existing process protocols that have been established in the construction industry. The evolution of process protocol starts from the introduction of RIBA Plan of Work in the year 1993 and the Generic Design and Construction Process Protocol (GDCPPP) by the University of Salford, UK in the year 1998 (Al-Ahbab, 2014; Kagioglou et al., 1998). The main purpose of innovating this mapping process is to solve many flaws related to communication, coordination, and disintegration of development processes within the UK construction industry.

For almost three decades, various researches from the Western and Eastern countries have adopted the principles of process protocol, and integrated them into various construction management areas such as studies conducted by (Al-Ahbab, 2014; Goulding & Alshawi, 2002; Hijazi et al., 2018; Khalfan, 2006; Mzyece et al., 2019; Nyando & van Breda Strasheim, 2012; Russell et al., 2018; Thomson et al., 2008; Zerjav & Ceric, 2009). The main principles of the process protocol can be described as the followings (Al-Ahbab, 2014; Kagioglou et al., 1998):-

- i. Processes are assembled to represent the whole project view until the operation and maintenance stage of construction projects
- ii. The “stage-gate” approach will be integrated whereby before the next phase can proceed, it needs to undergo the review and validation process
- iii. The activities are mutually interrelated
- iv. Each stakeholder needs to get involved via tasks and responsibilities assigned to them
- v. Effective coordination is developed from the initial stages to encourage multi-functional team members
- vi. In each phase, the feedback will be reported for future improvements.

The more recent researches have innovated the process protocol concepts with BIM adoption by integrating the use of Construction, Design and Management (CDM) regulations with BIM processes (Mzyece et al., 2019) and the roles of IPD processes to enhance the high-

level collaborations for projects implementing BIM (Al-Ahbabi, 2014). As a result, this tool has been treated as a solution to construction management. It proves the importance of transparency, particularly in defining the roles and responsibilities of construction stakeholders. Besides, the check and balance of each activity can be done effectively through the reviews and validation processes throughout the development phases.

**Table 1.** Differences Between the Existing Process Protocol & Proposed Process Protocol

Scope	IPD BIM Process Protocol (Al-Ahbabi, 2014)	Proposed Strategic Process Protocol
Phase	Seven Phases involved: <ul style="list-style-type: none"> <li>• Phase 0: Strategy Setting</li> <li>• Phase 1: Project Requirements</li> <li>• Phase 2: Integrated Procurement</li> <li>• Phase 3: BIM-based tender</li> <li>• Phase 4: Integrated Design</li> <li>• Phase 5: Construction</li> <li>• Phase 6: Operate (FM)</li> </ul>	Five Phases to be proposed: <ul style="list-style-type: none"> <li>• LOD 100: Concept</li> <li>• LOD 200: Design Development</li> <li>• LOD 300: Documentation</li> <li>• LOD 400: Construction</li> <li>• LOD 500: FM</li> </ul>
Sub-phases	Involved 11 departments (all stakeholders throughout the BIM supply chain)	The BIM players/concerned parties that involved throughout the building life cycle (to be identified)
Processes	Procurement processes for Integrated Project Delivery	Contract administration processes (to be identified)
Outcome	Improve collaboration via Integrated Project Delivery (IPD) throughout the supply chain of BIM-based construction projects	Improve the contract administration processes throughout the supply chain of BIM-based construction projects

One of the main findings in the research conducted by Al-Ahbabi (2014) revealed that the contracts and legal frameworks seem to be the main hurdle to create a healthy collaborative environment in BIM implementation. The study has also suggested a few recommendations for further explorations, such as a new contractual instrument should be developed to overcome the issue of poor collaboration in BIM adoption. Furthermore, an innovative multi-party contract with clear collaboration objectives must be reformed by emphasising the rewards and risks sharing amongst the BIM project actors. Hence, this research aims to incorporate the principles that are commonly used in the process protocol frameworks. Table 1 above demonstrates the differences between previous research (Al-Ahbabi, 2014) and the proposed study. Concentration will be made on the development phases, sub-phases and processes involved in the proposed process protocol. The next part explains the methodology used for the literature findings as well as to propose the strategies for main data collection.

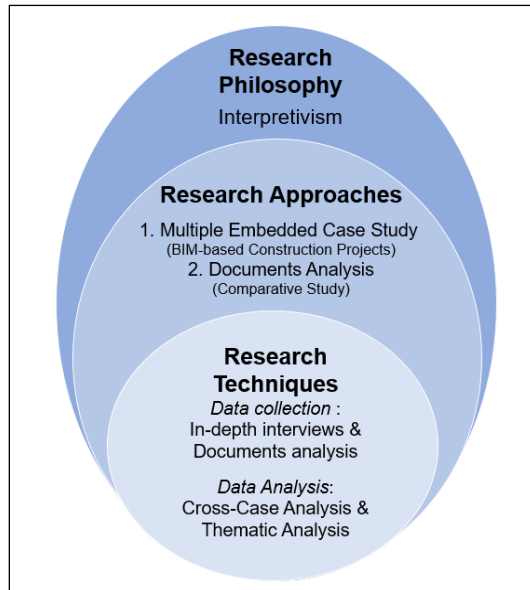
## METHODOLOGY

This paper is part of on-going research, and a review of the related articles has been conducted from the year 2002 until 2020. Specific keywords such as “Building Information Modeling (BIM),” “BIM,” “contractual issues,” “contract administration” and “process protocol” were searched in the online databases such as Scopus, Web of Science, Emerald, Science Direct and a few other relevant databases. Relevant theses and secondary data from the existing BIM standard forms and protocols are referred too (Refer Table 2). Hence, the information presented in this paper was primarily based on the literature review and the findings obtained were engaged to formulate the conceptual framework of the proposed process protocol.

**Table 2.** Summary of Inclusion Criteria for Literature Review

Eligibility	
Criteria	Inclusion
Timeline	2002 - 2020
Outline databases	Scopus, Web of Science, Emerald, Science Direct, and others
Document types	Journal articles, conference paper (indexed), government report, standard forms of contract, protocols, and theses
Language	English
Industry	Construction

Figure 3 describes the research methodology to be employed in the proposed empirical study, whereby they can be differentiated in terms of the philosophical level, research approaches and data level. Qualitative research adopted due to the nature of the proposed study deal with the ‘reality’ behind the situations (Saunders et al., 2009), to be more specific, on the current practice of the contract administration processes in construction projects implementing BIM.

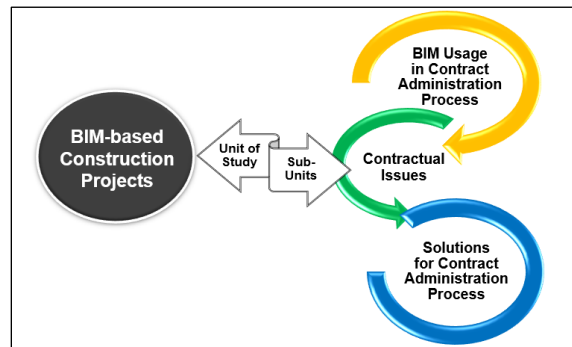


**Figure 3.** Proposed Research Methodology

The primary objective of applying this qualitative research in the main study is to collect as much as possible on the participants' views. Then the meanings will be constructed and interpreted to make sense of what else that can be done to improve the current situations (Creswell & Creswell, 2018). The multiple-embedded case study is the approach that will be employed, whereby four to six numbers of case studies are sufficient to get compelling results from the main data collection (Yin, 2018). The purposive sampling technique will be used to identify the number of informants based on certain specific criteria, such as the years of experience with BIM-based projects and the knowledge about the contract administration process. Feedbacks from the BIM practitioners will be collected through face-to-face interviews to discover the challenges that they have been facing while managing the BIM-based construction projects. The inspection from BIM documents will help to assess the level of compliance on the BIM contractual requirements. Hence, the phenomena in the real BIM-



based construction projects will be observed to investigate the actual issues faced and to identify the contractual solutions for further enhancements. Figure 4 illustrates the unit and sub-units of the proposed study. The units are determined based on the research questions after the extensive literature review has been conducted (Abdullah Habib, 2017). The unit of study is the BIM-based construction projects, and the sub-units consist of the BIM usage in the contract administration process, contractual issues and the solutions.



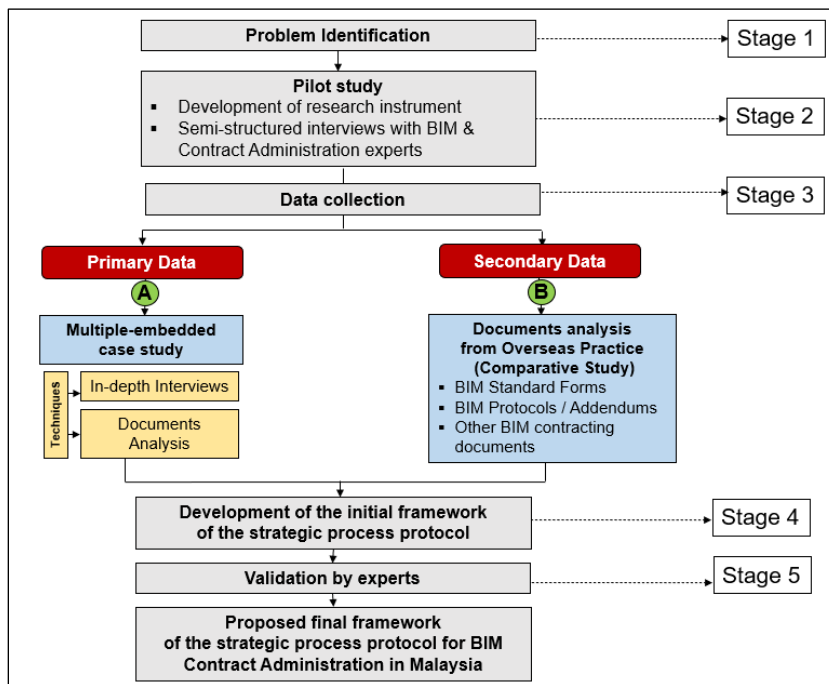
**Figure 4.** Unit of Study and the Sub-Units

The overall research design of the proposed study is demonstrated in Figure 5. It is pertinent to mention that there will be another research approach for the data collection, which is the comparative study of the existing BIM standard forms, protocols and addendums from international practice. These document analyses are considered important in formulating the contractual solutions from the BIM contractual issues. Besides, this approach is commonly adopted in legal and contract studies. Data collected from the empirical study will then be analysed by using cross-case analysis and thematic analysis. ATLAS ti.8 software will be used to expedite the qualitative analyses of this study.

## FINDINGS

The research area requires further investigation concerning the right contractual processes that should be embedded in the contract documents for any construction projects implementing BIM. There are still many missing parts that need to be improvised to solve the ambiguities and inadequacy issues, as highlighted above. The full potential of BIM demands a high level of collaboration that can integrate the client, contractor, designers, and suppliers differently from the non-BIM environments that they used to work before.

Referring to Table 3, it can be summarised that previous local researchers have discovered the alignment of procurement method, contractual challenges and BIM functionalities (Abd. Jamil & Fathi, 2018), new BIM provisions to modify the existing standard forms of contract (Teoh et al., 2018), contractual considerations for Level Two (2) BIM utilisation in Malaysia (Kamal Hasni et al., 2019) and many more. This motivates the need for an alternative approach whereby the proposed research will adopt the existing process protocol principles and takes into account the recommendations suggested by Manderson et al. (2015) and Englund & Grönlund (2018), to explore the contractual issues from the real BIM-based projects. Some modifications will be added to the proposed contractual process, roles and responsibilities and the LOD stages involve, which the contents will be investigated extensively during the primary data collection.



**Figure 5.** The Research Design for the Proposed Study

From the preliminary reviews, the conceptual framework for this research is proposed (refer to Figure 6). The first construct focuses on the BIM usage in the contract administration processes. The second construct concentrates on the contractual issues in BIM and the third one investigates the existing BIM contractual frameworks to know the strength and their weaknesses. Finally, the existing process protocol frameworks are evaluated to know the suitability of the process protocol principles with the proposed study. This research conceptualises:

- Five phases of BIM (LOD 100 until LOD 500)
- Subphases on the roles of the concerned parties (BIM players)
- Contract administration process within the LOD phases and subphases (contractual responsibilities)

These elements form the basis of developing the strategic process protocol framework of BIM contract administration in Malaysia. From the past studies (Al-Ahbab, 2014; Goulding & Alshawi, 2002; Hijazi et al., 2018; Khalfan, 2006; Mzyece et al., 2019; Nyando & van Breda Strasheim, 2012; Russell et al., 2018; Thomson et al., 2008; Zerjav & Ceric, 2009), the process protocol not only capable of showing high-level processes within the context of areas that have been explored. It can also add more value to the BIM supply chain by showing the interrelationships amongst the stakeholder involved throughout the building life cycle. The above findings disclosed that the integration of process protocol principles with the context of BIM contract administration particularly in Malaysia remained unknown. Hence, this study could lead to a good prospect to overcome the inadequacy of the roles and responsibilities of BIM contract administration, within the various phases of design and construction process.

**Table 3.** Malaysian Researches on the Challenges and Contractual Issues of BIM

Author	Year	Findings	Contributions
Abd. Jamil & Fathi	2018	Design and Build procurement method as the most appropriate to be used for BIM projects due to the transparency of flow besides integration with contract administration can be catered until FM stage.	Proposed an integrative contractual framework by aligning the BIM functionalities, procurement method and contractual provisions for BIM-based construction projects.
Ng et al.	2018	Main barriers of BIM implementation in Malaysia are due to the mindset and thinking of construction players. However, many initiatives have been done to promote and create awareness to all stakeholders in our country.	Some insights about the benefits and challenges of BIM in the current practice by the Malaysian construction players.
Yaakob et al.	2016	Some of the CSFs for the Malaysian construction industry to adopt BIM are the interoperability of BIM data, collaboration and standardise BIM processes as guidelines for the construction stakeholders.	The set of CSFs identified are the foundation for the successful delivery of BIM-based projects in Malaysia.
Kamal Hasni et al.	2019	Implementation of BIM is still limited to Level 0 and 1, which is more focusing on design stage only. Many limitations have been disclosed to support Level Two BIM in Malaysia.	Identified the contractual considerations for Level 2 BIM implementation in Malaysia.
Teoh et al.	2018	The infancy stage of BIM in Malaysia shows the need for modification in the existing standard forms of contract. The overall findings demonstrate an initial framework for the inclusion of new BIM clauses for the current construction contracts.	Identified new provisions for BIM to be incorporated into the existing standard forms of contract (CIDB and PWD).
Mat Ya'acob et al.	2018	The legal risks in BIM are the contributory factors to the slow adoption of BIM in Malaysia. The research disclosed that no clear standard and policy, as well as the unspecified BIM provisions in the standard forms, were some of the critical barriers that lead to uneven risk allocations in BIM implementation.	The study identifies risk factors in BIM project for risk response strategy to guide the construction stakeholders in identifying, assessing and evaluating the risk response process.
Abd. Jamil & Fathi	2019	From the content analysis, the study proposed some amendments for construction contracts based on the risks and barriers in BIM which have been discovered from the literature reviews and the case study.	The study disclosed a new approach to integrate BIM with contracting considerations, namely intellectual property right, risk allocations, professional liability and cloud-based computing infrastructure.

## CONCLUSION

The fears on the legal and contractual issues in BIM were due to its complexity during the project's implementation. Reviews have shown that little efforts have been employed in identifying the roles and functions of each BIM player with the spirit of collaboration. The traditional contractual structure involves the client and contractor as the parties to the contract. However, in BIM organisation, collaboration can only be achieved if the allocation of risks is defined appropriately to all stakeholders involved. It can be summarised that the procurement and contracting system in Malaysia is still lack of integration to support BIM processes from LOD 100 until LOD 500. The application of the BIM requires an unconventional procurement arrangement, a contract form, new contractual terms and conditions, and business alignment that differs from the traditional contracting process. Furthermore, new solutions need to be

done to enhance the transparency and accountability of information management. This information is crucial to be incorporated into the BIM contract documents. Hence, there is an urgency for a new contract reformation in the existing structure of the construction contracts. Other than that, a new contractual instrument must be developed for further refinement to the current BIM practice. The flow of information in BIM needs to be evaluated to promote integrity and reliability. Therefore, additional requirements must be made in the existing BIM contractual documents to allocate the risks and responsibilities not only for digital modeling but also on the part of the contractual obligations.

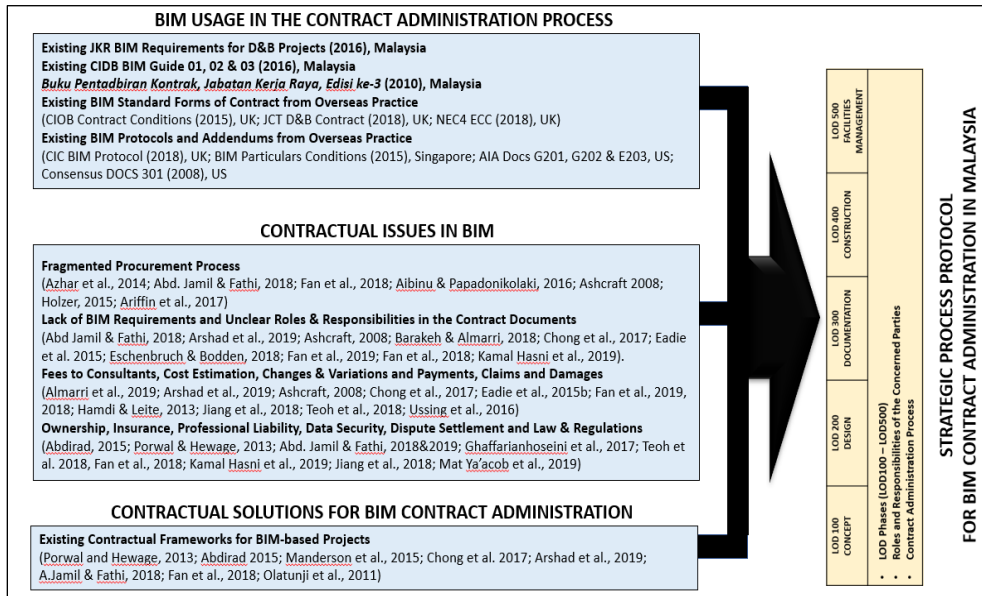


Figure 6. Conceptual Framework of the Proposed Study

From the past literature, it can be seen that the way to overcome the contractual barriers in BIM is by changing the current contract terms, formulating new BIM clauses to be amended in current standard forms, or developing new BIM protocols that can be complemented in the existing standard forms. This study further indicates that another development, which is by incorporating the principles of the process protocol into the administration of BIM contract, could lead to a framework that can help to standardise the processing and management of digital data with the EIR or BEP contractual obligations. This study is expected to facilitate the transformation of construction contracts for the future Malaysian construction industry.

## ACKNOWLEDGEMENT

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# THE CONCEPT OF TELECOMMUTING LIFESTYLE IN THE CONSTRUCTION INDUSTRY: QUANTITY SURVEYOR' PERSPECTIVES

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## **Abstract**

Green businesses and smart growth have inspired construction firms to promote telecommuting. The innovation of the construction industry provides the nation with sustainability through telecommuting that is technologically appropriate, environmentally adaptive and create a conducive healthy lifestyle of working. Despite many benefits of telecommuting, not many QS employees are willing to adopt telecommuting. Past research has shown that little is known about the factors and barriers of telecommuting intention among QS employees. The purpose of this study is to identify specific factors that can affect telecommuting acceptance and further examine the level of impact these factors have in influencing the QS's readiness in the adoption of telecommuting. A data from a survey of registered QSs under the Board of Quantity Surveyors Malaysia has been analysed. The results reveal that Attitude, Work-life Balance, Nature of Work, Efficiency, Empowerment and Information Technology have a positive effect on telecommuting acceptance. Empowerment and Nature of Work have contributed most to telecommuting acceptance. The study contributes to the identification, conceptualisation and measurement can allow firms to consider QSs participation into a modern workplace when firms adopt telecommuting. It also helps to identify barriers of telecommuting intention among QS employees.

**Keywords:** *Telecommuting; teleworking; telecommuting lifestyle; Quantity Surveyors; green business; sustainability; flexible work; smart working.*

## **INTRODUCTION**

Smart working emerged due to the availability of technological artifacts that has increased immensely within the past decade (Calvo-Porrall and Pesqueira-Sanchez, 2019). The adoption of smart working implies that Millennials and Gen-Z can choose when and where to work (Errichiello and Pianese, 2019). Telecommuting can be defined as a practice in which an organisational employee can work remotely at a location combining the use of computers and wireless communication technologies without the geographical and organizational boundaries of the traditional, centralized workplace (Yap and Tng, 1990; Olszewski and Lam, 1993).

The concept of telecommuting has been associated with potential growth in leading various industries to work from home since the 1970s (Nilles et al., 1976). From international findings, it is quite a common workstyle for companies to allow friendly telecommuting between their employees and has shown many possible advantages that might bring additional benefits such as a better work-life balance, increase in productivity, time and energy efficiency, increase in employees' self-reliance, potential networking opportunities as well as global competitiveness.

Telecommuting has been made feasible because of the advancement of technology devices and computer networking systems resulted in fundamental changes in the method of practice in all businesses and industries (Lim, 2000). Telecommuting potential can enhance the performance of professional consultants and reduce the time for data processing. It also



helps to improve communications for effective decision-making and coordination among construction participants while enhancing construction productivity as claimed by Liston et al. (2000), Peansupap & Walker (2005).

QS organisations have to ditch the organisation's operating business culture and should be wise to rethink of the design structure on the employment practice and look into other alternatives by changing the flexibility of the work patterns and developing new effective management strategies with the adoption of somewhat unconventional work methods to maintain a competitive advantage and enhancing profitability.

## **THE ISSUE**

Even with the recognition and awareness of the work method in being the next powerful force driving the telecommuting phenomenon considering it "the next workplace revolution", there are still gaps between integrating telecommuting in the construction firms (Karia and Asaari, 2016). It is undoubtedly achievable that QS work can be done and carried out within the four walls of a space that one calls home instead of an office.

Majority of QS firms in Malaysia are using computers to produce most of their work in the office preparing works in pre-contract stage until the post-contract stage. Software's in the current market helps increase productivity, time and cost-efficiency. About more than half of construction professionals in Malaysia (68.4%) had taken time off work due to occupational stress due to exposed of high workload intake with long working hours and most often multitasking to complete construction projects (Zawawi, A., et al., 2013). Work-related stress may lead to extreme cases of physical disorders, mental and behaviour deviation (Jaapar, Said and Bari, 2015). Currently, this is the case of employees' work-related stress that produces a substantial impact on employee performance and subsequently discourages the performance of QS firms.

The nature of telecommuting implementation is that it empowers QS firms to enhance the organizational happiness index. For instance, about 24% of employees from countries abroad that have already implemented telecommuting as a form of work practice more likely to feel happy and productive in their roles when they telecommute once a month than those who don't or can't work remotely (OWL Labs & TINYpulse, 2019). Turnover rate at 25% lower of those who work in the traditional office space.

Telecommuting has not been adopted into the construction industry as the concept is still at the infancy stage in the Malaysian work culture. The lack of research background and knowledge concerning this problem is yet to be addressed. This contemporary study acknowledges the urgency of knowledge for a new telecommuting model in the era of rapidly expanding technology and dynamic environments that will boost employee and QS firms' performance.

## **RESEARCH OBJECTIVES**

The essence of this research is to achieve the following objective that is to identify the contextual factors of telecommuting that affect quantity surveyor's willingness to adopt telecommuting. and evaluate the level of impact these factors have in influencing the quantity

surveyor's willingness in the adoption of telecommuting as well as the barriers preventing the implementation of telecommuting intention among quantity surveying employees.

## LITERATURE REVIEW

### Global Finding

A future QS firm counts on smart workers, where rivalry and digitalisation awaken uncertainty and vulnerability. An increasing number of telecommuting implementations has been realised worldwide, particularly in the United States, the Netherlands, Japan, Korea, Australia, France and Italy (Errichiello and Pianese, 2019). A past study done by Anell and Hartmann (2007) showed the acceptance of telecommuting in different parts of Asia. Singapore and Hong Kong were easier to introduce the concept because both countries have a wide concentration of employment with exposure towards western work practices. However, some companies from countries such as Thailand and Taiwan struggled to implement due to cultural resistance and lack of demand from employees.

A progressive country like Japan viewed telecommuting to be an obstacle and not viable during the late 1980s (Higa and Wijayanayake, 1998) but gained fame between notable Japanese companies when it became part of the Prime Minister, Shinzo Abe's strategy to modernise the way working arrangements are viewed (Mainichi Japan, 2016). Japan's government was ready to proceed further in promoting the practice to create career opportunities by considering the use of flexible time systems to make it pleasant for staff members with disabilities and low rates of employment among women (Jiji, 2018). It is duly noted, the ministry hopes telecommuting can be introduced to change the mindset of employees that work can be achieved outside of the office and perhaps enrich a healthier work style option because of the country's strong work ethic and decrease traffic congestion during rush hours (Kyodo, 2018).

Over the coming years and the shift of perspectives, recent rise of telecommuting originating from countries such as South America, Asia, and the Middle East lead the race in telecommuting. Globally, at least one in five workers spend a part of their job telecommuting. Western countries have also come up with their ways to combat stress and worker's burden in the office due to skepticism. Comparing countries such as India and Indonesia, who are the current frontrunners of the telecommuting movement, followed by Mexico and Argentina as opposed to countries in the European Union (EU), who devised their own plans for workers by developing strong paid leave programs. These programs include maternal and paternal paid time off and mandatory vacation. They also have some the lowest work hours in the world, with Norway and Denmark standardizing a 33-hour work week while one country quietly leading the race in flexible work hours is Netherlands, clocking in at a total of 29 hours per week. In Belgium, the government have created a program called "career breaks" or sabbaticals allowing Belgian employees a one-year work hiatus during their working lifetime. German employees are given subsidy from the government while Germany reimburses employees for lost wages during the reduced work hours to keep the unemployment rate down during their time off (Reale, 2015).

## Local Finding

The telecommuting research in Malaysia is scant and immature. Therefore, the findings cannot be generalized (Karia and Asaari, 2016). The study of telecommuting is a very recent idea and the trend is not entirely accepted within the nation. Regardless of how most of the global perception has put interest into it and the Malaysian government acknowledges the many benefits that can be obtained from the style of work, the concept still remains unpopular amongst local companies due to the scarce published academic research on the success of telecommuting in Malaysia and the lack of guidance and support for the type of work arrangements (Ndubisi, 2005; Saludin, Karia and Hassan, 2013; Peros Khan et al., 2018). Only a handful of renowned multinational corporation have implemented the work concept such as Cadbury, Pepsi, Dow Chemical, Royal Dutch Shell, Nestle, IBM, Intel, CIMB Group, KPMG Group, Telekom Malaysia, Petronas, Maybank, Intel, Johnson & Johnson etc. (Anell and Hartman, 2007; TalentCorp, 2016). The companies listed range from various industries mainly those from oil and gas, finance, ICT and service sectors. (Nordin, Mohd Baidzowi and Razak (2016); Peros Khan et al. (2018).

## Telecommuting and Construction Industry

The construction industry sector is widely considered to play a vital role in enhancing economic growth and providing development towards a country by generating a range of employment and job opportunities to the society at large. Malaysia is one of the many developing countries that is regarded one of the most successful non-western countries to achieve a very smooth transition to be an emerging self-sufficient industrialised nation by the year 2020.

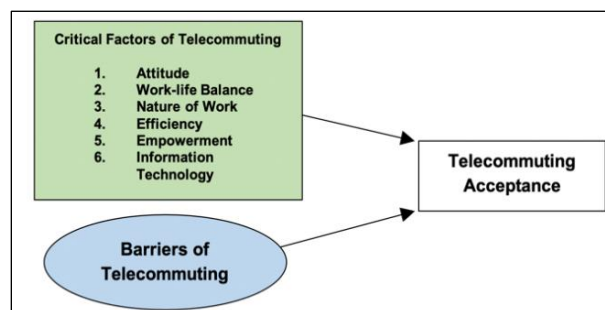
Typically, construction firms work in a highly competitive environment with a comparatively small profit margins with projects to be completed within a given timeline on a constrained budget. To guarantee that a project is finished on time and accordance with specifications, construction professionals and specialists have heavier workload. (Wong, Teo and Cheung, 2010). Employees tend to spend more hours at the office than the typical 8 hours a day to satisfy the completion of tasks is done on time while working extra overtime more days than the other. It has drawn attention that the combination of long hours and tight deadlines bring physical and emotional complications giving negative work impact, unavoidable for most modern working culture towards employees such as occupational stress, high absenteeism, job dissatisfaction, increase turnovers and lack of self-care towards the wellbeing. (Sheikh Ilmi and Rogber, 2013). Jaapar et al. (2015) conducted a study on workplace stress management, highlighted stressors of interpersonal, work and organisational context were faced the highest by QS professionals in Hong Kong as compared to among other construction professionals resulting in the lowest work productivity and must be dealt appropriately.

## Research Framework and Methodology

The absence of telework theory has been highlighted (Nakrošienė et al., 2019). Based on early study, employees consider telecommuting as the means of increasing their work-life balance since it enhances the opportunity to take care of family members. To theoretically explain telecommuting acceptance, this study identifies the telecommuting factors based on

the study of Nur Firzana (2019). The study operationalises factors connecting to willingness of telecommuting as Attitude (Jacowski, 2010; Hassan and Nuruddin, 2011), Work-life Balance (Nordin et al., 2016; Munusamy, 2016 and Peros Khan et al., 2018), Nature of Work (Ndubisi et al., 2005), Efficiency (Munusamy, 2016), Empowerment (Munusamy, 2016), and Information Technology (Ndubisi et al., 2005; Ruiller et al., 2019).

Figure 1 depicted the conceptual framework of the study. It suggests the novel telecommuting model that fosters employees' willingness to opt for telecommuting. Specifically, the model explains the positive effects of certain telecommuting factors on telecommuting acceptance. It allows organisations to promote smart working for smart workers. It has a huge potential in enhancing employee's work-life balance (Lee and Ma, 2019).



**Figure 1.** Research Framework of Telecommuting Acceptance

*Attitude* is regarded as high level of self-discipline, time management skills together with having a clear and concise communication skill that possessed by employees to carry out telecommuting (Jacowski, 2010; Hassan and Nuruddin, 2011).

*Work-life balance* is regarded as the measurement property of improvements in health & wellbeing and lower occupational stress/burnouts occurring (Nordin et al., 2016; Munusamy, 2016 and Peros Khan et al., 2018). Furthermore, having more time to care for personal duties. E.g., household chores, sick parents or caring family members is studied to be a proper measurement.

*Nature of work* is regarded as employees operating in different construction stages in small groups, not necessarily obliged to undertake every stage of a project along with QS employees who operate within small groups that are in charge of every element of a project from inception to completion can both efficiently arrange to telecommute. (Ndubisi et al., 2005).

*Efficiency* is regarded as avoiding time and energy wasted in traffic, particularly during rush hours and the cost saved on long journeys to the workplace were a suitable measure in calculating the properties of measurement (Munusamy, 2016).

*Empowerment* is regarded as a mind-set change from the upper management is utmost crucial to determine the changes. Telecommuting is a new work culture that can offer a competitive edge for QS firms to survive and should be introduced gradually, demands from employees to arrange to telecommute as a flexible work method, the trust from employer

gives a sense of empowerment towards employees telecommuting and the generational shift and equality in retaining and recruiting staffs can help turnovers. E.g., young people (Generation Z), women and older retired employees (Munusamy, 2016).

*Information technology* is operationalized as employee efficiency in IT (e.g., literate and knowledge on IT usage or common IT applications and tools) (Ruiller et al., 2019).

## Instrument and Measures

The study was designed to gather personal particulars from the participants to get to know the respondents and their place of work. The telecommuting factors, measured using Nur Firzana (2019), comprise of Attitude, Work-life Balance, Nature of Work, Efficiency, Empowerment, and Information Technology using a five-point Likert scale ranging from 1-strongly disagree to 5-strongly agree, close format and multiple-choice questions. The survey was pre-tested to detect any possible shortcomings in the questionnaire, the pilot test was conducted to a group of quantity surveyors at a RISM talk (northern branch). The sample data was analysed using statistical software, SPSS to test the reliability of the questionnaire.

## Sampling and Data Collection

The research sample contains 244 registered QS firms throughout Kuala Lumpur from the Boards of Quantity Surveyors Malaysia which has a comprehensive list of 373. The convenience sampling technique was utilised in this study due to the issue of privacy and confidentiality in obtaining information's on the registered QS individuals. A set of questionnaires was distributed to the firms via email.

The majority of respondents (83%) fully recognise the concept of telecommuting and most would likely to consider and accept the telecommuting lifestyle (68.1%). A profile of respondents is presented in Table 1.

**Table 1.** QS Employees' Profile

Demographic	Category	Frequency	(%)
Gender	Male	22	46.8
	Female	25	53.2
Age of Respondent	< 30 years old	35	74.5
	31-40 years old	9	19.1
	41-50 years old	2	4.3
	50 years old >	1	2.1
Current Position as a QS	Provisional QS	31	66.0
	Professional QS	5	10.6
	Consultant QS	6	12.8
	Others	5	10.6
Years of working experience as a QS	< 10 years	42	89.4
	11-20 years	3	6.4
	21-30 years	1	2.1
	30 years >	1	2.1
Years of working experience with the current firm	< 5 years	38	80.9
	6-10 years	7	14.9
	11-20 years	2	4.3

## RESULTS

The sample respondents are satisfactory for the development of this research. The IBM SPSS Statistic software version 23 was employed for various analysis in calculating the results.

### Reliability Analysis, Mean and Standard Deviation

The measurement model of the study was assessed by conducting several statistical approaches: reliability, mean and standard deviation (Table 2 & 3). The results satisfy the assumption of the research objective.

- The reliability analysis is used to test the consistency of the instrument by using the Cronbach Alpha statistics. The result of items for each factor are above 0.7, indicating all the six factors and telecommuting acceptance are reliable.
- The mean extracted is above 3.0 indicating the value are high and with consideration of the factors, most QS's agree efficiency, attitude and information technology are given the highest priority for the inclination to opt for telecommuting acceptance.

**Table 2.** Results of Reliability Test

Factor	Cronbach's Alpha if items are deleted	Cronbach's Alpha
Attitude		0.792
ATT01	0.662	
ATT02	0.662	
Work-life Balance		0.803
WLB02	0.671	
WLB03	0.671	
Nature of work		0.803
NOW02	0.583	
NOW03	0.583	
Efficiency		0.976
EFF03	0.954	
EFF04	0.954	
Empowerment		0.795
EMP01	0.780	
EMP02	0.730	
EMP03	0.795	
EMP04	0.752	
EMP05	0.722	
Information Technology		0.764
IT01	0.626	
IT02	0.626	

**Table 3.** Results of Mean and Standard Deviation Test

Factor	Mean	Standard Deviation
EFF	4.55	0.678
ATT	4.44	0.577
IT	4.33	0.503
EMP	3.99	0.494
WLB	3.93	0.787
NOW	3.02	0.807

## Correlation and Regression Analysis

Correlation analysis was used to know how strong the factors are associated to telecommuting factor. The result indicates the coefficient value is significant (0.00) against the factors of telecommuting and telecommuting acceptance (Table 4). The results suggested that Attitude, Work-life Balance, Nature of Work, Efficiency, Empowerment and Information Technology are positively related with telecommuting acceptance.

Multiple regression was made to identifying R-square ( $R^2$ ) by measuring the magnitude of the coefficient to see, which has the most effect (Table 5). The current research model explains that 47.1 per cent of the variance in telecommuting acceptance, indicating the telecommuting factors have determined most of the variance in willingness to opt for telecommuting. Thus, implies that the greater the extent of these factors, the higher the telecommuting acceptance. Interestingly, the essential factors of telecommuting in explaining variance in telecommuting acceptance are Nature of Work and Empowerment.

Barriers of telecommuting was identified with the use of the highest-ranking mean to the lowest mean. The top 5 barriers are:

1. Documents are private & confidential and must be remained within the company; Mean: 4.2.
2. Complications of incorporating data from the firm's computer software i.e., Buildsoft, Binalink, Masterbill etc. into own PC's; Mean: 4.19.
3. Rigid organisational system prevents the management change to a newer concept; Mean: 4.09.
4. Quantity Surveying requires high level of coordination between employees; Mean: 4.06.
5. Only full-time employees from the company are allowed to telecommute with an agreement the employer feels they are prepared; Mean: 4.02.

**Table 4.** Results of Correlation Test

Factor	Coefficient	Significance
ATT	.207	0.678
WLB	.300*	0.577
NOW	.432***	0.503
EFF	.164	0.494
EMP	.646**	0.787
IT	.388**	0.807

(\*\*) Correlation is significant at the 0.01 level (2-tailed).

(\*) Correlation is significant at the 0.05 level (2-tailed).

NS Not significant

**Table 5.** Results of Regression Test

Model	Unstandardised Coefficients		Standardised Coefficients	t	Sig.
	b	Std. error	Beta		
(Constant)	-.076	1.007		-.076	.940
ATT	-.089	.187	-.067	-.476	.637
WLB	-.007	.127	-.008	-.059	.953
NOW	.209	.120	.221	1.737	.090
EFF	.003	.141	.002	.018	.985
EMP	.986	.284	.637	3.471	.001
IT	-.079	.263	-.052	-.300	.766

## DISCUSSION

QS firms must leverage on the potential value of their talented employees and knowledge resource tools for global competitiveness and survival. The future potential working environment is being rendered to be more versatile and digital, both of which will further encourage future quantity surveyors to opt for telecommuting. QS firms must build an innovative business model by telecommuting in order to empower their competitiveness in terms of cost-saving, environmental concerns, flexibility and lifestyle. Unfortunately, not many QS firms implement telecommuting due to lack of knowledge on the potential values and benefits of telecommuting. Nevertheless, due to a number of telecommuting barriers, QS companies have underestimated these implications of telecommuting on their growth and performance.

First, the findings confirm the constructs and measurements for telecommuting factors and telecommuting acceptance. As far as authors are aware, such constructs are a novel representation of a new contribution to the field, thus expanding the telecommuting and construction work of literature and research. Explicitly, the study confirms the telecommuting factors are namely Attitude, Work-life Balance, Nature of Work, Efficiency, Empowerment and Information Technology.

Second, the significant positive relationship between telecommuting factors and telecommuting acceptance justifies that quantity surveyors adopt telecommuting due to Attitude, Work-life Balance, Nature of Work, Efficiency, Empowerment and Information Technology factors, suggesting that the higher the quantity surveyors concern on these telecommuting factors, the higher the telecommuting acceptance. These findings provide empirical evidence and extend previous studies (Pigini and Staffolani, 2019; Gimenez-Nadal et al., 2019) that uphold telecommuting factors are related to telecommuting acceptance. This evidence implies that such factors empower quantity surveyors to opt for telecommuting.

Third, QS firms need to reduce their barriers or bring to their knowledge because of the privacy and confidentiality, complications of incorporating data and the rigid mindset of the organisation prevent telecommuting to be accepted. These barriers are examples that show employees are considering to telecommute but are unlikely to opt within the organisation in the near future. Strategic challenges for QS firms are to exploit its innovation capability to source a competitive advantage and lead organisations to venture in shifting efforts toward virtual office orientation.



Finally, the paper provides insight in understanding for managers to effectively attracting quantity surveyors for telecommuting. Managers should focus more on developing strategies in the six factors of telecommuting for enhancing telecommuting implementation. For QS firm competitiveness and sustainability empowerment and nature of QS work are the most important factors for QS firms to implement telecommuting. Further, the research provides QS firms with the telecommuting acceptance model leading to the appropriate direction and understanding the factors that generate QS firm's growth and performance. QS firms should consider such six factors of telecommuting to capitalize and retain their valuable knowledge resources as a source of competitive advantage. Additionally, QS firms should align their strategic human resources with the future era of Industry 4.0. QS firm should be proactive in adopting telecommuting for its future organisation. The relationship between employers and employees needs to be enhanced, especially in the design structure of an employment practice that can be seen to be rigid and unalterable. The aspects to be improved is by changing the flexibility of the work patterns (Nordbäck et al., 2017) and developing new effective management strategies by expanding the worker's skills.

## CONCLUSION

This paper unveils the novel and key findings of telecommuting factors and telecommuting acceptance. The findings conclude the essential results suggested that the research model has significant power to explain Malaysian quantity surveyor telecommuting acceptance, it would be great to discover different factor and how this impact would be in a different context such as time, industry and country. The future study should identify more factors that might have different results from this study and might explain more on telecommuting acceptance. It would be interesting to search if or how this study would impact on the large sample and population.

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# BIBLIOMETRIC ANALYSIS OF CONSTRUCTION DISPUTE

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## Abstract

Construction dispute is common all over the world. Moreover, it has been identified as the cause of the deficiency and low performance of the construction industry. Therefore, the continued occurrence of this dispute calls for more research. A bibliometric analysis was carried out to identify the trend in the construction dispute research area and a total number of 1026 of publications from Scopus and 457 publications from Web of Science were retrieved. The result shows similarities in both databases in the construction dispute subject area. However, the high publications in Scopus indicates that Web of Science has low publications in the area of social sciences, art and humanities. Furthermore, the retrieved publication from both databases were analyzed using VOSviewer. The result shows that most of the publications focused more on managing already existing dispute by litigation, arbitration, and Alternative Dispute Resolution (ADR) and a lack in the area of dispute prevention methods.

**Keywords:** *dispute; ADR; BIM; bibliometric analysis.*

## INTRODUCTION

Construction dispute seems to be common all over the world and when not resolved in a timely and effective manner, it becomes very expensive, adversarial and affects project performance. Construction project involves coming together of many participants namely: employer, consultants, contractors and suppliers, the involvement of many parties with individuals seeking their own interest and benefits may lead to dispute (Hansen, 2019). Moreover, complex project is mostly executed over a long period, in an uncertain and complex environment (Mashwama et al., 2016). This result in complex activities with complex dispute, therefore, dispute appears to be an inevitable phenomenon in the Architectural, Engineering, Construction, and Operation industry. Besides its prevalence, it seems to damage both business and professional relationships (Chaphalkar et al., 2015), waste and consume project resources (Hayati et al., 2019). Likewise, a dispute has been linked with high transaction cost with an overall increase in total project cost (Bean et al., 2019). Consequently, the project environment can be described as highly competitive, multifaceted and unpredictable. Thus, maintaining a non-adversarial environment seems elusive and unattainable.

Many literature reviews have been proposed recently in the construction dispute subject area. Saleh (2019) works on new approach to mediating construction dispute. Treacy et al. (2016) works on identifying critical factors causing construction disputes in small to medium enterprises (SMEs) in Ireland during the recession period from 2007 to 2013. Chan (2015) review the Statutory Adjudication' regime, and its attempts to preserve cash-flow during a project by resolving payment disputes in an expeditious and provisional manner. Daradkeh (2016) studied dispute settlement mechanisms for construction. Charehzehi et al. (2015) works on incorporating conflict philosophy management in relation to BIM to develop a conceptual framework for conflict management before escalating to dispute.

A considerable number of reviews in the construction dispute subject area adopted narrative literature review and focused on a specific predetermined aspect as explained above. However, this approach has been criticized to offer no broad background. Likewise, the selection of the articles is built on random selection and may not fully represent the state of existing knowledge in the selected subject area of research (Linnenluecke et al., 2019). Consequently, this current review aims at identifying main themes and clusters in the construction dispute subject area without focusing on a predetermined aspect. This is important to determine the state of existing knowledge in the selected subject area and to ascertain the area that is lacking. This review adopts a bibliometric analysis to achieve its aim. The method uses a replicable, scientific and transparent process, that is detailed and mitigates bias through extensive literature searches of published and unpublished studies and by providing an audit trail of the reviewer's decisions, procedures, and conclusion.

Having said above, this review proposes to carry out a holistic review of construction dispute publications against random selection and define subfields that constitute a major area in construction dispute and area that is lacking.

## **METHODOLOGY**

### **Literature Search**

This review adopted bibliometric analysis systems to achieve the predefined objectives of identifying the main category in dispute research in order to determine areas in which more research is still required. Bibliometric analysis is a statistical analysis procedure that aims at quantifying and addressing the intellectual structure of the research field. This procedure commences from a mathematical and statistical analysis of pattern that appears in the publication and use of documents. Moreover, the system adopts a visualization technique to display the result of different analyses (Cobo et al., 2011). Bibliometric mapping is used in this review to identify the knowledge domain and research trends in construction dispute based on the existing literature.

### **Data Acquisition**

In this review, data acquisition of existing literature is important as it decides the scientific articles from which inference will be drawn. Consequently, the database and searching procedure were carefully selected. Scopus and Web of Science (WOS) was selected as a literature database for the following reasons: (1) They are comprehensive, organized and robust in term of scientific research (De Castro E Silva Neto et al., 2016). (2) They are the most frequently used and largely accepted source for bibliometric studies (Marsilio et al., 2011). Subsequently, a total number of 1026 of publications and 457 publications comprise of articles, conference papers, book chapters, and books were retrieved from Scopus and WOS database between the selected span period of 2014 – 2019. The following keyword was used for the search “Dispute” AND TOPICS “Construction” OR “Building”.

### **Bibliometric Analysis**

To develop an overall understanding of the knowledge domain and research trend in construction dispute. The search started with 1026 publications from Scopus and 457

publications from WOS with keywords “Dispute” AND TOPICS “Construction” OR “Building”. A considerable amount of publications identified were false positive as they meet the right properties. However, their contents are irrelevant to the subject of discussion. Consequently, publications were excluded by reason of language, subject area, keywords screening, duplications, abstract not available or irrelevant to the subject of discussion. Therefore, 516 and 220 publications were excluded from Scopus and WOS respectively for further analysis as they do not meet the inclusion criteria as shown in Table 1. Table 2 shows the profile of the analysis by document type with articles having more percentage than the conference proceedings. Furthermore, Table 3 shows that publications in the Scopus database consistently increase from 2016 to 2019 while Web of Science publications fluctuate within the period with the year 2018 having the highest publications.

**Table 1.** Retrieved Publications Profile

Profile	Scopus		WOS	
	Numbers of Papers	%	Numbers of Papers	%
Total number of Publication retrieved	1026	100%	457	100%
Publications after Nov.2019	7	1%	0	0%
Publications not written in English	138	13%	115	25%
Publications limited to Articles and Proceedings	143	14%	15	3%
Publications not related to research area/topics	228	22%	90	20%
<b>Total Publications Excluded</b>	<b>516</b>	<b>50%</b>	<b>220</b>	<b>48%</b>
<b>Total Publications Analyzed</b>	<b>510</b>	<b>50%</b>	<b>237</b>	<b>52%</b>

(Source: Compiled by the Author)

**Table 2.** Profile of Publications Analyze by Document Type

Profile	Scopus		WOS	
	Number	%	Numbers	%
Article	402	79%	199	84%
Conference Proceedings	108	21%	38	16%
<b>Total Publications Analyzed</b>	<b>510</b>	<b>100%</b>	<b>237</b>	<b>100%</b>

(Source: Compiled by the Author)

**Table 3.** Profile of Publications Per Year

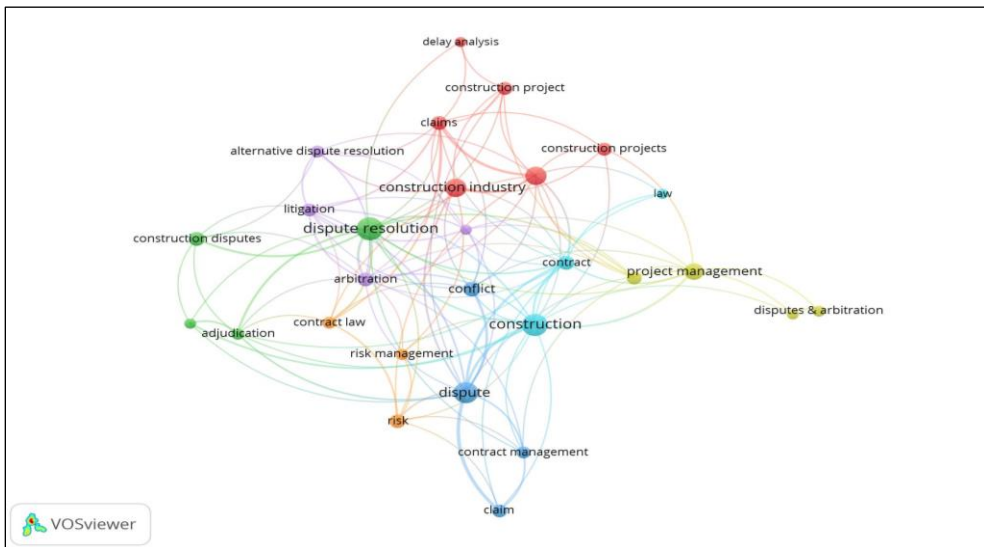
Profile	Scopus	WOS
2019	110	41
2018	86	50
2017	70	40
2016	69	47
2015	77	40
2014	98	19
<b>Total Publication Analyzed</b>	<b>510</b>	<b>237</b>

(Source: Compiled by the Author)

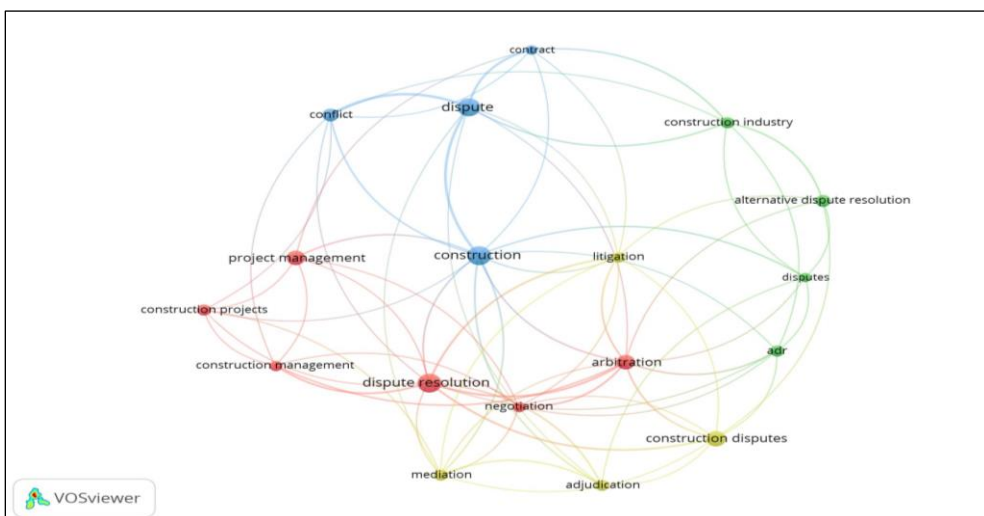
## Result

The review commenced with a wider topic search to analyze the trend in construction dispute research area. The retrieved publications were analyzed to see the most popular keywords associated with construction dispute. Figures 1 and 2 shows the most occurring keywords related to “Dispute” AND TOPICS “Construction” OR “Building”. This is grouped

into different clusters as shown in Tables 4 and 5. The item label size represents the number of publications in which the keyword was found. A bigger label size suggests that more publications contain this keyword while different colours represent different groups of keywords that can be clustered by the clustering technique of VOSviewer. VOSviewer is a free “software tool for constructing and visualizing bibliometric networks. These networks may, for instance, include journals, researchers, or individual publications, and they can be constructed based on citation, bibliographic coupling, co-citation, or co-authorship relations. VOSviewer also offers text mining functionality that can be used to construct and visualize co-occurrence networks of important terms extracted from a body of scientific literature”(Van Eck and Waltman, 2010).



**Figure 1.** Most Commonly Co-Occurring Keyword Search Related to “Dispute” AND TOPICS “Construction” OR “Building” from the Scopus Database



**Figure 2.** Most Commonly Co-Occurring Keyword Search Related to “Dispute” AND TOPICS “Construction” OR “Building” from the Web of Science Database

**Table 4.** Clusters of Keywords Co-Occurred With “Dispute” and Topics “Construction” Or “Building” in Scopus

Clusters	Keywords
1	Construction industry, Disputes, Claims, Construction project, Construction projects and Delay analysis.
2	Dispute resolution, Construction disputes, Adjudication and Mediation.
3	Dispute, Conflict, Claim, and Contract management
4	Project management, Construction Management, Disputes and arbitration, Contracting
5	Arbitration, Litigation, Alternative Dispute Resolution and Negotiation
6	Construction, Contract and Law
7	Risk, Contract law and Risk management

(Source: Compiled by the Author)

**Table 5.** Clusters of Keywords Co-Occurred With “Dispute” and Topics “Construction” Or “Building” in Web of Science

Clusters	Keywords
1	Arbitration, Construction management, Construction projects, Dispute resolution, Negotiation and Project management
2	ADR, Alternative Dispute Resolution, Construction industry and Disputes
3	Conflict, Construction, Contract and Dispute

(Source: Compiled by the Author)

Furthermore, to get the co-occurrence of the keywords, a threshold of a minimum number of at least 5 occurrences was set. Co-occurrence defines the closeness of keywords in the title, abstract or keywords list in the retrieved publications. This is used to discover the connection in order to identify the research topics. The links indicate the strength of their occurrence. Out of 1572 keywords in Scopus, 33 keywords met the threshold in Scopus while out of 711 keywords in WOS, 18 keywords met the threshold. For each keyword that meets the threshold, the total link strength of the co-occurrence links with other keywords was generated.

Table 6 and 7 shows the co-occurrence of the search keyword “Dispute” AND TOPICS “Construction” OR “Building” in Scopus and WOS. For example, the search keyword co-occurred with dispute resolutions 25 times in Scopus and 19 times in WOS. Likewise, Table 8 and 9 shows the total link strength of the search keyword with its linked keywords. The keywords that have a higher link strength with linked keyword reveals how strongly and significantly they are networked together. For example, dispute resolution is significantly connected to the search keyword both in Scopus and WOS.

**Table 6.** Total Link Strength with Keywords Search “Dispute” and Topics “Construction” Or “Building” in Web of Science

ID	Label	Cluster	Links	Total Link	Occur.	Avg. Pub. Year
236	Dispute resolution	1	12	23	19	2017
138	Construction	3	12	24	18	2017
229	Dispute	3	8	21	17	2017
154	Construction disputes	4	8	11	13	2016
30	Arbitration	1	11	21	11	2017
546	Project management	1	6	7	11	2017
129	Conflict	3	7	13	9	2016
21	Alternative Dispute Resolution	2	5	6	8	1764
6	Adjudication	4	6	9	7	2016

ID	Label	Cluster	Links	Total Link	Occur.	Avg. Pub. Year
9	ADR	2	7	8	7	2016
156	Construction industry	2	6	9	7	1727
167	Construction projects	1	6	6	7	2017
432	Mediation	4	9	11	7	2016
159	Construction management	1	6	9	6	2017
417	Litigation	4	9	13	6	2017
236	Dispute resolution	1	12	23	19	2017
461	Negotiation	1	8	10	6	2016
174	Contract	3	6	10	5	2015
244	Disputes	2	6	7	5	1612

(Source: Compiled by the Author)

**Table 7.** Total Link Strength with Keywords Search “Dispute” and Topics “Construction” Or “Building” in Scopus

ID	Label	Cluster	Links	Total Link	Occur.	Avg. Pub. Year
458	Dispute resolution	2	17	29	25	2017
277	Construction	6	17	28	24	2017
450	Dispute	3	14	34	21	2017
295	Construction industry	1	9	12	17	2016
465	Disputes	1	13	19	16	2017
1127	Project management	4	9	12	14	2017
268	Conflict	3	9	12	11	2016
200	Claims	1	12	18	10	2017
56	Arbitration	5	14	20	10	2017
330	Contract	6	13	21	10	2017
1240	Risk	7	8	10	10	2017
293	Construction disputes	2	6	8	9	2016
309	Construction project	1	7	7	8	2016
313	Construction projects	1	5	5	8	2018
196	Claim	3	5	12	8	2017
299	Construction Management	4	8	9	8	2017
852	Litigation	5	11	17	8	2017
337	Contract management	3	7	9	7	2017
40	Alternative Dispute Resolution	5	7	10	7	2017
336	Contract law	7	7	10	7	2015
22	Adjudication	2	8	12	6	2015
466	Disputes & arbitration	4	2	3	6	2015
1249	Risk management	7	4	4	6	2018
413	Delay analysis	1	3	3	5	2018
889	Mediation	2	5	7	5	2015
339	Contracting	4	3	4	5	2015
970	Negotiation	5	10	12	5	2016
815	Law	6	3	3	5	2017

(Source: Compiled by the Author)

## DISCUSSION

The keyword search shows that there is similarity in the retrieved publications from Scopus and WOS. This indicates that both databases have a considerable amount of publications in the area of construction dispute. However, Scopus has more publications than



WOS. This is similar to the submission of Mongeon and Paul-Hus (2016) which states that journal coverage of Web of Science in the area of social sciences, art and humanity is relatively low when compared to Scopus. Furthermore, keywords co-occurrence and clusters shows that the keywords focus on the issues in the construction industry like conflicts, claim, dispute which is epidemic and prevalent in the industry (Akinradewo and Oladinrin, 2018). Likewise, the clusters also reveal that their resolution and management is more by litigation, arbitrations and different alternative dispute resolution methods like negotiation and mediation. The search keyword co-occurred more with keywords that relate to the resolution and management of existing dispute and no keyword with dispute prevention or avoidance. Therefore, it can be concluded that there is a lack of research in the area of dispute prevention. This is similar to the findings of Charehzehi et al. (2015).

**Table 8.** Total Link Strength with Keywords Search “Dispute” and Topics “Construction” Or “Building in Web of Science

Keywords	Total Link Strength
Dispute	34
Dispute resolution	29
Construction	28
Contract	21
Arbitration	20
Disputes	19
Claims	18
Litigation	17
Construction industry	12
Project management	12
Conflict	12
Claim	12
Adjudication	12
Negotiation	12
Risk	10
Alternative Dispute Resolution	10
Contract law	10
Construction Management	9
Contract management	9
Construction disputes	8
Construction project	7
Mediation	7
Construction projects	5
Risk management	4
Contracting	4
Disputes & arbitration	3
Delay analysis	3
Law	3

(Source: Compiled by the Author)

**Table 9.** Total Link Strength with Keywords Search “Dispute” and Topics “Construction” Or “Building in Scopus

Keywords	Total Link Strength
Construction	24
Dispute resolution	23
Arbitration	21

Keywords	Total Link Strength
Dispute	21
Conflict	13
Litigation	13
Construction disputes	11
Mediation	11
Contract	10
Negotiation	10
Adjudication	9
Construction industry	9
Construction management	9
ADR	8
Disputes	7
Project management	7
Alternative Dispute Resolution	6
Construction projects	6

(Source: Compiled by the Author)

Generally, dispute management falls into two categories. The first approach addresses already existing dispute using Alternative Dispute Resolution (ADR) methods. This seems to be more popular due to its advantage of cost-effectiveness and short period which alleviate the shortcoming of litigation and arbitration. (Idowu et al., 2015). Likewise based on the global construction dispute report from Arcadis (Arcadis, 2018), ADR is highlighted as the most prevalent method of resolving disputes. However, researchers like Jelodar, Yiu, and Wilkinson, (2015) suggested that opportunism and poor handling of dispute in the ADR methods are the ultimate trigger of dispute. Likewise, Yiu (2014) opined that mistrust impedes the success of mediation while Cheung and Chow (2014) highlighted the withdrawal of negotiators as one of the failing synopsis of negotiation. Moreover, Li and Cheung (2016) suggested that the possibility of bias on the part of the disputant and neutral third party may cause unexpected hindrance to the ADR method. Likewise, based on the finding of this review which show that dispute resolution is significantly related to the search keywords, the global construction dispute report by Arcadis from year 2010 to 2018 (Arcadis, 2016; 2017; 2018) which highlighted that there is increase both in the value of dispute and time taken to resolve them. It can be opined that despite the numerous advantages of ADR, it also seems to be the reason for the prevalence of dispute in the industry. This is because it appears not to meet up with the expected result. Akinradewo (2017) after the assessment of the dispute resolution system suggested the need for a dispute prevention strategy rather than settlement. likewise, Alaloul et al. (2019) having considered the advantages and disadvantages of different ADR methods highlighted that there seems to be difficulty in selecting best suit ADR method to meets parties need and manage a dispute since the selection is done before the occurrence of dispute when it is included in the contract. Likewise, Hayati, Latief and Santos (2018) affirmed the need for an integrated claim system to prevent and minimize dispute. Thus, the need to adopt a model that can prevent disputes prior to their occurrence becomes more important.

The second approach is based on the idea that prevention is better than cure. It uses prevention and avoidance techniques before the occurrence of disputes but with the expectation that disputes may occur. This involved, effective planning to minimize the area of likely conflict and preventing them from escalating to formal dispute. One of the new

concepts that allow effective planning and optimization of the construction process in the construction industry is Building Information Modeling (BIM). It is an approach that fosters the virtual design and collaborative working environment prior to physical construction. Moreover, it has the capacity to control conflict causes before the occurrence of disputes (Sacks et al., 2018).

The benefits that can be accrued from using BIM on any given project run through its entire life cycle. At the pre-construction stage, the virtual design and construction enabled by BIM give every party a clear understanding of the project right from the inception. Every member of the team can have virtual assess, evaluate and ask for clarifications. Therefore, information is made available in the right format and at the right time. As a result, the right use & sharing of information, decision making, client satisfaction and project performance at the completion of the project can be guaranteed. (Onungwa et al., 2017). Similarly, the BIM process coordinates the building system to ensure that potential conflicts in locations and relationships of components are resolved at the planning stage. Clash detection enables the team to work in a collaborative way and instill commitment toward project success. BIM model capture explore coordinates and maintain consistent data through planning, design, construction and operation phase. Thus, it provides great insight for constructability issues and rework is reduced drastically (Autodesk, 2016). At the Construction stage, with the BIM model, accurate documentation can be generated, distributed among the team member in a coordinated and efficient way. The quick understanding of the model enables the end-user to understand the intention of the designer. Consequently, eliminates unnecessary redesign and reduce request for information (Kymmell, 2008). Likewise, with the BIM model, completed works can be tagged. Subsequently, completed quantities and valuation of work done can be done automatically. Moreover, quantities take-off errors are minimized, time and resources are saved. The benefit of BIM at the pre-construction stage influenced project outcome at the construction stage. This is because conflicts, redesign, construction delay and disruption, change order & rework collaboration issues, health & safety issues, fabrication & offsite manufacturing issues are avoided (Wei and Mydin, 2017). The availability of facility information for maintenance purposes made available by the BIM model makes the transition from the construction phase to the operation stage seamless (Kelly et al., 2013).

Consequently, it can be concluded that this area is still lacking as the keyword does not co-occur with BIM. This does not mean that there is no publication on BIM and dispute, but it indicates that their occurrence is insignificant in the subject area of construction dispute. Therefore, to fill this deficiency of applying BIM to prevent construction dispute, the industry should explore this area further.

## **CONCLUSION**

In this review, a bibliometric analysis of construction dispute was carried out to identify the research trend in the subject area. A total number of 1026 publications from Scopus and 457 publications from WOS with keywords “Dispute” AND TOPICS “Construction” OR “Building is retrieved and analyzed using the VOSviewer. The result shows similarities in the retrieved publications from Scopus and WOS. However, Scopus seems to have more publication than WOS. Furthermore, the retrieved publications revealed that the research trend is towards dispute resolution as keywords co-occurred with themes in this area. Likewise, it shows that the resolution is more on managing already existing dispute by the

use of different Alternative Dispute Resolution (ADR) methods and significant lack in the area of dispute prevention. However, it is discussed that despite the numerous advantages of ADR it seems to be reasons for the increasing dispute. Subsequently, a dispute prevention method seems preferable rather than the management of already existing dispute. One of the dispute prevention methods is BIM which uses virtual design and a collaborative working environment prior to physical construction. Moreover, it has the capacity to control conflict causes before the occurrence of disputes. Therefore, it is concluded that more research should focus on the application of BIM in preventing construction dispute. This is part of an ongoing Ph.D. research work that aims at investigating the role of BIM in minimizing dispute occurrence in the construction industry.

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# BUILDING DEFECTS AND RESTORATION TECHNIQUES OF HERITAGE BUILDINGS IN MALAYSIA

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## **Abstract**

A considerable amount of existing building stocks will become redundant as the buildings' performances decline while demolition and abandonment will rise upon, but this comes with a cost. Malaysia, being endowed with abundant collection of heritage buildings that signifies a sense of livelihood to the nation, should not take these as the only retort. Permanent shortage of heritage buildings and their continuous disappearance calls for a need to preserve these heritages through restoration. Restoration preserves the past and plans for the future. Restoration also prevents further decay, damage and defects to the existing building. As restoration works are known to have unique challenges due to their high uncertainties and complexities, the need to understand the factors that affects the restoration process becomes more prominent. To provide more clarity and certainty, the common building defects in local heritage buildings have to be identified and the current restoration techniques have to be evaluated.

**Keywords:** *Deterioration; heritage buildings; restoration; building defects; techniques.*

## **INTRODUCTION**

Boeri, Gabrielli and Longo (2011) summarized that old building stocks of poor quality and construction processes led to an urgent need of restoration. Gradual deterioration of building leaves building owners no option but to result to restoration for its continual usage (Ball, 2002; Flourentzou and Roulet, 2002). Ahmad and Rahman (2010) said that heritage buildings are vulnerable to deterioration due to climatic conditions and moisture. Lack of repair information and constant developmental pressure leads to the demolition of potential pre-war buildings as a sacrifice for new development (Hanafi et al., 2018). A growing concern over the heritage conservation in Malaysia can be observed as a strong public uproar was witnessed with the government's decision to demolish the old buildings of the Bukit Bintang Girls' School and Bok House (Hamid, 2001). Syed Zainol (1995) as cited by Salleh (2011) stated that according to the heritage building inventory study done by the National Museum, there are more than 35,000 pre-war buildings built during the British colonial period. The effort to prevent further deterioration of the buildings can be achieved by conservation, through the process of restoration (Zolkafli et al., 2015). Harun (2011) defined restoration as a process of accurately recovering the details and forms of any building structure as well as its settings, as how it appeared at some period in time, through the removal of the latter work and the replacement of the missing original work.

## **PROBLEM STATEMENT**

Heritage restoration remains an anathema to most of the building professions and building owners, preferring the choice of demolition or new building to repairing or modifying the existing. The lack of knowledgeable consultants and contractors in this field contributed to the demolition approach, as they are more familiar working with the conventional methods (Tan et al., 2016). Roy and Kalidindi (2017) agreed that challenges are unique especially for

heritage restoration projects due to their high uncertainties and complexities. Tan et al. (2016) added that there is still lack of heritage conservation literature focusing on the technical application and building survey approach. Harun (2011) concluded that the main challenges of conservation practice are due to the lack of technical experts in conservation techniques, lack of conservation guidelines and technical manuals, and poor conservation plans. Salleh (2011) revealed that the key challenges relied upon the economic development pressure, lack of knowledge and expertise, ineffective enforcement and poor public awareness. Askari and Dola (2009) also stressed that lack of technical knowledge in the repairing of historic building posed as a threat. To redress these knowledge shortfalls, there is a need to understand more on the current practice of restoration techniques to fill the gap from the challenges concluded in the studies from Askari and Dola (2009); Harun (2011); Salleh (2011); and Tan et al. (2016) who consolidated that there is still a lack of technical understanding in the restoration techniques. Common building defects and the factors affecting the restoration process will also be determined to answer the uncertainties lingering in the study by Roy and Kalidindi (2017) to promote increased uptakes of successful restoration projects.

## RESEARCH OBJECTIVES

The study aimed to achieve the following objectives:

- a) To identify the common building defects in local heritage buildings.
- b) To determine the factors affecting the restoration of local heritage buildings.
- c) To evaluate the current practice of restoration techniques on local heritage buildings.

## LITERATURE REVIEW

### Restoration of Heritage Buildings in Malaysia

Restoration of architectural heritage is a multidisciplinary approach as heritage structures are known to be meticulously designed and constructed building structures (Sandbhor and Botre, 2013). As explained and summarized by Harun (2011), under the National Heritage Act 2005 (NHA), heritage is understood as any heritage object, heritage site, underwater cultural heritage or living person being declared as National Heritage. Sandbhor and Botre (2013) defined building restoration as a process of appropriately revealing the state of a historic building by looking in the past and recovering through various measures as a respect to its heritage value. Lakhani and Sharma (2018) agreed that the restoration and reintegration of features and details should be based upon respect of archaeological evidence and original design, documents and materials.

### Common Building Defects in Heritage Buildings

#### *Cracks in Concrete and Brick Wall*

Alauddin, Ishak and Azim (2018) mentioned that cracks occur due to pressure of either excessive dead load, live load or wind load to the building elements. A temporary load or lack of support could also result to cracks (Bakri and Mydin, 2013; Kian, 2001). Bakri and Mydin (2013) mentioned that vertical and angled cracks occurred due to overloading or settlement and heaving whereas horizontal cracks happened due to lateral pressure. Construction



materials, whether concrete, mortar or bricks, tend to crack as they age due to the expansion and contraction process, also known as moisture movement (Alauddin et al., 2018; Chitte and Sonawane, 2018). Cracks tend to occur more frequently with the increase in age of a building as the strength of components gradually decreases and the frame starts to experience dilapidation (Alauddin et al., 2018).

### *Timber Defect*

Taylor (2000) as cited by Bakri and Mydin (2013) mentioned that timber is a durable material and would be able to last indefinitely as deterioration does not occur spontaneously. Moisture problem like fungi and biological attack from termites are the most common problem found in decayed historic timber building (Mustafa et al., 2011; Zolkafli et al., 2015). Ahmad (2004) and Mansor et al. (2012) both mentioned that insect or termite attacks pose a serious threat to damp and digestible timber located in feet of rafters, bearing ends of trusses or beams, and timbers built into or placed against damp brick walls. Areas of minimal air flow, low ventilation and high moisture environment promotes the survival of termites (Bakri and Mydin, 2013; Mansor et al., 2012).

### *Dampness and Salt Attack*

Dampness in building is one of the most damaging failures that could lead to several other defects or issues (Ahmad and Rahman, 2010; Othman et al., 2015). Othman et al. (2015) mentioned that Malaysia being a tropical country has high humidity of about 80 percent throughout the year and rainfall of more than 2500mm, indicating that our country is heavily exposed to moisture issue that deteriorates buildings at a faster rate and affects its functionality. Commonly, visible sign of rising dampness can be seen as high as 5 to 7 feet above the ground on plastered wall surfaces (Ahmad and Rahman, 2005). Aw and Mydin (2017) agreed that heritage buildings are more prone to rising dampness issue due to the absence of damp proof course when the buildings were built around early 1900s. Ahmad and Rahman (2010) elaborated that rising dampness issues could be aggravated with a combination of high-water table and poor drainage system. Crumbling plaster, peeling of paint and powdery salt residue are visible signs of rising dampness (Ahmad and Rahman, 2010; Aw and Mydin, 2017). Aw and Mydin (2017) mentioned that rising dampness and salt crystallization is an interrelated process. Such damage, also known as salt attack or salt decay, is generally a damage caused by salt phase transitions due to moisture transfer (Delgado et al., 2016).

### *Defective Plaster and Paint*

Staining, a visible discoloration produced by foreign substances that penetrated through the masonry in building either occur due to air pollution or bio-growth (Sabri and Suleiman, 2014; Talib et al., 2014). Chalking or powdering of the paint surface, on the other hand, happens when resin in the paint film has weakened slowly (Sabri and Suleiman, 2014). Alauddin et al. (2018) added that the amount of constant rain, sun and wind received could easily turn the paint surfaces chalky and blistered or wrinkled. The use of cement plaster that has low vapour exchange will trap moisture inside the masonry wall which lead to peeling, crumbling and falling off of paint after several years (Bakri and Mydin, 2013; Sabri and Suleiman, 2014). Sabri and Suleiman (2014) further added that the use of non-breathable

chemical paint would also contribute to the peeling of paint as such incompatible material prohibits the breathing of the wall. Bakri and Mydin (2013) and Talib et al. (2014) agreed to this, where they mentioned that improper surface preparation would deteriorate the wall due to bad evaporation process.

## **Factors Affecting Restoration of Existing Heritage Building**

### *Monetary Factors*

When decision to take on any restoration project is made, the availability of labour is imperative especially for skilled craftsmen (Rahmat, 2008). Due to the nature of restoration work is geared towards craftwork, the works are characterized to use small hand tools rather than heavy engineering that normally use heavy machineries. According to Rahmat (2008), it is exceptionally difficult to find skilled craftsmen who execute fine plaster work and wood carving in Malaysia as most of them are old. This is further agreed by Zolkafli et al. (2015) that mentioned only a handful of specialists are available and knowledgeable in restoration works.

The cost per unit of material procured is usually higher in restoration projects as they are purchased in smaller quantities where the suppliers are unlikely to provide bulk discount (Rahmat, 2008). Particularly when there is a stringent need to use the authentic construction materials (Hein and Houck, 2008; Rahmat, 2008) or the building owner is adamant and unlikely to compromise with replacement that may result in undesirable aesthetic (Rahmat, 2008), difficulty of matching new construction materials with original ones might arise. When prolonged, delay in design and project duration could occur (Ali, 2014; Hein and Houck, 2008). Hence, Mustafa et al. (2011) mentioned that when there is insufficient source of materials, replacement through imitation of similar design or material character that blends in with its other original elements should be applied.

Zou et al. (2016) emphasized on the difficulty and constraint in the transportation of materials and equipment to site as compared to new construction. As existing building structures are often situated where there are restricted road traffic controls and close proximity to nearby existing buildings, careful equipment selection and construction scheduling is imperative. As suggested by Rahmat (2008) one of the solutions is to construct high-level cantilevered platforms above roads that is adjacent to the building, though such temporary structure requires approval from the local authority due to safety reasons.

### *Regulatory Factors*

In regard to the building regulation, restoration design is affected by complex legislation (Ali, 2014; Ali and Zakaria, 2012) and statutory requirements (Gorse and Highfield, 2009). Ali and Zakaria (2012) simplified that it is not necessary to obtain approval from the Town Planning Department of the local council when the refurbishment work does not involve addition to the building height or area, change of use, change of façade and anything that does not come in conflict with the local plan. This is in accordance with Act 172 (1976) Clause 19 of the Malaysia Town and Country Planning Act. Statutory requirement includes plan approval from respective local authorities (Ali and Zakaria, 2012). Ali and Zakaria (2012) also commented that it is likely that such Act together with the Uniform Building By-Law

(UBBL) 1984 are not considered to be extensively applicable in refurbishment projects except for the fire department requirement.

The existing façade of an existing structure needs to be retained, which is also due to the regulatory constraint. Gorse and Highfield (2009) agreed that façade retention is important as it holds certain historic value. These façade walls, which often are load-bearing walls, provide structural support to many internal elements such as floor slabs and roofs. Gorse and Highfield (2009) further emphasized that there is a need for practical arrangement of temporary support structure externally so it would not obstruct the surrounding and posed any danger to the nearby passer-by, which was also subject to permit from the local authorities.

Grants and incentives offered in countries like Australia and United Kingdom promote the uptake of restoration projects (Wilkinson et al., 2009). In Malaysia building context, albeit not many, the Habitat Seed Fund was introduced in Penang under the effort of the local authorities.

### *Design Information*

Insufficient and inaccurate information on existing building system, whether from incomplete or missing as-built drawings, reports or other available documentation may pose a challenge to the project team and complicate the design process (Zou et al., 2016). It compounds to the project uncertainty and resulting in greater amount of assumption, especially on the hidden items, such as electrical and piping cables (Ali, 2010; 2014). Ryan and Mansfield (2001) as mentioned by Ali (2010) highlighted that due to lack of clarity of design information, higher provisional and contingency sum needs to be allocated and more variation order is expected to be issued later. Roy and Kalidindi (2017) found out that the building structure itself has many unforeseen conditions that are often only discovered once the work has started. It was also common for structural components that were initially thought strong and stable, which were later discovered that it needs replacement (Rahmat, 2008).

## **Restoration Techniques for Building Defects in Heritage Buildings**

### *Restore Cracks in Concrete and Brick Wall*

For minor cracks on surfaces, Chitte and Sonawane (2018) suggested that surface filling method is the simplest technique to repair micro-cracks lesser than 0.2mm. They further explained that brush polymer and elastomeric sealant could be applied to prevent moisture content. Stitching is another method to repair cracks (Chitte and Sonawane, 2018; Doshi et al., 2018). According to Chitte and Sonawane (2018), stitching involves drilling holes on both sides of the cracked structure followed by anchoring a U-shaped metal unit with short legs, often known as staples, that spans the crack. Rajabather (2016) mentioned that epoxy injection is another economical method for repairing cracks in concrete but Doshi et al. (2018) argued that the cost of epoxy concrete is prohibitive. Surface should be kept clean and free from dust before establishing entry and venting of ports along the crack, followed by sealing of cracks, injecting the epoxy and letting it to be absorbed before removing any excess using a rubber squeeze (Chitte and Sonawane, 2018; Rajabather, 2016).

### *Restore Timber Defect*

Walker (2017) said that though it is considered the most disruptive part, structurally weakened and compromised timber due to woodworm infestation or dry rot and wet rot outbreak must be removed and replaced by a new sound timber. For decayed timber structure that bears into the wall, there are chances that only the ends need to be replaced by splicing in new sections using bolts or steel plates. Another way as suggested by Mustafa et al. (2011) is to use synthetic resin adhesive to fill missing areas and secured failed or weak connections with special brackets, angled irons or stirrups. Ahmad (2004) and Ridout (2004) both mentioned that the use of pressure-spraying insecticides could also prevent the growth of insect attack. Preventive measures through removal of the source of problem, which is moisture, is equally important. Hence, simple care such as repairing leaking rainwater system and ventilating spaces well are ways to prevent or reduce chances of timber decay (Walker, 2017).

### *Remove Moisture and Salt Content*

Tan et al. (2016) mentioned that chemical injection method is widely recommended to seal off the ground water from rising through capillary action. According to Ahmad and Rahman (2010), the chemical injection method is the cheapest way to provide a damp-proof course to the masonry walls. In their study, such treatment shall be done prior to salt desalination treatment, whereby holes will be drilled on wall and silicone-based chemical will be injected either by gravity flow or by pumping until it becomes saturated and forms a moisture barrier. Undersetting, which is the replacement of existing bricks with vent bricks, saw slotting and making good leaked plumbing systems are good methods to treat dampness, as concluded by a study done by Asomoah et al. (2017). The cocoon method, or the process of poulticing, involves the application of a damp-absorbent material, often a pharmaceutical fiber mixed with distilled water, that will draw salts from the applied surface after leaving it for several weeks to dry (Ahmad and Rahman, 2010). Another method is the sacrificial plastering that allows moisture and salt to be removed through its high sorptivity characteristics before it is substituted with other restoration plaster (Franzoni, 2014).

### *Restore Defective Plaster and Paint*

Sabri and Suleiman (2014) noted that lime is widely encouraged as it allows natural ground moisture to travel up the wall and evaporates through the lime plaster. Talib et al. (2014) mentioned that the George Town World Heritage Incorporate (GTWHI) had recommended three layers of lime plaster with the ratio of 3:1 (sand:lime) and additional material like brick dust pozzolan added to increase the durability of plaster. As paint served as the first layer of protection against weather and deterioration, lime-based paint or lime wash is highly encouraged as they are vapour permeable material that will aid in controlling condensation within the building and maintaining the hydrostatic pressure (Talib et al., 2014). Tan (2015) further added that modern wall finishes are not suitable to be used on traditional lime plaster as water will build up and eventually the paint will experience blister, inflicting damage to the structural wall.

## RESEARCH METHODOLOGY

### Case Study Research Technique

Starman (2013) defined qualitative research as an interpretative paradigm where it emphasized on the subjective experiences of a subject matter. According to Sturman (1997) as cited by Starman (2013), a case study is generally an exploration of an individual, a group or a phenomenon and it comprises of a comprehensive description of any investigated case or subject matter and its analysis. A single case study, though vulnerable in nature, provides in-depth understanding of a unique and one of a kind study (Gustafsson, 2017). For multiple case studies, each case will be studied and analysed individually and is then compared and evaluated against the other cases and are utilised to augur similar results in studies or to augur contrasting results for expected outcomes (Starman, 2013). Hence, as the research objectives requires in-depth exploration and explanation with descriptive data, a multiple case study approach is deemed the most appropriate and suitable method for this study as it deploys a wider range of interpretative practice.

### Data Analysis Method

Comparative analysis synthesizes the similarities, dissimilarities and patterns across two or more cases that shared a common goal or focus (Goodrick, 2014). For the study, two common characteristics of the case studies are identified which is the heritage recognition and the period of the said heritage building's last restoration which is within 5 years, namely 2015 – 2019 and data would be interpreted qualitatively whilst looking at the causality between the variables. Content analysis makes valid inferences verbally or visually to analyse and gain more knowledge and exposure of relevant studied objectives as cited by Bengtsson (2016). Content analysis as a flexible way of analysing text data is suited for this study as it enables descriptive data obtained from the relevant parties in each case study to be replicated into valid inferences.

### Scope of Case Study

The scope of case studies was limited to heritage buildings that have undergone recent restoration, which is within the last 5 years as shown in Table 1. Although the selected cases are from different states in Malaysia, yet all the buildings are gazetted and gained its heritage recognition.

**Table 1.** Basic Information of the Selected Case Studies of Local Heritage Buildings in Malaysia

Case Studies	Penang Grocers Association	Rumah Tangsi	Cheah Kongsi	Sultan Alaeddin Royal Mosque
Coding	CS1	CS2	CS3	CS4
Age of building	82	114	146	114
Location	Penang	Kuala Lumpur	Penang	Selangor
Heritage recognition	Classified as Category II (Heritage shophouse) in the buffer zone under Special Area Plan	Gazetted as National Heritage Building in 2012 under National Heritage Act 2005	Classified as Category I (Heritage religious) in the core zone under Special Area Plan	Gazetted as National Heritage Site in 2008 under National Heritage Act 2005
Last restoration year	2019	2017	2015	2018

### Conceptual Framework

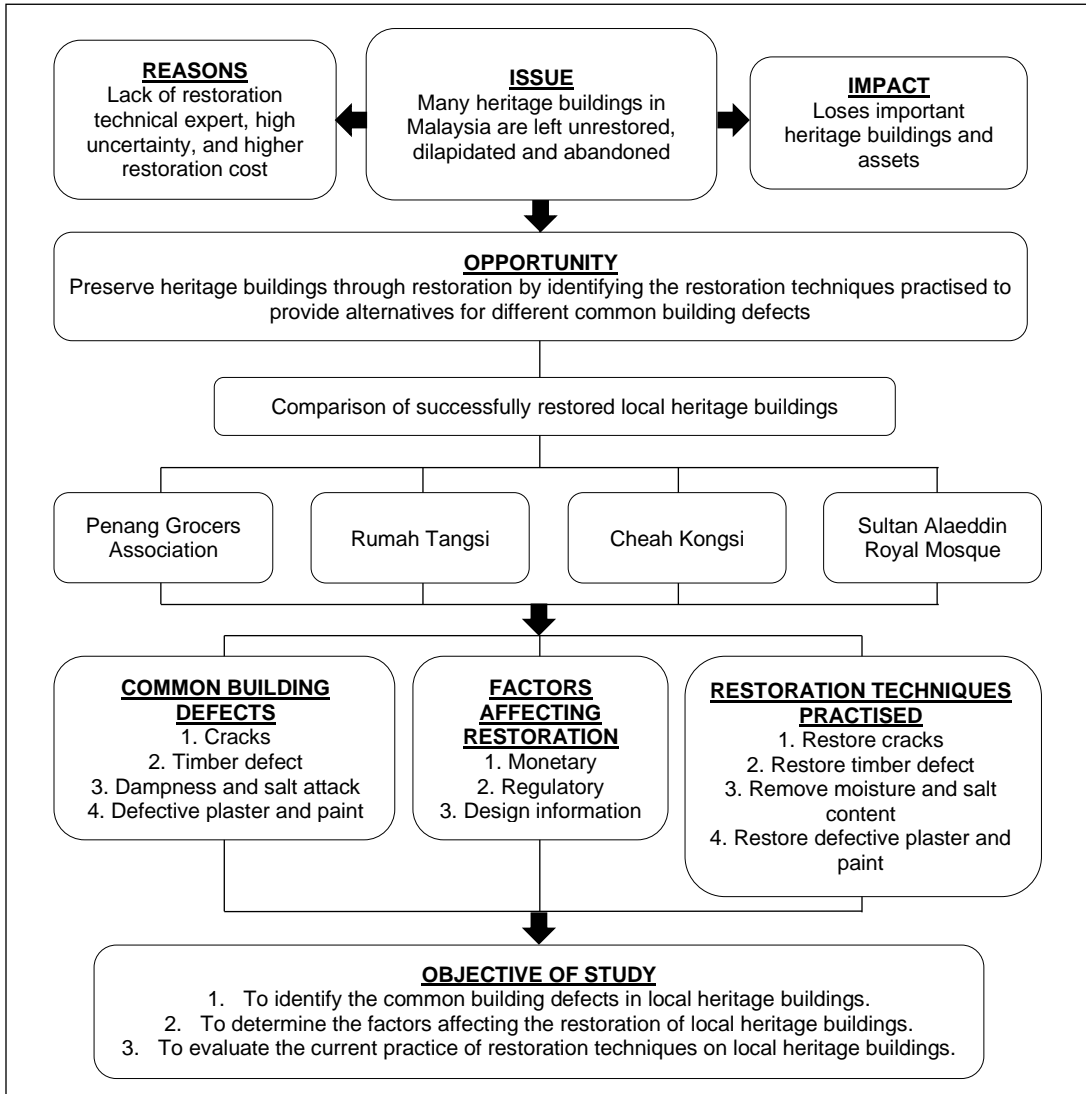


Figure 1. Conceptual Framework

## RESULTS AND DISCUSSIONS

### Summary of Key Findings for Objective 1

Table 2. Comparison of Common Building Defects in Local Heritage Buildings

Coded Case Studies	CS1	CS2	CS3	CS4
Cracks in concrete and brick wall	Minor cracks	Minor cracks and major crack due to poor workmanship	Minor cracks	Minor cracks and major crack due to settlement
Timber defects	Termite attacks at roof and ceiling battens	Termite attacks at staircase area	Damped timber battens due to moisture seepage	Termite attack

Coded Case Studies	CS1	CS2	CS3	CS4
Dampness and salt attack	No rising dampness detected	Rising dampness due to entry of ground water	Rising dampness due to entry of ground water	Rising dampness due to entry of ground water
Defective plaster and paint	Powdery surface and peeling of paint	Powdery surface and peeling of paint	Powdery surface and peeling of paint	Powdery surface and peeling of paint

From the case studies, it was found that major cracks in brick wall usually occur not due to excessive loading, but settlement or movement of soil and the cracks are worsened due to poor workmanship of connecting the newly built brick wall with the existing old ones. As old buildings were constructed mainly of timber structure apart from brick walls, therefore termite attack that is common in Malaysia can be seen in most of the case studies. The most prominent termite attacks are at the roof area, typically where the timber roof battens are embedded to the damp brick wall. It was also proven from CS2, CS3 and CS4 that rising dampness and salt attack happened hand-in-hand. Plaster and paint on wall surfaces tend to wear off and peel easily and the condition worsen when the breathability of the wall is obstructed with cement plastering. In Penang, lime plastering has to be used for plastering work but in other states where it is not a necessity, cement plaster that is less tedious is more preferred, but such building material does not aid in the breathability of the brick wall especially in old buildings. Moisture coming from rising dampness pose as another threat to heritage buildings and can deteriorate the condition if not removed or reduced.

## Summary of Key Findings for Objective 2

Regulation, labour and building materials are the factors that have the greatest impact on restoration above others. Due to regulation, demolition of heritage buildings is prohibited, however there are many restrictions for the restoration work, for example the restoration of traditional architectural elements. Regardless of the type or usage of building, majority of them has traditional architectural elements that carries certain value. Restoration of such work requires highly skilled craftsmen yet scarcity of labour affects the restoration process. Training is vital to ensure that such heritage legacy is continued or there will be a need to depend on foreign skilled craftsmen which would incur additional costs. Similarly, matching building materials will need to be procured or if they are unavailable, a substitute that shares a certain nature or characteristics has to be identified.

Cost of building materials are often higher due to limited salvage sources and often procured in small quantities. Due to unavailability of materials and disagreement to use certain materials within the project team, delay in project completion may occur and hence, it is one important factor to be considered. Although not all the case studies had space constraint due to being a stand-alone building like CS2, CS3 and CS4, however, due to its location transportation of materials and loading issue within high traffic places was still a concern. Demolition was also not an option due to regulatory reasons, and therefore the façade as well as other parts of the building have to be retained as much as possible.

From another point of view, encouragement from the Government plays a vital role in the success of a restoration work. This can be seen in CS1 and CS3 where the fund and financial support from the local authority pushed the restoration to its success and in CS2 although the

fund amount was not identified, the local authority was involved in the project. In Penang where there is a more holistic plan to rejuvenate their heritage zones, restoration works are done in a more orderly manner. Likewise, some improvements can be observed in Kuala Lumpur as local authorities are putting in efforts to restore more heritage buildings. However, in other states like Selangor, restoration is done mainly on the building owner's willingness and initiative.

Uncertainty is still a challenge in any restoration project, but it can be reduced by obtaining previously recorded information or through site measurement. Proper recording of work provides more certainty to the subsequent team that carries out the restoration work, either minor or major. However, late discovery of defects also needs to be considered as the defects of some elements can only be detected upon inspection and hence, such factor should be noted when considering a restoration project. Hence, it is noticeable that although the factors act independently but they are actually inter-related and affecting one another.

### Summary of Key Findings for Objective 3

**Table 3.** Comparison of Restoration Techniques in Local Heritage Buildings

Coded Case Studies	CS1	CS2	CS3	CS4
Restore cracks in concrete and brick wall	Surface filling and crack stitching	Surface filling and manual stitching	Surface filling	Surface filling and Helifax bar stitching
Restore timber defect	Full removal and replacement of timber	Partial removal and replacement of timber	Full removal and replacement of timber	Full removal and replacement of timber
Remove moisture and salt content	Suggested cocoon method	Chemical injection	Suggested steel plate method	Chemical injection
Restore defective plaster and paint	Lime plaster with lime wash	Sacrificial plaster and lime plaster and breathable paint	Sacrificial plaster and lime plaster with lime wash	Sacrificial plaster and lime plaster and breathable paint

For all case studies, surface filling was the only method to repair minor cracks. Whereas for major cracks, there were several methods that have been practiced including using (1) wall stitching with reinforcement and wire mesh, (2) manual stitching by replacement of defect bricks with new bricks, and (3) Helifax bars; steel bars that resembles U-shape to tie bricks together. It is also noteworthy to mention that when there is an additional work such as building new brick wall, the connection method to the existing brick wall has to be critically considered as settlement or movement may occur over time and will result in large, threatening major cracks.

For timber defects, partial and full replacement were the commonly practiced method. It is important to mention that if partial replacement is practiced, all affected parts have to be fully removed or the damage left in timber would further deteriorate and spread to the newly attached timber pieces. It is also suggested to use anti-termite layers as additional protection for timber, which have been practiced in CS2 and CS4. Timber structures are durable by nature and only under unfavorable conditions such as moisture that it would deteriorate. Hence, removal of the source of moisture is vital besides improvement on the building ventilation.



For rising dampness and salt attack issues, several older methods such as steel plate and cocoon method were being practiced. However, the chemical barrier method was preferred in CS2 and CS4 as it is more effective and long lasting. Yet the effect is only better when paired up with proper removal of moisture from brick wall such as the sacrificial method through weak lime plastering, which was practiced in three case studies. For wall surfaces, building materials like lime plaster and lime wash can provide and promote breathability and reduce moisture issue in brick wall. However due to its tedious work, some practitioners may substitute it with water-based paint, unless it is required by the local authority.

## CONCLUSION

Based on the collected and analysed data, the common building defects across all local heritage buildings were almost similar where most of them experienced termite attack, moisture and slight settlement, all due to the age of building, durability of building materials and climate condition in Malaysia. The factors affecting restoration varies according to project however, there were a few common factors to be considered. The factors are scarcity and the need to employ skilled craftsmen, the source and procurement of matching building materials, and discovery of defects only upon building inspection when work had commenced. These are partly regulated by the Government or the policy of the said heritage building. Various restoration techniques were also summarized such as the three variations in brick wall stitching for major cracks, partial removal of defected timber, full removal and replacement of defected timber and strengthening with anti-termite protection layer. Moisture is the source of most of the defects in building including salt attack and peeling of paint. Chemical injection can be identified as one of the most practiced methods to curb rising dampness, combined with the sacrificial plastering method. Lime plaster and lime wash or water-based paint are best to promote the breathability of wall.

With all things considered, local heritage buildings have to be preserved and protected through restoration to prevent their permanent shortage and continuous disappearance. When a restoration work is being taken up, there are many factors to be considered before a decision is made in order to achieve balance in construction time, quality and cost of the project. Understanding the common building defects and knowing the current practice of restoration techniques would give more certainty and leads to a more successful restoration works.

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# LOCATION OF ELDERLY EVACUEES AND ITS EFFECT ON EVACUATION TIME AND DISTANCE IN MULTI-STOREY HOUSING

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## Abstract

Increases in the number of elderly populations have raised some concerns on their safety during emergency situations. The elderlies' limited physical capability increases the chances of injuries or even casualties during evacuations. As buildings are normally designed as vertical structures, descending staircases becomes a major issue with the elders. This paper aims to analyse the location of elderly evacuees and its effect on time and distance of evacuation in multi-storey housing. The method applied in this study is a computer simulation using the Pathfinder software. Nine cases were tested where every case ran two sets of scenarios between randomly placed elders and elders placed on lower floors to see the difference. The results show that when elderlies are located at lower floors, the total time and distance travelled by them are significantly reduced. Positioning elders at lower floors also does not affect the other evacuees evacuating the building as their evacuation time and distance are similar in all scenarios. Future studies can consider studying the evacuation strategy of elders with limited physical capability or those depending on assistance as this study only focused on elders who are able to evacuate by themselves.

**Keywords:** *Elderly; evacuation; multi-storey; public housing; evacuation time; travel distance.*

## INTRODUCTION

The main factor contributing to the high number of injuries is the decline in physical strength as people age (Shalahim et al., 2015). This physical decline contributes to the risk of falling, thus increasing the risk of casualties during emergencies. Not only that, elderlies' slow speed of movement is also believed to be associated with age-related physiological conditions that increase the elderlies' vulnerability during emergency situations (Enderlin et al., 2015; Hadjistavropoulos et al., 2017; Ismail et al., 2015; Kholshchevnikov et al., 2012). The law enforced in Malaysia such as UBBL 1984 does not put much emphasis on the safety of elderlies as they are often considered as disabled, but a majority of elderly residents can still perform their daily activities without the help of other people. Only a small percentage of elderlies fall under the disabled category and are mainly those who are either very old or dependent on mobility aids.

To ensure that the safety of elderlies is considered, this study needs to find out if the safety features provided in buildings are proportionate with the number of occupants. Designers play an important role in designing a safe environment for occupants. With proper planning, fast evacuation processes are possible (Craighead & Craighead, 2009; Oven & Cakici, 2009; Wagner & Agrawal, 2014). Some designers might only adhere to the minimum requirements set by the authorities with minimal consideration of the actual phenomenon happening during an emergency situation. This is mainly due to cost constraints that limit the choices one designer has. Not only the increased number of elderlies has become a concern, but the

increasing number of occupants should also be considered as it could increase the total evacuation time. With increments in the number of populations, evacuation times may be longer than actually intended (Lawson, 2011; Lei et al., 2012; Wang et al., 2015; Xu et al., 2013).

Almost all buildings are bounded with safety requirements set by the authorities. During the design stage, the passenger evacuation capacity is calculated based on the requirements set by the authorities that consist of ventilation, exit, and staircase design. Although the fire safety design procedure has been implemented in buildings, the insufficient number of egress could create bottleneck issues (Jiang et al., 2014; Lawson, 2011; Zhang, 2017). Consideration regarding the increasing number of elderly occupants with low speed and movement is also believed to trigger bottleneck and stagnation issues. Designers should provide adequate egress choices for evacuation based on the number of occupants in a building. However, increases in the number of occupants and guidelines that only cater to the speed of people might result in insufficiency in terms of escape route design.

It is difficult to address this issue, especially for buildings built based on the typical layout set by the authorities. Physical adjustments are quite impossible for existing buildings. Thus, one of the possible approaches to solve this issue is to test the effect of positioning elderly occupants at different locations in the building in order to calculate their evacuation time and distance.

## **LITERATURE REVIEW**

### **Risk of Falling amongst Elderlies**

As ageing typically leads to anthropometric decline, it is believed to influence the ability of elderly evacuees to evacuate safely (Shalahim et al., 2015). Fall risk among elderly evacuees has become a huge topic since the predicted increment of the population of elderly evacuees has become a major public health concern. Since then, the number of researches on similar areas have increased, signifying that awareness towards fall risk among elderly evacuees have improved (Hatamabadi et al., 2016; Leszczyńska et al., 2016; Marques-Vieira et al., 2016; Thaweewannakij et al., 2016; Yang et al., 2016). The trend of determining and understanding fall risk factors has been researched on for years – nonetheless, it was only recently that studies start to incorporate strategies to minimise fall risk while foreseeing challenges faced due to falls.

Considering that even in normal situations, elderly evacuees are highly exposed to the risk of falling, an emergency situation hence exposes this group to a bigger risk of morbidity and casualties. Many studies conducted mostly gave focus to the risk of falling among elderly evacuees in normal conditions. This thus presents a gap in the knowledge on the fall risk elderly evacuees are exposed to upon panic or emergency situations. Since elderly evacuees are prone to falling, the main concern is on their safety when in crowd stampedes which has high crowd density (and is often associated with panic behaviour that is believed to be able to cause great danger).

Thus, it is important for the study to find ways to mitigate the risk of falling during emergencies. Reducing the amount of energy and effort needed to evacuate might be beneficial as elderlies are known to have limited physical capabilities.

## Speed of Elderly Occupants

The main issue faced by elderly evacuees during any evacuation process is their slower speed of movement compared to others which is believed to be associated with age-related physiological conditions (Enderlin et al., 2015; Hadjistavropoulos et al., 2011; Hairi et al., 2009; Hanapi et al., 2017; Kholshchevnikov et al., 2012). Some studies suggest that the slow walking speed is related to the fear of falling; as a result, elderly evacuees restrict their physical activity and movements, causing their physical condition to further deteriorate (Landers et al., 2011).

Apart from slow walking speeds, the ability of elderly evacuees to react quickly or start moving is also a huge issue as a shift from one foot to the other can be difficult (Kholshchevnikov et al., 2012). Sudden changes in the direction or presence of obstacles such as narrow passages also amplify the tendency for this group of people to slow down, resulting in a speed drop or a halt (Kholshchevnikov et al., 2012). A study by Kholshchevnikov et al. (2012) conducted through observation of movement of elderly evacuees concluded that elderly evacuees do have prolonged pre-movement time, low movement speed and low endurance.

It can be concluded that as people age, their physical capability declines. The fear of falling also results in a decrease in walking speed. As the population of elderly evacuees grows bigger, evacuation is expected to become prolonged due to their slow walking speed as well as slow reaction to sudden changes. During an emergency, evacuees must be prepared for sudden movements and changes of plan due to surrounding factors; this may further cause difficulty for elderly evacuees.

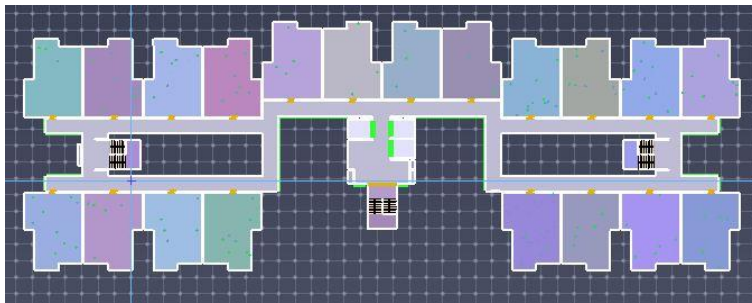
## METHODOLOGY

A computer simulation tool was used to conduct a simulation study on human behaviour in multi-storey buildings. The model is used based on the typical layout of public residential buildings built in Malaysia. In the simulation study, evacuees consisted of varied ratios and percentages of elderly evacuees and adult occupants. The simulation study was conducted to analyse the effect of elderly evacuees' position in the building on the overall evacuation time and distance. It was conducted in such a way to observe the foreseeable issues of an ever-increasing population and the growing number of elderly occupants in buildings.

### Simulation Setup

The base case model used in this study is a linear building layout configuration for public buildings. The building was tested using the agent-based approach as elderly evacuees and adult evacuees with various walking speeds were included in this simulation study. The simulation was carried out using Pathfinder, an evacuation software, to evaluate the speed and movement of evacuees.

The building model which was first drawn using AutoCAD and Sketchup was imported to Pathfinder. Figure 1 is the base case layout plan of public buildings imported into the Pathfinder software.



**Figure 1.** Building Plan of Simulation Study Using the Typical Layout of Public Residential Buildings in Malaysia

### Setting up Agent Evacuees

The first stage of setting up the agents is to determine their speeds. The speeds are determined based on the range of speed for both male and female elders and normal adults listed in IMO MSC/Circ 1238.

**Table 1.** Walking Speed Range of Elderly and Adult Evacuees

Speed (m/s)	Evacuee Categories	
	Adults	Elderly
Minimum Speed	0.71	0.56
Maximum Speed	1.85	1.4

Anthropometrically, the agents were set as 40cm X 40cm which is the typical surface occupied by a person in a crowd situation. The characteristic of occupants remains the same with the consideration of elderly evacuees’ capability to evacuate without any assistance. All other settings that contribute to the behaviour of occupants were set by default following the NFPA requirements for simulation studies.

### Differentiation in Case Scenarios

It was hard to determine the location of elderly evacuees in multi-storey residential buildings since their distribution is random; hence, the data collected might vary slightly, although the difference may be minimal. In this section, data pertaining to elders being randomly placed and placed on lower levels were compared. To determine the number of elders to be located on the lower floors (the floor level is determined based on the number of elderly evacuees where it is limited to 2 elderly evacuees in one unit of house), the percentage of elderly evacuees and the assumption of maximum two elders in one household were referred to. This thus decided the number of elders and floors to be involved. Cases a, b and c can be categorised to have lower occupancy rates with 4 people per household, while cases d, e and f can be grouped as having medium occupancy rates with 5 people per household, and cases g, h and j can be grouped as having high occupancy rates with 6 people per household. Cases a, d and g had the same percentage of elderly evacuees at 7%; cases b, e and h involved 10% of elderly evacuees, while 15% elderly evacuees participated in cases c, f and j. Comparison of data was made based on certain criteria. The first criterion was the comparison between two elderly evacuees’ placement scenarios (randomly placed and placed on lower floors).



**Table 2.** Number of Occupancy Based on Different Case Scenarios

No	Number of occupants		
	Low Occupancy 1478	Medium Occupancy 1700	High Occupancy 2100
Case 1	X		
Case 2	X		
Case 3	X		
Case 4		X	
Case 5		X	
Case 6		X	
Case 7			X
Case 8			X
Case 9			X

**Table 3.** Number of Elderly Evacuees Based on Different Case Scenarios

No	Number of elderly evacuees (percentage)		
	7%	10%	15%
Case 1	100		
Case 2		143	
Case 3			214
Case 4	119		
Case 5		170	
Case 6			255
Case 7	143		
Case 8		204	
Case 9			306

Every case was simulated twice with different scenarios. The first scenario was where all the elderlies were randomly placed, while the second scenarios was where the elderlies were placed at the lower floors. The simulation was tested using the same base case but differentiated based on the colours in the graph. Elderly evacuees placed randomly were marked with colour blue, whereas elderly evacuees placed at lower floor levels were marked with orange. When comparisons were made between adults and elderly evacuees in every case, adults were represented by the colour red with a dash line (simulation where elderly evacuees were randomly placed) and green dash line (simulation when elderly evacuees were placed at lower levels). Determining the maximum level of elderly evacuees was done based on the number of elderly evacuees and the placement of maximum 2 elders in one unit. The comparison conducted next was related to the number of occupancies and the effect of elderly evacuees’ percentage on time and duration. Finally, a comparison was also made based on the different results obtained from the elderly evacuees and adults involved in every case. The discussion covers the most distinct results from the data.

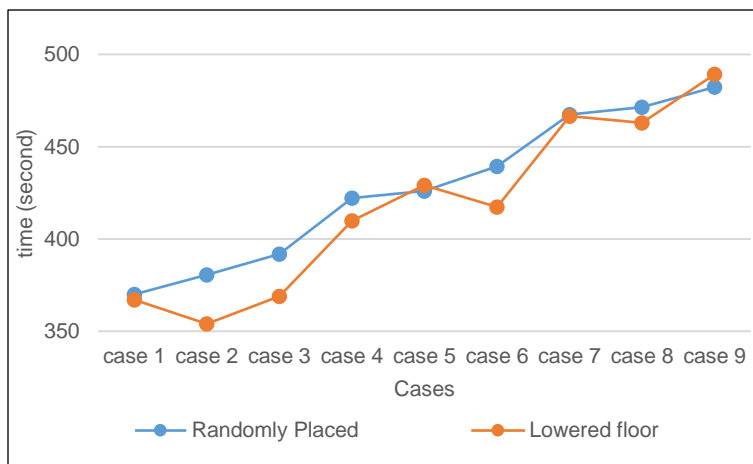
## RESULTS AND DISCUSSION

Computer simulation is an experimental tool that helps studies gather a multitude of data based on input. This current paper used the tool to experiment with evacuation time and distance in relation to several variables chosen for this study on public multi-storey buildings in Malaysia. The simulation studied the effect of dissimilar initial position of elderly evacuees during evacuation. To do this, elderly occupants were randomly place at every floor and the

evacuation results were compared with elderly occupants placed at lower floors. In both scenarios, placement was limited to 2 elders per unit. The two set of studies (randomly placed and placement on lower floors) were used in every case. Each case represented different occupancy rates and presence of elderly occupants.

### Maximum Evacuation Time of All Occupants

Figure 2 represents the maximum evacuation time taken in all nine (9) cases (case a – j). Based on the overall layout, the results show that placing elderly evacuees on lower floors (refer orange line) reduces the maximum evacuation time. Figure 4.37 also shows although there is a decrease in time, although the gap is slight. In comparison, results for cases with randomly placed elders (refer blue line) show consistent increments in maximum evacuation time as the occupancy and percentage of elderly evacuees were increased.



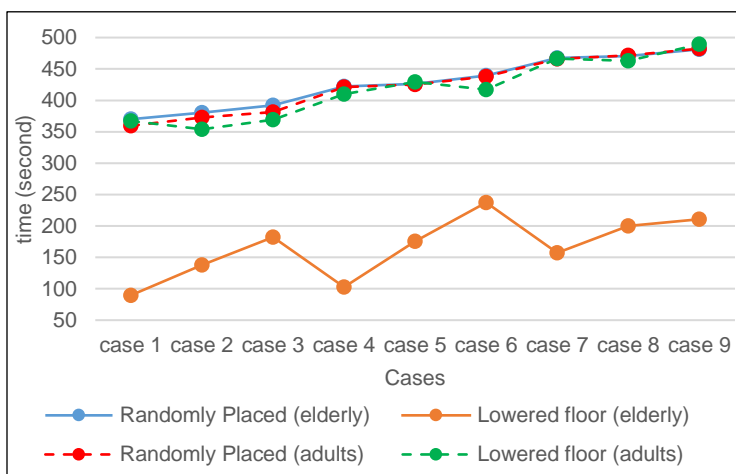
**Figure 2.** Maximum Evacuation Time Based on the Location of the Elderly Evacuees

Results obtained from having elderly evacuees placed randomly and on lower levels were different; having elders placed on lower levels resulted in slightly shorter evacuation times compared with situations with randomly placed elders. In short, the placement of elderly evacuees on lower floors is proven to reduce evacuation time.

In case 9, elderlies placed on lower floors recorded a higher maximum evacuation time of 489.2 seconds compared to the randomly placed elders (with 482.3 seconds maximum evacuation time). In case b, elderly evacuees located on lower floors (low occupancy and 10% of elderly evacuees) recorded the lowest evacuation time of 354 seconds.

### Comparison between Maximum Evacuation Time of Elderly and Adults

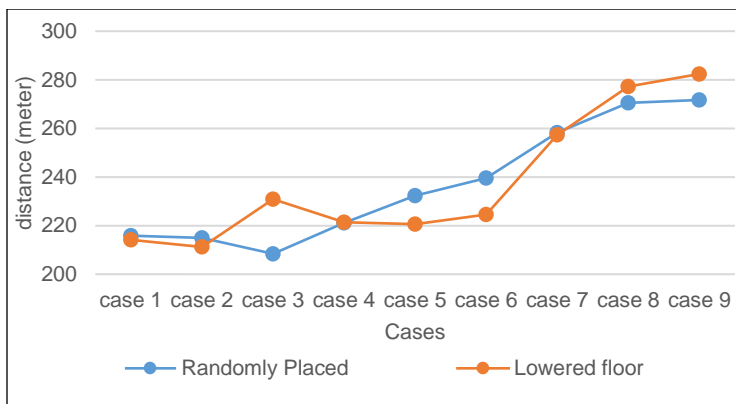
Figure 3 shows a comparison between the maximum time taken by elderly and adult evacuees in two situations where the elderly evacuees were either randomly placed or placed on lower floors. Placing elderly evacuees on lower floors ensured that all of them could evacuate much quicker than the rest; with random placement, they take almost the same time taken by adults.



**Figure 3.** Comparison Between Maximum Evacuation Time of Elderly Evacuees and Adults based on Location of Elderly Evacuees

It was also found that adults in the two situations evacuated within almost the same time, asserting that the location of elderly evacuees did not affect the adults’ evacuation time. Looking at the orange line which represents elderly evacuees located on lower levels, it can be seen that the elderly evacuees evacuated faster when the occupancy was at the lowest (refer cases a, d and g). As the number of elderly evacuees increased, the duration of evacuation also increased. These results are based on the last person safely evacuated from the building. The average time needed for every group of people involved is discussed in the next figure.

### Maximum Travel Distance

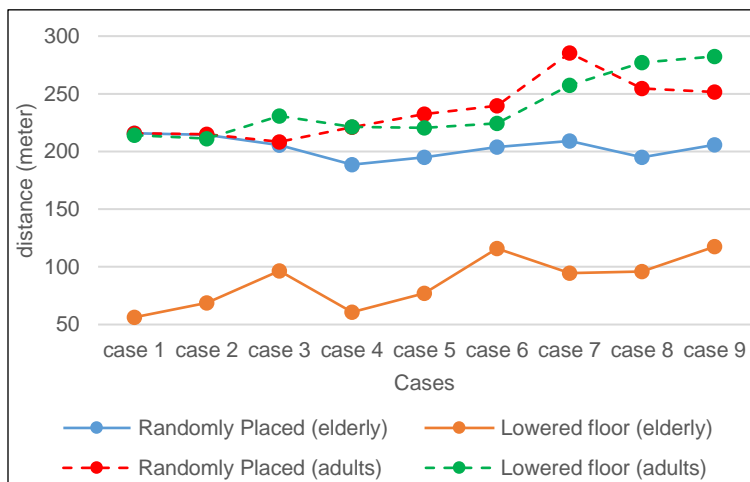


**Figure 4.** Maximum Travel Distance Based on the Location of Elderly Evacuees

Time is crucial when it comes to saving lives during an emergency event. The longer the evacuation time is, the more lives are exposed to the risk of casualties. Although time is an important factor in evacuation, distance is also important as it determines the efficiency of an evacuation process. So, in this section, distance is considered through a comparison of various cases, scenarios and groups of people (elderly and adult evacuees). Figure 4 shows the travel distance of evacuees in nine (9) cases with two (2) scenarios. The graph shows that results vary for the two (2) scenarios between elders placed randomly and those placed on lower floors.

This thereby shows that the location of elderly evacuees does not significantly determine the maximum evacuation distance as the results were based on the last person exiting the building which the programme was unable to determine whether it was an elder or adult. Based on the results, low and medium occupancy levels recorded similar results in terms of travel distance. However, as occupancy increased (>2000 people), evacuees tend to walk further. This might be due to stagnation at exits, forcing evacuees to find other alternative escape routes. The percentage of elderly evacuees was also not a big determining factor for the maximum travel distance as the results are inconsistent (contain both high and low values).

### Comparison between Maximum Travel Distance of Elderly and Adult Evacuees



**Figure 5.** Comparison Between Maximum Travel Distance of Elderly and Adult Evacuees Based on Location of Elderly Evacuees

Figure 5 shows a comparison between the maximum distance taken by elderly and adult evacuees in the nine (9) cases. From the graph, it can be seen that placing elderly evacuees on the lower floors reduced their travel distance. Nevertheless, once occupancy reached and went above 2000 people and the percentage of elderly evacuees became 15% (refer to case 9), the maximum travel distance for elderly evacuees also increased. When elderly evacuees were randomly placed, the maximum distance travelled by elderly evacuees was found to be in a similar range with the maximum distance travelled by adults in the two scenarios.

Referring to the orange line which represents elderly evacuees being placed on lower floors, only small increments in distance were shown when going from the low to the medium occupancy level; when occupancy was high, the distance also increased. At high occupancy, scenarios containing 7% and 10% of elders placed on lower floors obtained the same results, while scenarios with 15% elderly evacuees resulted in a bigger distance, almost reaching the average distance travelled by adults in the scenarios with randomly placed elders. It can therefore be concluded from the data that placing elderly evacuees on lower levels reduces the maximum travel distance of elderly evacuees. This is however only limited to scenarios with lower and medium occupancy levels. Once occupancy reaches and goes above 2000, high stagnation might occur, forcing evacuees to travel further. While being able to reduce the distance travelled by elderly evacuees, adults' travel distance was found to be in the same range with other scenarios.

## CONCLUSION

It can be summarised that there were only slight differences in the total evacuation time between cases with randomly placed elderly evacuees and with those placed on lower floors. Nonetheless, on average, the duration for all evacuees to safely evacuate the building remains the same. All in all, the placement of elderly evacuees is not significant enough to affect evacuation time.

Looking at the travel distance of evacuees, their maximum travel distances had varied in the two scenarios, indicating that the placement of elderly evacuees did not contribute to the maximum travel distance. On average, placing elderly evacuees on lower floors did result in a shorter travel distance, but it increases as the number of elderly evacuees and level of occupancy reached the maximum.

When comparing both elderly evacuees and adult occupants, the data were observed to vary. The maximum travel time of elderly evacuees was tremendously reduced when they were placed on lower floors. When the elderly evacuees were randomly placed in other scenarios, the maximum time they took was the same as adults. Although adults were able to exit much quicker than the elderly evacuees, both groups produced similar average evacuation times.

While the elderly evacuees were found to walk shorter distances when placed on the lower levels, their maximum distance was found to increase profoundly when their percentage reached 15% and when the occupancy level went beyond 2000. The results also show the same pattern in the average distance travelled by elderly evacuees. From the data, adults were shown to travel more than the elderly evacuees.

As a conclusion, placing elderly residents on lower levels allows the elderly evacuees to evacuate much faster by taking short travel distances without affecting adult evacuees. This can be implemented into the evacuation strategies of existing buildings to prevent casualty among vulnerable residents.

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# DOES FENG SHUI FACTORS INFLUENCED THE DECISION OF MALAYSIAN IN HOUSING SELECTION

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## **Abstract**

The paper seeks to investigate the influence of Feng Shui factors on the landed residential housing selection among Malaysians. Feng Shui theory is thought of "harmony between heaven, earth and people" and described as "the Chinese art of placement and design". The aim of Feng Shui concepts towards architecture is to create a harmonised built environment for people to live in and acts as a guideline in selecting favourable sites. Feng Shui in Malaysia lately are widely recognized by everyone. Prospective buyers believe that Feng Shui arrangements can affect the housing price, luck and fortune of occupant. Therefore, the goal of this is threefold: (1) to identify the Feng Shui principles incorporated into housing design; (2) to determine whether the Feng Shui principles affect the decision in housing selection among Malaysians; and (3) to prioritise the Feng Shui design factors in housing selection among Malaysians. A survey questionnaire is developed based on the 24 key criteria in the Feng Shui design framework and tested on a sample of 158 Malaysians from Kuala Lumpur. The findings revealed that most of the Malaysian Chinese and Indians would consider Feng Shui factor when choosing a premise. However, although only less than half of the Malaysian Malays would consider Feng Shui, but it reflected that they are getting more concerns and familiar with Feng Shui concepts. The facing direction of a house is the major issue among Malaysians. Result revealed that a house near to or directly facing the hospital, a cemetery and tall buildings would be unfavourable and would have impact on their house buying intentions. The findings are useful for property developers in their future development, and as a reference for investors and homebuyers.

**Keywords:** *Feng Shui; building design; housing selection; Malaysia.*

## **INTRODUCTION**

Feng Shui is an old Chinese practice that has accumulated more than 5,000 years of history, knowledge and experience related to the built environment. It is originated from early Chinese in choosing tomb dwellers of an ideal site (Li, 2014). Nowadays, the practice of Feng Shui become an important guideline for many homebuyers in making decision including selecting an auspicious site for living (Erdogan & Erdogan, 2014). Besides, many people choose to arrange their living environment according to Feng Shui precepts (Sia et al., 2018). Around year 1966 to 1976, after the Chinese Cultural Revolution, the practice of Feng Shui has spread throughout the world as millions of people in all countries practice it, including Malaysia (Sally Painter, n.d.).

One of the important things in our lives is buying a house (Chang & Lin, 2015). There are many factors should be considered when purchasing a residential property (Sia et al., 2018). According to Ge and Mak (2013), Feng Shui is one of the important factors to be considered for purchasing real property. Many believes that good Feng Shui residential property has a better value and is easier to sell (Geh & Osmadi, 2015). Ge and Mak (2013, pg.85) also stated that architects and building professionals are beginning to recognise Feng Shui as a philosophy connected ecologically and architecturally. Further, in Malaysia, many developers consult a Feng Shui Master on site selection and design of their housing



developments (Fengshui Republic Academy and Consultation, 2017). They believe that a Feng Shui Master can help them or save them from offending the surrounding (Sia et al., 2018).

The public, especially the Chinese, are concerned in choosing the right premises with a good direction in which they believe they will be fortunate in their lives. In addition, the public often believe it will generate good fortune for their next generation by choosing the right orientation for tombstone. These beliefs that occur in the community have initiated more Feng Shui Courses to be conducted in recent years. The School of Design of one renowned local college in Malaysia, held a special seminar entitled “Applying Feng Shui in Design for Art of Living” by Grand Master Yap Cheng Hai (“Welcome to Yap Cheng Hai Academy - Media Centre,” n.d.). This scenario has shown that the principles of Feng Shui are getting significant in Malaysia.

In Malaysia, there are three major ethnic groups, namely, Malays, Chinese and Indians. Malaysian Chinese are mostly familiar with the Feng Shui concept because the beliefs and practice of Feng Shui is deeply rooted among the Chinese. However, in this modern era, practicing Feng Shui among Malaysians has become a trend. Malays and Indians are likely more familiar with the ideas of Feng Shui. The Feng Shui concept or principle has become an important consideration for Malaysians in making decisions including choosing locations for dwelling, design of both interior and exterior of the buildings, and etc (Nur Zalikha Mohd Amar & Zulhabri Ismail, 2012).

## **OVERVIEW OF FENG SHUI**

### **Definition of Feng Shui**

The earliest name of Feng Shui in Chinese is *kan yu*. *Kan* represent heaven, and *yu* represent earth (Chen, 2007). Feng Shui, or “wind water”, represent a traditional Chinese worldwide regarding the interaction of humans and nature. However, Lip stated Feng Shui is known as Chinese geomancy (Engr et al., 2006). Besides, Wind and Water are related with great environment and wellbeing in Chinese Culture (Ge & Mak, 2013). “Feng Shui” mainly focuses on the comprehensive evaluation of the climate, geology, geomorphology, ecology and landscape and other architectural environmental elements including the general guideline of some techniques and various taboos in the construction when ancient people selected building sites (Sun, 2012). It shows the concerns on the harmonious positioning of dwellings for the living and grave for the dead, with special regard for the proposed site’s manifestation of chi or the life’s “breadth” (Chen, 2007). In other words, Feng Shui is “the art of living in harmony with the land, and deriving the greatest benefit, peace and prosperity from being in the right place at the right time” (Skinner, 1982). Therefore, the main goal of Feng Shui is to stay harmony with the nature and able to provide positive effects that can bring fortune, success and zest for living comfort (Liang & Osmadi, 2015).

### **Factors to be considered when purchasing a house**

Purchasing a house is one of the most important things in our lives. It becomes a major decision, requiring a huge amount of money, and it binds for some 20 to 30 years if one was to buy a house by hire purchase (Engr et al., 2006). However, there are various factors affect

the consumer decision making when it comes to purchasing a residential property. Besides the normal factors such as location, house features, environment, financial, etc. In Asia, Feng Shui is also a significant consideration factor on the housing price and decision making in purchasing a house (Chang & Lin, 2015).

However, Feng Shui is quite subjective. There are various views and opinions on Feng Shui in society based on previous research. There are two common views: one is that people believe it to be strongly true and trust that living in Feng Shui's house would become health or fortunate; on the other hand, people do not believe Feng Shui, treat Feng Shui with disdain and think it as superstition and nonsense (Li, 2014).

According to the Fortin et al. (2014) there is a superstition effect in the housing market. For example, houses address with unlucky number – “4” were sold at lower price in real estate market. However, it should be known as the effect of Feng Shui. As Feng Shui said the number of “4” brings the meaning of death. Thus, Feng Shui is not a superstition or belief or religion but a philosophy (Erdogan & Erdogan, 2014). To clarify it, the reasons are (Li, 2014):

- (i) Feng Shui is a tendency theory in which for humans to avoid from danger and tend to get auspiciousness. The theory of Feng Shui provides a set of rules, views and approaches that will have a positive impact on an individual or his/ her life by following them.
- (ii) Feng Shui is a philosophical system that takes the living environment. Each sub-system in the environment is an element that restrict each other, depends on each other and mutually transforms. Feng Shui theory's function is to grasp and coordinate the relationship between systems to achieve the most harmonious state.

## **INFLUENCE OF FENG SHUI FACTORS IN HOUSING SELECTION**

### **Feng Shui affects housing price**

For many Malaysians, owning a residential property is a primary goal, according to Tan (2014). As pointed out by Ge and Mak (2013), Feng Shui is one of the important factors to be considered for purchasing real property. If the property has good Feng Shui, most Chinese families may be willing to spend more on a property compared with a house with bad Feng Shui elements (Ge & Mak, 2013). For instance, research investigations carried out by Bond (2008), the results indicated that Feng Shui has various positive effect on unit price. Further, Bond (2008) stated that the prices of Feng Shui designed units sell for 7.7% more than those units not designed with Feng Shui principles (Liang & Osmadi, 2015). Besides, it shows that the design of a unit without applying the Feng Shui principles sell for 6.2% less than similarly located unites which are designed in accordance with concept of Feng Shui in the analysis conducted by Bond (2008). Geh and Osmadi (2015) pointed out there are few factors that affect the selling market, such as an inauspicious Feng Shui location, land, internal arrangement and the number of units. Therefore, the Feng Shui principles in housing design and housing selection has a great impact towards not only the buyer decision but also developers and architects (Sia et al., 2018).

## Consumers' Expected Outcome

According to Sia et al. (2018), people usually buy residential properties for two purposes, namely for dwelling and investment. Besides, Hei and Dastane (2017) observes that individuals are motivated to own a residential property by factors such as expected outcome. Based on the findings by Hei and Dastane (2017), Tan (2014) and Chyi (2013), it can conclude the consumers' expected outcome of owning a residential property into three categories of consideration and these three expected outcomes which are similarly with Feng Shui concept (Table 1). Thus, the influence of Feng Shui in buyer's decision in selecting a house is understandable (Engr et al., 2006).

**Table 1.** Consumers' Expected Outcome and The Feng Shui Concept

Consumer's Expected Outcome	Similarities	Feng Shui Concept
House value will be enhanced by future growth	Growth Potential	Positive effect on unit price
Houses sell well at any time	Resale Value	Most homebuyers would choose houses with good Feng Shui
House located at convenience place and comfortable to live	Convenience and Comfortable	Feng Shui can help in choosing an auspicious location where people live and achieve the greatest amount of peace, security, benefit.

(Source: Hei & Dastane, 2017; Tan, 2014; Chyi, 2013; Engr, Mal, Chiong & Shui, 2006)

## Trends of Practising Feng Shui

Feng Shui practice is now a trend in Malaysia (Liang & Osmadi, 2015). Feng Shui serves as an important reference for many homebuyers in decision making, including the choice of residential areas, office, burial sites, the placement of buildings and the design of interior and exterior buildings (Sia et al., 2018). In Malaysia, each ethnic group has its own beliefs and they are taken into consideration of these beliefs into their practices even in building design and selection of house, for example, Indian- Vasthu Sastra, Malay- *Tajul Muluk* and Chinese- Feng Shui.

Nowadays, however, Muslim are likely more familiar with the idea of Feng Shui and Vasthu Sastra. For instance, Feng Shui does not encourage their believers to build or buy a house at the T-junction because it may cause dangerous for the house's occupants (Liang & Osmadi, 2015). This could be deemed as the Islamic elements within the Feng Shui as Islam also concerned about the safety of the followers. Therefore, the author also claims that in his matter there is something similar and significant to Islam. It is just about locating and presenting the source in a contemporary and relevant context (Nur Zalikha Mohd Amar & Zulhabri Ismail, 2012).

For other religions, when it comes to design a house, there is also Vasthu Sastra whereby it is almost the same concept with the Feng Shui that came from Chinese beliefs (Saran & Shirodkar, 2017). Saran and Shirodkar (2017) has conducted a research based on the Vasthu Shastra and Feng Shui the ancient sciences and their fusion in context of Indian architecture. The result indicated that there is no difference between both ancient art and are aimed at creating harmonious energy fields inside the building. Bearing different names, they may have differences in terms of applications as a result of cultural and geographical backgrounds, but all of them have the same principles (Low Lay Phon, 2007). Both beliefs are usually emphasized on the tips of home décor and home buying for their believers. In summary, Feng Shui has influence on Malaysia's Chinese, Malays and Indians.

## FENG SHUI DESIGN CRITERIA IN HOUSING

House, as well as other spaces, should have a good outdoor Feng Shui. Many homebuyers pay more money for a good view and especially for places where the water is seen (Wu et.al, 2012). Most of the development projects in Asia are assessed according to Feng Shui before the initiation of construction process. They would allocate some of their budget for Feng Shui consultancy and determine the ideal directions, the best layout, locations of doors, corridor, and rooms; the best function for every area; the best place for every person, ideal colours and furniture layout. Taylor et al. (2011) developed a Feng Shui design framework consisting of 24 criteria grouped in four design modules, namely surrounding environment, external layout, internal layout and interior arrangement (Figure 1). The design framework consists of Feng Shui design criteria that are favourable and unfavourable. It appears from a literature review that prospective home buyers would buy houses with auspicious Feng Shui factors that would bring good fortune and luck. They would avoid buying houses that would bring ill luck and misfortune with inauspicious Feng Shui factors.

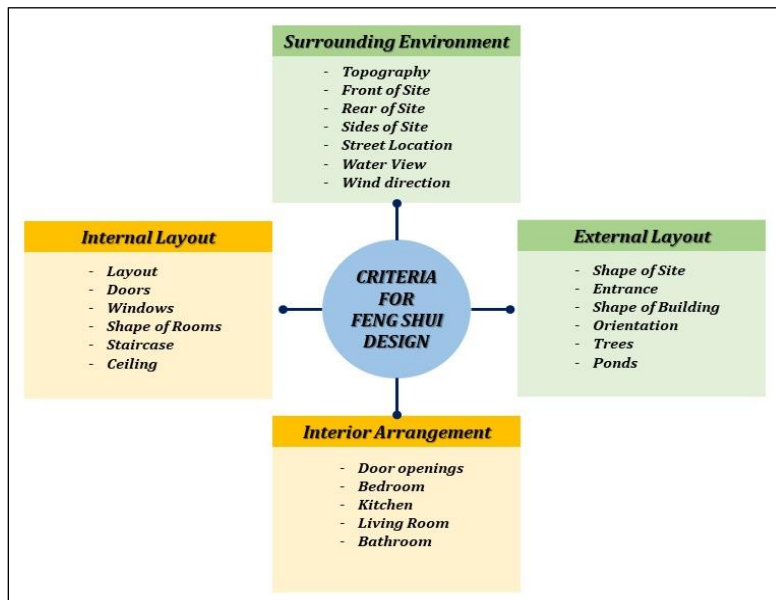


Figure 1. Feng Shui Criteria Grouped in Four Design Modules

### Surrounding Environment Design Module

The seven criteria in the surrounding environment module and 28 related Feng Shui factors are shown in Table 2.

Table 2. Feng Shui Factors for Surrounding Environment Module

Surrounding Environment	Feng Shui Factors	Feng Shui Viewpoint	References
Topography	On the top of a hill or elevated ground	Unfavourable	(Engr et al., 2006)
	Located at the low-lying area that is landlocked by surrounding hills	Unfavourable	(Geh & Osmandi, 2015)
	Land that house sit higher in front and lower at back	Unfavourable	(Geh & Osmandi, 2015); (Sia et al., 2018)

Surrounding Environment	Feng Shui Factors	Feng Shui Viewpoint	References
Front of Site	Facing cemetery	Unfavourable	(Webster, 2005, pg. 32);
	Facing hospital	Unfavourable	(Sia et al., 2018);
	Facing gap between two tall buildings	Unfavourable	(Geh & Osmandi, 2015)
	T-junction or Y- junction	Unfavourable	(Sia et al., 2018)
	Directly facing a transmission tower	Unfavourable	(Engr et al., 2006)
	Directly facing a lamp post	Unfavourable	(Engr et al., 2006)
	Directly facing an electric pole	Unfavourable	(Engr et al., 2006)
	Directly facing the chimney of a factory	Unfavourable	(Engr et al., 2006)
	Directly facing a tall building	Unfavourable	(Engr et al., 2006)
	Facing a funeral Parlour	Unfavourable	(Engr et al., 2006)
	Facing a transmission tower	Unfavourable	(Webster, 2005, pg. 28)
Directly facing a tree	Unfavourable	(Shen, 2001, pg.104)	
Rear of Site	With a river at the back	Unfavourable	(Sia et al., 2018)
	Mountain behind the site	Favourable	(Xu, 1997)
Sides of Site	Beside electric substation	Unfavourable	(Too, 1994, pg.40)
	Near to transmission lines	Unfavourable	(Shen, 2001, pg.159)
	Besides an area of heavy industry	Unfavourable	(Shen, 2001, pg.161)
	With a large water tank nearby	Unfavourable	(Too, 1994, pg.40)
	With sewerage treatment plant nearby	Unfavourable	(Engr et al., 2006)
Street location	At dead end or cul-de-sac	Unfavourable	(Geh & Osmandi, 2015)
	At a T-junction	Unfavourable	(Shen, 2001, pg.90)
	At an interchange	Unfavourable	(Webster, 2005)
Water View	Sea View	Favourable	(Bond, 2008)
Wind direction	Lack of wind to the site	Unfavourable	(Wu et al., 2012)
	Strong wind to the site	Unfavourable	(Wu et al., 2012)

## External Layout Design Module

There are six criteria in the external layout module and 12 related Feng Shui factors as shown in Table 3.

**Table 3.** Feng Shui Factors for External Layout Module

External Layout	Feng Shui Factors	Feng Shui Viewpoint	References
Shape of site	Land with irregular shape	Unfavourable	(Geh & Osmandi, 2015)
Entrance	Main entrance in the centre of the house	Favourable	(Ng, 2016)
	Main door at the left side of the building	Unfavourable	(Webster, 2005)
	Solid wood front entrance door	Favourable	(Bond, 2008)
	With main door facing gate	Unfavourable	(Engr et al., 2006)
Shape of Building	It is an L-shaped house	Unfavourable	(Shen, 2001, pg.98)
	It is a U-shaped house	Unfavourable	(Shen, 2001, pg.98)
	It is a regular-shaped house	Favourable	(Sia et al., 2018)
Orientation	Oriented South	Favourable	(Sia & Lu, 2006)
Trees	Dead tree trunks	Unfavourable	(Too, 1994)
Pond	Location of fishpond on left side of house	Favourable	(Sia et al., 2018)
	Round-shape fishpond	Favourable	(Sia et al., 2018)

## Internal Layout Design Module

The six criteria in the internal layout module and 14 related Feng Shui factors are shown in Table 4.

**Table 4.** Feng Shui Factors for Internal Layout Module

Internal Layout	Feng Shui Factors	Feng Shui Viewpoint	References
Layout	Split level, with living room and dining room at different levels	Unfavourable	(Shen, 2001, pg.69)
Doors	Different sizes of doors	Unfavourable	(Sia et al., 2018)
	Main door and back door in a straight line	Unfavourable	(Engr et al., 2006)
	Smaller main door	Unfavourable	(Geh & Osmandi, 2015)
	Two doors facing each other	Unfavourable	(Geh & Osmandi, 2015)
	Toilet with glass door	Unfavourable	(Geh & Osmandi, 2015)
Window	Windows should not be aligned with doors	Unfavourable	(Ng, 2016)
Shape of rooms	Regular shape	Favourable	(Sia et al., 2018)
Staircase	Spiral Staircase	Unfavourable	(Shen, 2001, pg.106)
	Straight Staircase	Unfavourable	(Geh & Osmandi, 2015)
	Closed riser Staircase	Unfavourable	(Sia et al., 2018)
	Staircase facing main door of house	Unfavourable	(Engr et al., 2006)
Ceiling	Ceiling of dining designed with openings or windows	Unfavourable	(Sia & Lu, 2006)
	House with mirror ceiling	Unfavourable	(Geh & Osmandi, 2015)

## Interior Arrangement Design Module

There are five criteria in the interior arrangement module and 8 related Feng Shui factors as shown in Table 5.

**Table 5.** Feng Shui Factors for Interior Arrangement Module

Interior Arrangement	Feng Shui Factors	Feng Shui Viewpoint	References
Door openings	Door opens inward	Favourable	(Sia et al., 2018)
	Right-handed door	Favourable	(Sia et al., 2018)
Bedroom	Bedroom door aligned with bathroom door	Unfavourable	(Shen, 2001, pg.69)
	Located at the end of the corridor	Unfavourable	(Sia et al., 2018)
Kitchen	Kitchen door facing bedroom door	Unfavourable	(Engr et al., 2006)
	Located at the back half of the house	Favourable	(Sia et al., 2018)
Living Room	Well lit	Favourable	(Ng, 2016); (Sia et al., 2018)
Bathroom	Directly above the main door of the house	Unfavourable	(Engr et al., 2006)

## GAPS IN THE LITERATURE AND THE RESEARCH OBJECTIVES

While past studies have identified the impacts of Feng Shui factors based on only Chinese perspectives, the factors unfortunately are not prioritised and in terms of their degree of influence in housing selection based on differences in ethnicity. Housing developers would benefit from the prioritisation mainly because it would enable them to consider consumer preferences towards the trend of applying Feng Shui principles in housing design and building

site selection. Furthermore, housing investors and individual could use this as a guidance in decision-making process while purchasing a property. This study aims to contribute to filling this gap. The specific objectives of the study are as follows: (1) to determine whether the Feng Shui factors affect the decision in housing selection among Malaysians and (2) to prioritize the Feng Shui design factors in housing selection among Malaysians.

## RESEARCH METHOD

### Sampling and Population

This study was limited to the views expressed by Malaysian in Kuala Lumpur area. The focus was on homebuyers or property investors and homeowners with potential to purchase a house for personal residence or investment. The reason of the chosen population is to achieve the aim – to determine the extent of the influence of Feng Shui factors on Malaysian decision in purchasing or choosing a house / property. According to Jabatan Perangkaan Malaysia (2019), the total population of Malaysian in Kuala Lumpur is 1,780,700 in 2019. Based on Krejcie & Morgan's (1970) sample size formula, a minimum of 384 responses are needed. A random sampling method was adopted for this research study. A total of 384 sets of questionnaire survey were distributed to the probable respondents in Kuala Lumpur area, via face to face, mail, e-mail and other social media ways. Out of 384 questionnaires were distributed, only 170 completed questionnaires were returned before the deadline of this survey. As a result, only 158 responses were found usable and completed. This shown a 41% of response rate. The remaining 226 questionnaires were either left incomplete or responses from respondents failed to meet the criteria of this survey.

### Data analysis

Content and multi-attribute methods were used in this study. Content analysis served the purpose of cross tabulation and frequency counts which according to Zikmund (1997), this is adequate to meet pertinent research objectives. On the other hand, the multi-attribute analysis was used to analyse the ratings of respondents with the aim to establish mean rating point for each group of respondents. This analytical technique involves computation of mean rating and relative importance index (RII) under each attribute under a subset (Chang and Ive, 2002).

### Conceptual Framework

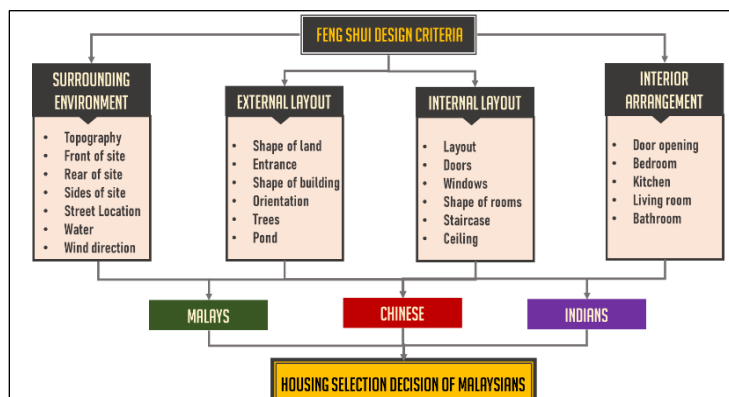


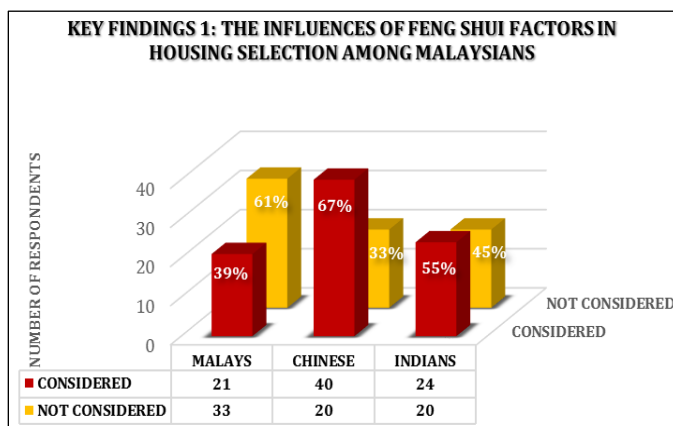
Figure 2. Conceptual Framework

A conceptual framework (Figure 2) was developed for this study to show the relationship between the four independent variable and one dependent variable. The purpose of building this framework is to test whether the four variables are having positive impacts towards the dependent variable or affecting the Malaysians' decision-making when choosing a landed residential house.

## RESULTS AND DISCUSSIONS

### Key Results in Relation to Objective 1: To Determine Whether the Feng Shui Factors Affect the Decision in Housing Selection

The 1<sup>st</sup> objective of the study is to determine whether the Feng Shui factors affect the decision in housing selection among Malaysians. Figure 3 shows that Chinese and Indian homebuyers were affected by the Feng Shui principles, both of which are more than 50% (67% and 55%, respectively). On the contrary, less than half of the Malay respondents (39%) have taken into consideration on the Feng Shui factors during housing selection. 69% of Malays respondents has less consideration on Feng Shui factors solely due to different religious beliefs and they are more concern on the price of the house/ property while making their selection. This finding accords with similar results by Razak et al (2013), stated financial consideration especially house price is the most crucial factor for most Malaysian while choosing or purchasing their property.



**Figure 3.** The Influences of Feng Shui Factors in Housing Selection Among Malaysians

Out of 39% of Malays respondents who have considered Feng Shui factors in their housing selection, 3% of them perceived Feng Shui factors could improve their life, such as enhance health, career, education, relationship, prosperity, etc. This aligns with the findings by Engr et al. (2006), that Feng Shui can help in determining a comfortable location that benefits one's health, career, wealth, luck and family. 9% of them agrees that Feng Shui factors could help to obtain comfortable living and it will affect the housing price. According to Sandy (2008), Feng Shui factors have impact on the housing prices – 7.7% more than comparable units not designed with Feng Shui.

67% of Chinese respondents has taken into consideration of Feng Shui factors in their housing. This result is consistent with the findings by Sia, Yew and Siew (2018) stating that the influence of Feng Shui is still pervasive among Malaysian Chinese. Results indicated that



35% perceived Feng Shui will affect the housing price; 17% perceived Feng Shui as a factor that could help improve life, career and relationship and 15% considered Feng Shui as an avenue to obtain comfortable living space and auspicious sites to get lucky life (Madeddu and Zhang, 2017). These results are also aligned with Chang and Lin's (2015) findings that Feng Shui is a significant consideration factor on the housing price and decision making in purchasing a house. According to Engr, Mal, Chiong and Shui (2006), Feng Shui principles and practice have been deeply rooted among Chinese, hence most of the Chinese would have some degree of knowledge on Feng Shui. This is evident from the results of this study with 30% of Malaysian Chinese had knowledge on Feng Shui through their religious beliefs where Feng Shui has been practiced over 3,000 years of history.

55% of Indian respondents have considered Feng Shui during housing selection as Feng Shui application can help them obtain a comfortable living space. Feng Shui is conceptually similar to its Indian counterpart "Vastu Shastra" which both methods seek to harmonize the stream of the life energy through the house and to create a harmony with the earth in order to obtain a comfortable living space ("Feng Shui | Chi (Energy) | Architecture Ideas," n.d.). Results show that 55% of the Indian respondents utilize the concept of Feng Shui in housing selection. Only 45% of Indians are not considering Feng Shui due to less interest and knowledge in Feng Shui. This result is aligned with Gautam Rana's (2019) study stating that many Chinese homebuyers are guided by the Feng Shui principles while Indian homebuyers are guided by Vasthu Shastra; hence most Indian prefers to follow their own religion beliefs (Vasthu Shastra Hindu System).

### **Key results in relation to Objective 2: Prioritize the Feng Shui design factors in housing selection among Malaysians.**

#### *Feng Shui Design Factors for Surrounding Environment Module*

Table 6 presents the top 3 ranking Feng Shui design factors for surrounding environment module among Malaysians. The findings showed that Malaysians were very concerned about the Feng Shui aspect of a house facing a hospital, which was rated by all the group of respondents in the top 3 list. Chinese respondents ranked the highest with Important Index (II) of 4.10, among the three ethnicity groups. In Feng Shui theory, a house that is located near to or facing a hospital is known as inauspicious features as it can negatively affect the health of the people living nearby and may cause depression. In addition, this research finding agrees with the Feng Shui study conducted by Liang and Osmadi (2015), as the design factor for a hospital-facing home is ranked in top 5 ranking that has the potential to affect house purchasers.

Nevertheless, the factor of a house facing cemetery or tomb is also very much concerned by the Malaysians, ranked 1st for Malaysian Chinese (II=4.15) and 2nd for Malaysian Malays (II= 2.714). In Feng Shui theory, a house located near or facing a gravesite has poor Feng Shui as it could have an impact on future investment due to the difficulty of disposing of it at a good resale price (Liang & Osmadi, 2015). Results also showed that Indians and Malays respondents are also concerned with the design features of a house facing a gap between two tall buildings. The occupants may experience the feelings of oppression with such unfavourable feature (David Daniel Kennedy, 2011). In short, it has showed that Malaysians have the same perception towards the Feng Shui factors during the selection of a house.

**Table 6.** Feng Shui Design Factors for Surrounding Environment Module

Level of Importance: 5 = Very Important; 4 = Important; 3 = Somewhat important; 2 = Of little important; 1 = Not important

Feng Shui design factors for surrounding environment module	Level of Importance					TR	II	RII	R
	VI	I	SI	LI	NI				
	5	4	3	2	1				
<b>Malay Respondents</b>									
Facing a hospital	5%	19%	33%	33%	10%	21	2.762	0.059	1
Facing a cemetery/ graveyard	5%	24%	24%	33%	14%	21	2.714	0.058	2
Facing gap between two tall buildings	5%	19%	29%	29%	29%	21	2.619	0.056	3
<b>Chinese Respondents</b>									
Facing a cemetery/ graveyard	43%	30%	28%	0%	0%	40	4.150	0.065	1
Facing a T-junction/ Y-junction	40%	35%	23%	3%	0%	40	4.125	0.065	2
Facing a hospital	43%	25%	33%	0%	0%	40	4.100	0.064	3
<b>Indian Respondents</b>									
Facing gap between two tall buildings	13%	38%	33%	4%	13%	24	3.333	0.061	1
Facing a hospital	13%	33%	33%	13%	8%	24	3.292	0.060	2
House directly facing a tall building	21%	13%	33%	21%	13%	24	3.083	0.056	3

### Feng Shui Design Factors for External Layout Environment Module

Table 7 presents the top 3 ranking Feng Shui design factors for external layout module among Malaysians. The findings revealed that Malaysians were very concerned about the Feng Shui aspect of the shape of the land and floor plan. The findings are in agreement with David Daniel Kennedy's (2011) study, where irregular shape of the land perceived as unfavourable features as it promotes conflicts and accidents in the household. In addition, the results of this study were also consistent with the Feng Shui studies carried out by Sia, Yew and Siew (2018) and Liang and Osmadi (2015), which reported that Kuala Lumpur respondents indicated that the most unfavourable and concerned factor is found to be land with an irregular shape.

**Table 7.** Feng Shui Design Factors for External Layout Module

Level of Importance: 5 = Very Important; 4 = Important; 3 = Somewhat important; 2 = Of little important; 1 = Not important

Feng Shui design factors for external layout module	Level of Importance					TR	II	RII	R
	VI	I	SI	LI	NI				
	5	4	3	2	1				
<b>Malay Respondents</b>									
Land with irregular shape	0%	14%	29%	29%	29%	21	2.286	0.120	1
It is a regular-shaped house	0%	10%	24%	29%	38%	21	2.048	0.107	2
Main entrance at the centre of the house	0%	5%	19%	5%	71%	21	1.571	0.082	3
<b>Chinese Respondents</b>									
Land with irregular shape	3%	28%	38%	18%	15%	40	2.850	0.115	1
It is a regular-shaped house	3%	18%	33%	23%	25%	40	2.500	0.101	2
Main entrance at the centre of the house	3%	13%	45%	13%	28%	40	2.500	0.101	3
<b>Indian Respondents</b>									
It is a regular-shaped house	4%	13%	21%	29%	33%	24	2.500	0.102	1
Land with irregular shape	4%	8%	25%	21%	42%	24	2.125	0.096	2
A house with a U-shaped floor plan	0%	4%	17%	33%	46%	24	1.792	0.081	3

The overall top 3 ranking factors ranked by the Chinese respondents is similar with the result obtained from Malay respondents. A house with a regular floor plan is considered an auspicious feature and represents a solid, even and stable existence. The findings of Sia, Yew and Siew (2018) and Liang and Osmadi (2015) on their Feng Shui studies have also highlighted that regular shape as favourable for external layout of a property and is also one of Kuala Lumpur respondents' main concerns. It has shown that the perception of Kuala Lumpur respondents remains the same as the shape of floor plan can influence their decision to choose a house. Besides, the position of main entrance factor was also crucial for Malays and Chinese respondents. This result also coincides with the findings of Engr, Mal, Chiong and Shui (2006), stated that 92% of the prospective homebuyers would consider the main door position factor because it would affect the Feng Shui of the building and their decision making.

### **Feng Shui Design Factors for Internal Layout Environment Module**

Table 8 shows that Malaysians have a common perception towards the Feng Shui aspect such as a house with different door sizes, house with a smaller main door, a regular-shaped of rooms and the alignment of the main door and back door. In Feng Shui theory, a house consists of different door size is considered as bad Feng Shui as it would affect the flow of Chi around the house. David (2011) pointed out, the larger door devours the smaller door, which may lead to disagreements and disputes between the occupants. This feature is considered as the unfavourable Feng Shui which has impact on the decision-making of the Malaysians in the selection of the house. However, according to a study conducted by the Liang and Osmadi (2015), different size of door factor is not crucial for internal layout category.

**Table 8.** Feng Shui Design Factors for Internal Layout Module

*Level of Importance: 5 = Very Important; 4 = Important; 3 = Somewhat important; 2 = Of little important; 1 = Not important*

Feng Shui design factors for internal layout module	Level of Importance					TR	II	RII	R
	VI 5	I 4	SI 3	LI 2	NI 1				
<b>Malay Respondents</b>									
House with different sizes of doors	5%	14%	43%	29%	10%	21	2.762	0.120	1
House with smaller main door	5%	14%	43%	19%	19%	21	2.667	0.115	2
Regular-shaped of rooms	0%	5%	29%	29%	38%	21	2.000	0.087	3
<b>Chinese Respondents</b>									
House with smaller main door	33%	35%	8%	10%	15%	40	3.600	0.114	1
House with different sizes of doors	33%	33%	10%	8%	18%	40	3.550	0.113	2
House with main door and back door in a straight line	25%	35%	8%	10%	23%	40	3.300	0.105	3
<b>Indian Respondents</b>									
House with different sizes of doors	21%	29%	17%	17%	17%	24	3.208	0.114	1
House with smaller main door	13%	38%	13%	25%	13%	24	3.125	0.111	2
House with main door and back door in a straight line	0%	13%	21%	33%	33%	24	2.125	0.075	3
Regular-shaped of rooms	0%	13%	25%	15%	38%	24	2.125	0.075	3

House with smaller main door is more preferred by most Malaysians. In Feng Shui terms, the main door should slightly bigger, which represents active and open. Even in the design

field, the smaller main door will make the house improper ratio. The results shown were consistent with the findings of Liang and Osmadi (2015) and Sia, Yew and Siew (2018) that smaller main door factor is considered as unfavourable and concerned factor for respondents from Kuala Lumpur. The Chinese and Indian respondents perceived that it is important to have backdoor in line with the front door. Feng Shui explained that the main door and the back door in a straight line may resulting in a significant loss of household income, wealth, health, and more (David Daniel Kennedy, 2011). The findings of a Feng Shui study done by Sia, Yew and Siew (2018) also indicated that this factor had a significant impact on the selection of house purchases among respondents.)

### **Feng Shui Design Factors for Interior Arrangement Module**

Table 9 shows that Malaysians have almost the same perception towards the Feng Shui aspect in terms of house with door opens inward, house with right-handed door and house with well-lit living room. The factor of door open inward was perceived to be important factor for all ethnic groups. The door opens inwards is favourable in Feng Shui principles. This allowing the people entering the room feel control. If a door opens out, we are required to interrupt our forward flow and take a step backward before continuing forward (David Daniel Kennedy, 2011). Thus, when Malaysians is looking for a house, the door opening factor will be highly considered.

**Table 9.** Feng Shui Design Factors for Interior Arrangement Module

Feng Shui design factors for external layout module	Level of Importance								
	VI 5	I 4	SI 3	LI 2	NI 1	TR	II	RII	R
<b>Malay Respondents</b>									
House with a door open inward	0%	0%	43%	5%	52%	21	1.905	0.151	1
House with right-handed door	0%	0%	38%	10%	52%	21	1.857	0.147	2
House with well-lit living room	0%	0%	33%	10%	57%	21	1.762	0.140	3
<b>Chinese Respondents</b>									
House with a door open inward	3%	33%	35%	20%	10%	40	2.975	0.065	1
House with right-handed door	5%	30%	28%	25%	13%	40	2.900	0.065	2
Bathroom directly above the main door of the house	8%	18%	28%	28%	20%	40	2.650	0.064	3
<b>Indian Respondents</b>									
House with a door open inward	0%	4%	25%	42%	29%	24	2.042	0.144	1
Bedroom located at the end of the corridor	4%	8%	13%	25%	50%	24	1.917	0.135	2
House with well-lit living room	0%	4%	21%	21%	54%	24	1.750	0.124	3

As for the factor of house with right-handed door was concerned by Malays and Chinese and was voted as rank 2 respectively. The result of the study is similar with the Feng Shui viewpoints as the right-handed door is considered favourable in Feng Shui. A right-handed door will swing open to the right and allow us to proceed smoothly into the room. If the door is a left-handed door that opens to the left and we have grabbed the knob with our right hand, suddenly find that our right arm is in front of you, blocking us from easily entering the space (David Daniel Kennedy, 2011). According Sia, Yew and Siew (2018), the most unfavourable Feng Shui factor for interior arrangement is a bedroom located at the end of the corridor, whereas well-lit living rooms were found to be favourable for interior arrangement.

### Overall Comparison for Four Feng Shui Design Modules

The comparison between the Feng Shui designs modules are shown in Table 10. Results showed that Malaysians have the same perception towards Feng Shui design factors and the most important Feng Shui factor is the surrounding environment module. This is evident II value of each ethnicity - Malay group (2.123), Chinese group (2.902) and Indian group (2.487).

**Table 10.** Comparison Between Four Feng Shui Design Module Among Malaysians

Feng Shui Design Modules		Malay	Chinese Average Mean Value	Indian
1	Surrounding Environment	<b>2.123</b>	<b>2.902</b>	<b>2.487</b>
2	External Layout	1.365	1.771	1.570
3	Internal Layout	1.650	2.254	2.012
4	Interior Arrangement	1.577	2.263	1.771

### CONCLUSION

This research aimed at determining the influence of Feng Shui factors on Malaysian decision-making on housing selection and prioritising the Feng Shui design factors of each design module. The findings for objective 1 show that the influence of Feng Shui is still widespread among the Chinese of Malaysia. Most Malaysian Chinese still consider Feng Shui to be one of the criteria for purchasing a house, other than the price of housing and location of property. This finding is consistent with previous study of other researchers (Engr, Mal, Chiong & Shui, 2006; Sia et al., 2018; Liang & Osmadi, 2015). However, this study also found that other than the Malaysian Chinese, there are 55% of Malaysian Indians also took into consideration the Feng Shui factor when choosing a house. The reason of Indian respondents considered Feng Shui during housing selection as Feng Shui application can help them obtain a comfortable living space. The goal of Feng Shui and Indian Vasthu Shastra are the same, the methodology is different and cannot be compared, but application of both can seek harmony and comfortable living space (Saran & Shirodkar, 2017).

On the contrary, less than half of the Malay respondents (39%) considered Feng Shui to be a factor in choosing a house. Having said that, these findings also revealed that not only Malaysian Chinese would consider the application of Feng Shui, but also part of the Malaysian Malays. This result agrees with the previous study conducted by Nur Zalikha Mohd Amar and Zulhabri Ismail (2012), saying that Muslim nowadays are more familiar with the idea of Feng Shui. Overall, although the application of Feng Shui originates from China and has been practiced by Chinese for more 5,000 years ago, it has begun to be widely recognized by the public due to the popularity and benefits of Feng Shui.

For objective 2, even though Chinese, Malays and Indians are different ethnicities and have their own religious beliefs, the results of this study have revealed that they have same perception on the influence of Feng Shui design factors of a house on their house purchase intentions. Overall results showed that Malaysian the most concerned Feng Shui design factors among Malaysians fall within the surrounding environment category. The facing direction of a house is the major issue among Malaysians. They perceived that a house near to or directly facing the hospital, a cemetery and tall buildings would be unfavourable and

would have impact on their house buying intentions. In overall, the surrounding environment factor is one of the significant factors that Malaysian homebuyers should consider when buying house and this is supported by many previous studies on Feng Shui (Chang & Lin, 2015; Hsieh, Yung-Jin, 2005).

Apart from the surrounding environment category, the findings show that there is no significant difference between Malaysian Chinese, Malays and Indians perceptions of the other three design modules. The results are as follows: (i) for Feng Shui external layout factors, 3 significant factors are irregular shaped land, regular-shaped house and the main entrance must be at the centre of the house; (ii) for Internal layout design module, 3 important factors are: house with different door sizes, smaller main door, regular shape of rooms and the alignment of the main door and back door and (iii) for Interior arrangement design module, the 3 essential factors are: house with door open inward, house with right-handed door, well-lit living room and the bathroom and bedroom layout.

## IMPLICATION AND CONTRIBUTION OF THE STUDY

This research contributes to the understanding and application of Feng Shui design factors as well as the perception of Malaysian homebuyers in choosing landed residential building. The findings of this study make it possible for the housing developer to act as a guideline and understand the consumer preferences for the trend of applying Feng Shui principles in housing design. As with this research outcome, the housing developer can identify and apply an appropriate Feng Shui concept and design according to the different ethnicity customer perspective before undertaking new housing development project. The favourable factors of Feng Shui in the surrounding environment, external layout, internal layout and interior arrangement should therefore be highly considered by the housing developer in order to achieve a competitive advantage and to maintain the level of consumer's satisfaction. This paper also able to increase the level of Feng Shui knowledge and awareness of the construction industry of the importance of having concept of Feng Shui in housing design. The findings of this study are also relevant to the property investors, as the results can help them in determining which house has a good Feng Shui and the value of the house will be enhanced by future growth.

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# GOVERNING HOUSING AFFORDABILITY PROBLEMS AMONG YOUNGER WORKING HOUSEHOLDS

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## Abstract

Housing affordability problems bring an impact especially to the income capability of new entrants in the housing purchasing market. These new entrants are predominantly younger working households (YWH) who are at the lower end of the income distribution as they are in the early stages of their working career. The problem of providing affordable housing is not new. However, the provision of affordable housing to close the gap in the market for YWH is a problem. The aim of this study is to examine the problems of housing affordability among YWH in terms of governing housing affordability. The selected respondents were interviewed at least 30 minutes based on the semi-structured interview questions. The findings revealed that the state identified one of the housing affordability problems among YWH is influence by house price. In addition, there is less opportunity for YWH to purchase a house in urban area. Apart from that, lack of financial literacy among YWH is due to the upbringing of YWH from well off parents.

**Keywords:** *housing affordability; young household; housing problems; affordable housing; housing policy.*

## INTRODUCTION

Homeownership is every household dream. What stands in between a household and purchasing a house, among others, is their affordability to own a house. Housing affordability is defined in many terms by many researchers. However, the root definition of housing affordability is the income capability to cover the cost of purchasing a house (Wilcox, 2003; Stone, 2006; Burke, 2007; Wilcox, 2007; Wan et al., 2010). Housing affordability is also commonly used as an indicator of household's ability to own a house (Norazmawati, 2012). According to Wilcox, housing affordability is traditionally defined by the rules of access to mortgage finance which may pose as a problem in housing affordability issues (Wilcox, 2003). More so, housing affordability is not a feature of housing but a feature of housing service in relation to consumer capacity and desire to buy a house (Suhaida et al., 2011).

Additionally, housing market also influence housing affordability because the price for housing services may exceed the market average (Thalman, 2003). Furthermore, with the decrease of housing affordability; there will be an exertion of stress in the society (Gan and Hill, 2009). This scenario affects households who does not have the capacity to purchase a house and are eventually forced to pay higher rents. This may lead to housing affordability problems to affect vulnerable groups. Vulnerable groups are commonly perceived as low-income households. However, due to several factors including urbanisation, the prospective of basic needs especially in housing pose to be difficult for middle income households as well. Even more, there are two factors that the society are concern over, first, housing is the single largest expenditure item in their budget and second, the increase in housing prices and rents (Quigley and Raphael, 2004).

A house is argued as a very valuable asset and has much wider economy, social, cultural, and personal significance (Kajimo and Evans, 2006). To this significance, the housing affordability problems garnered towards ensuring affordable housing supply is available to YWH in the housing market. Davidson and Malloy argued that affordable housing can be many things such as a fundamental right, a critical source of shelter and a contested locus of community (Davidson and Malloy, 2009). Most commonly, affordable housing is associated with low-income households who are not able to enter the homeownership market without any state assistance due to the definition of it is a critical source of shelter (Agus, 2002; Byrne and Diamond, 2007; O'Mahony, 2009). The housing affordability problem occurs when the household income is not enough to cover the cost of purchasing the house due to expensive house price in the housing market.

Housing affordability problems bring an impact especially towards the income capability of new entrants in the housing purchasing market. These new entrants are predominantly younger working households (YWH) who are in the lower end of the income distribution as they are in the early stages of their working career. With decent qualifications and employment, YWH are considered a member of middle-income households. In a UK study, YWH are identified to be between 20 to 39 years old (Wilcox, 2003; 2007; 2008). The age of YWH is considered as the breadth of first-time home buyers. This group is argued that they are classified in an Intermediate Housing Market (IHM) which is where households who are not eligible for housing benefits but cannot buy at the lowest decile of house price level in the housing market. The restriction to access the housing market is led to indications of housing affordability problems among YWH (Wilcox, 2003).

In Malaysia, the government holds a responsibility in providing houses for low-income households while other income households depend on the private sector for housing (Tan, 2011). Additionally, the private sector has concentrated on high end homes because of the substantial increase in house and land prices. Due to this, it becomes difficult for YWH to find houses that they could afford of their choice in urban areas. Even so, the houses that are considered affordable are located away from the city centre and will incur additional transportation cost. This is particularly felt in Greater Kuala Lumpur (GKL). Lower classes (urban poor) have low-cost housing while the YWH who are members of the middle-income class have set their sights on affordable homes which are something that the market has yet to fulfil (Abdul Rahman, 2013). Therefore, YWH are neglected by the state. These salaried workers, who have their money deducted monthly and still have to pay more at the end of the year and worse, are not qualified for aid.

Article 25(1) of the Universal Declaration of Human Rights states that “everyone has a right to a standard of living adequate for the health and wellbeing of himself and his family, including food, clothing, housing and medical care and necessary social services, and the right to security in the event of unemployment, sickness, disability, widowhood, old age or other lack of livelihood in circumstances beyond his control.” The right to housing in this context shows that regardless of households’ income group, everyone should have the opportunity towards adequate housing. This means that the expense of housing should not crowd other expenditure, and everyone should live adequately with other non-shelter necessities such as food and clothing as well. If one agrees that every individual has the right to housing, then it proclaims towards it is the state’s duty to ensure that every individual should enjoy the right (Buang, 2010). The main aim of this study is to examine the problems of housing affordability among YWH in terms of governing housing affordability.

## HOUSING AFFORDABILITY PROBLEMS

Housing affordability has become a pressing issue due to certain factors such as growing population, speculation in house prices, economic growth, and inadequate housing supply (Mak et al., 2007). Thalmann (2003) argued there are two potential affordability problems which are, if income minus the cost of standard housing consumption is no less than standard non-housing expenditure and if the cost of standard housing consumption does not exceed a given share of income. However, there are other problems in a different perspective as well. This persistent affordability problem focused on the accessibility restriction of potential homeowners in the private housing market (Mak et al., 2007). The restriction to access the housing market is led to indications of housing affordability problems (Wilcox, 2003). Ortalo-Magné and Rady (1999) observed co-movements of housing prices and owner occupancy rates can be explained as an equilibrium response to income and credit market shocks. They argued that in the period of rapid gross domestic product growth followed by the deregulation of the mortgage market resulted into repeat home buyers were larger than those of first-time buyer properties. In relation to this, Green and Hendershott (1996) discussed that the movement of baby boomers into adulthood impacted the ratio of household formation to population growth rises. This led to the understanding of YWH as off springs of the baby boomers and are the first-time buyer properties in this context. In contradiction, with easier access to credit loan, YWH can become homeowners because they can borrow a higher proportion of the house price.

Distribution of income plays an important role as well especially in securing housing loan. From income growth, there are potential of increasing savings rate and because of this decrease in interest rates would not increase home ownership rate. In general, income is personal and subjective towards a household. There is evidence of education influence the category of houses; either, low-cost houses, medium cost houses or high-cost houses (Norazmawati, 2012). However, Alexiu et al. (2010) argued that education and housing is not connected in a linear or direct correlation, even though, education effects on life quality in many social fields and is strongly related to socio-economic status. He further concluded that good housing does not relate to educational credentials but economic success. Even though, there is no certain relation between education and housing conditions, in terms of housing choice, the norms of attaining good education are associated to a better employment with a higher income. Thus, it is perceived that YWH can afford good houses.

Andrew et al. (2006) argued that young households choose to remain in their parental home. They concluded that household characteristics that influenced the probability of transition to homeownership are permanent income and higher education level beyond high school level. Higher volatility of house prices slows down the transition to home ownership among YWH (Lee, 2009). Even more, the tenure choice to own a house weighs on homeownership benefits. The evolution of the real estate market is described as a result of sudden liberalization of prices and rents together with a surge of demand from foreign investors and many major development projects of international standard (Tan, 2011; Mak et al., 2007). Balancing the demand and supply of housing and the foreign investors' entrants to the local housing market is important. This is to prevent households from being shut out from their own local housing market. Apart from that, the impact of influx of foreign investors increases living standards and forced households to live with their family and colleagues (Mak et al., 2007). Due to this, YWH are influenced by the increasing living standards and their income could not sustain their expenses and thus experience housing affordability problem.

## STATE INTERVENTION IN HOUSING AFFORDABILITY PROBLEMS

The trend of migration rate from rural to urban areas has increased from 11% in 1957 to 73.6% in 2016 (Department of Statistics, 2017). With demand increasing and affordable housing supply decreasing, the house price increases and is perceived to be unaffordable for YWH. The need for state intervention is crucial to rebalance the housing policy that assists the current housing scenario. In the National Housing Policy (2011), there is an added focus on middle income households in the housing sector. With previous emphasis on low-income households, the new recognition of middle-income households in the National Housing Policy (NHP) has highlight that middle-income household has encountered problems in purchasing a house. This is crucial in urban housing areas where the inclination of house prices and household income seems to have a wider gap with each other. The problem of urban housing area resulted from the urbanisation where households in rural areas migrated to urban areas for better opportunities in the job sector and to have better living standards.

Conversely, the state has intervened through the NHP, 1Malaysia People Housing Scheme and My First Home Scheme. The National Housing Policy still holds the state to the responsibility to assist the housing welfare of the low-income households. However, in the NHP that was introduced in 2011, there is a statement on encouraging private developers to develop more affordable housing for not only the low-income household but also the middle-income household. Additionally, the intervention of the state in this sense is only in terms of words of encouragement with no incentives as indicated in the NHP.

Furthermore, the subsidised housing scheme, 1Malaysia People Housing Scheme (PR1MA) focus is on providing affordable housing for the middle-income households and there are eligible for the housing scheme. The applicants for the housing scheme must earn RM 2,500 to RM 7,500 monthly of individual household income or combine household incomes and the house must be owner occupied with a 10-year moratorium. In addition, the My First Home Scheme (MFHS) focus is to assist young households below 35 years old to enter homeownership. MFHS aims to relieve YWH in terms of housing loan for house price between RM 100,000 to RM 400,000. Similar, PR1MA, MFHS also has eligibility criteria for applicants to apply and require the applicants to reside in the house purchased through MFHS. Although the state has introduced various housing schemes to assist YWH to purchase a house, the housing policy and schemes is insufficient to address the housing affordability problems among YWH.

Malaysia is a country that practice democracy. The federal government is formed and administered by the winning party in elections that are held every five years. Specifically, the type of ruling in Malaysia is federal parliamentary democracy with a constitutional monarch (Hai, 2002). Housing affordability is one of the key factors that can describe the socio-economic stability and development of a country (Suhaida et al., 2011). It is aimed to ensure housing provided is affordable for all income groups. However, the objectives set by the National Housing Policy (NHP) are still far in the process of achieving it. The state has missed on the social changes between the first generation of middle class and the second generation of middle class. The second generation of middle class is the younger working households today. The change in the social structure, especially in social behaviour must redirect the housing policy approach. The role of state is loosely put together in providing affordable homes for YWH.

## METHODOLOGY

The case study is YWH who are currently working in Greater KL, Malaysia. Greater KL is chosen as the location of the case study due to the rapid growth of urbanisation. Kuala Lumpur, Putrajaya and parts of Selangor collectively are Greater KL and have 100% of urbanisation except for Selangor that has achieved 91.4% of urbanisation. Furthermore, house prices in Greater KL are more expensive as compared to other locations. The relationship of Greater KL with YWH is significant in this study as it depicts living hardships in urban areas that has caused housing affordability problems among YWH.

The selected respondents must be willing to spend at least 30 minutes to be interviewed based on the semi-structured interview questions. As for the selection of representatives, the respondents chosen are based on who the state decided is best to represent them in this case. It should be noted that, the respondents for this in-depth interview are from the state and its affiliations who are stakeholder providing access to affordable housing and has a position of executive level. In qualitative studies, there is no minimum of respondents if there are more than 2 respondents (Patton, 2001; Fossey et al., 2002). This study interviewed seven respondents (n=7). Therefore, the in-depth interviews are fitting and eliminate the element of bias.

This study analysed qualitative data through narrative data analysis. The first step in analysing the data is getting to know the data. The field notes and a few digital recordings (only a few respondents allowed digital recording) are transcribed into texts. The text is read and re-read to consider the quality of the data and proceed accordingly. The second step is focusing the analysis. The purpose of the evaluation is to gain insights from the state's perspective on housing affordability problems among YWH. The focus of analysis is by question. The data is organised by questions to look across all the answers from the respondents to identify consistencies and differences. The third step is categorising the information. The crux of qualitative analysis is to bring meaning to the words by identifying themes or patterns and organising them into coherent categories (Taylor-Powell and Renner, 2003).

The coding generation included "expensive house price", "limited housing choice", "financial literacy" and many others. These coding were guided by the semi-structure interview. The field notes are labelled paragraph-by-paragraph and page-by-page basis. Coding categories were transferred to coded and categorised computer tables that included information such as source and quotes. Furthermore, the fourth step is to identify patterns and connections within and between categories. This is done through the relative importance of the categories and counts the number of times the themes are identified. This will reveal the general patterns of the data. Apart from that, the relationship between and within categories are also sought to reveal connections of the categories. Lastly, the final step is interpretation where the themes and connections will explain the findings where it attaches the meaning and significance of the analysis.

## FINDINGS AND DISCUSSIONS

It is argued that YWH will eventually decide to purchase their first house within the opportunities in the housing market (Hitlin and Elder Jr., 2006). Due to this, housing

affordability problems is important for the state to recognise. The findings of the study are divided into three (3) main themes and five (5) sub-themes. Based on the findings, the three main themes of housing affordability problems in the perspective of state are unaffordable house price in urban areas for YWH to purchase, insufficient household income among YWH to purchase a house in the housing market and limited housing choice in the housing market. The sub-themes will be explained further within the three main themes.

## Main Theme I – Unaffordable House Price

**Table 1. Unaffordable House Price**

Main Theme I	Sub-theme
Unaffordable house price in urban areas for YWH to purchase	<ul style="list-style-type: none"> <li>• To assist developers to provide houses at affordable price, tax incentives should be given by the state</li> <li>• Affordable houses are available to purchase in the housing market but far from city centre</li> </ul>

The findings show that majority of the respondents agreed that house prices are perceived to be unaffordable for YWH as land prices are also expensive (refer Table 1.0). Apart from that, majority of the respondents also agreed that there is a factor of speculation of house prices among property investors in the housing market that attributed to the high house prices, especially in the urban areas. These findings agreed with Tan (2011) and Mak et al. (2007) on how property investors might have speculated house price hence increasing house price to unaffordable prices. One of the respondents who agreed to this added;

“These problems faced by younger working households are due to several factors. One of the factors is speculation. There are speculators or probably investors who are adding to their assets... [...] investment in housing is lucrative... another **factor is high cost of living**... Such places like Subang Jaya, there are an elite group with high income and have marked the place as a high-cost area... apart from that, the price of land is expensive. Due to this, there is insufficient land to build affordable houses. Additionally, when there is **high demand, the house prices will increase**”

Expensive land price contributes to the housing affordability problem because it becomes a factor to increase house price. Apart from that, the findings concur with Abdul Rahman (2013) that one of the factors of increasing land price is due to scarcity of land especially in urban areas. Additionally, this has caused housing developers to build houses on the outskirts of urban areas. One of the respondents explained;

“What kind of houses can they buy with RM900? If it’s in an urban area, you cannot run away from an apartment. An apartment that’s RM900 a month, house price is around RM 250,000...”

In addition, the lack of incentives to build affordable housing for YWH from the state also contributed to this problem. One of the respondents explained that in the United States there is a tax credit that instead big developers paying taxes to the state, they will build affordable houses. Majority of the respondents felt that there should be more intervention from the state instead of just through regulation similar with previous research by Agus (2002). Furthermore, a further study on providing affordable houses should be done before a policy on affordable housing is enacted as lands are valuable and a thorough study should

prevent a waste of resources from an unfit housing policy. The respondents also felt that the government must go beyond policy and be responsible and be more serious in this matter. The structure of the state responsible in housing is crucial and is stressed in this context.

The imposition of cross subsidies in the form of quotas and discounts from the government are very much welcomed to assist YWH in housing. Even more, financial institutions should also be investigated as well as argued by one of the respondents;

“We have to look into financial institutions as well. Even though they offer housing loan until you are 60 years old, the interest rate is high. And this high interest rate is what burdens the young households the most.”

However, it is also important to note that from the findings, there are also respondents that disagreed house prices are expensive in the housing market for YWH to purchase. The argument was that the perception that all houses are not affordable to YWH is incorrect as there are houses available in the market, but they could afford but could not because they do not know how to budget their income. Due to this, the next main theme from the findings is insufficient household income.

## Main Theme II – Insufficient Household Income

**Table 2.** Insufficient Household Income

Main Theme II	Sub-theme
Insufficient household income among YWH to purchase a house in the private housing market	Insufficient household income could be due to lack of financial literacy

The findings also show that one of the housing affordability problems among YWH insufficient household income due to lack of financial literacy especially in housing finance (refer Table 2.0). The respondents all agreed that the issue of weak financial literacy among YWH is attributed to saving money for the housing deposit and most of YWH are privileged with comfortable basic needs from their parents as what has been asserted by Green and Hendershott (1996); Alexiu et al. (2010) and Andrew et al. (2006). This can be elaborated further by one of the respondents;

“The issue with young households is the housing deposit. If they are working in the private sector, their annual bonus will probably help them with the deposit and as for those working in the public sector, the 10% deposit will be difficult to save with their monthly salary but they have an option to use government housing loans. But other costs to enter homeownership are a burden too. And this requires a big amount of money. Today's generation is different because their priorities lie with buying a car first. Because they need to be mobile, it is their challenge in today and because of all this their priorities are not the same with the previous generations.”

In addition, one of the respondents added that saving for housing deposit might be difficult as YWH are not aware of other housing costs as well such as legal fees, stamp duty, moving costs, among others. Furthermore, getting the best mortgage package and a decent interest rate requires a good set of financial skills as well. The respondents stated that even though financial institutions offer mortgage loan up to 60 years old, the interest rate that is

imposed is considerably high. Similar to Thalmann (2003), the findings suggest that getting caught in a high interest rate loan for a long period of time is going to burden YWH more than helping them with their finance.

The majority of respondents suggested that YWH should get their financial priorities straight and purchasing a house should be their number one priority as it is a basic need. Even with no direct correlation between education and housing (Alexiu et al., 2010); financial literacy could bring a different result for housing. According to Andrew et al. (2006), a permanent income will influence a higher probability to homeownership. Perhaps, the insufficient of income could also indicate instability of income as well. Therefore, financial literacy could assist the financial path to homeownership.

The respondents also felt that it is the state's responsibility to create the awareness of financial literacy among YWH in order to tackle the issue of housing affordability problem. One of the respondents stressed that "the government has to tackle and ensure how to regularise their (YWH) income and the government has to teach how to spend and budget their income effectively." Moreover, this is important to highlight as one of the housing affordability problems among YWH because there are also limited choices of affordable housing in the housing market (Tan, 2011; Mak et al., 2007).

### Main Theme III – Limited Housing Choice

**Table 3.** Limited Housing Choice

Main Theme III	Sub-theme
Limited housing choice in the housing market	<ul style="list-style-type: none"> <li>i. State should impose a control mechanism in the market to ensure there are affordable housing choices for YWH</li> <li>ii. If house price is expensive, YWH should look into the rental market</li> </ul>

Based on the findings, it is argued that there is limited housing choice in the housing market as YWH who are considered to be in the middle income group could not purchase in low cost housing but could not afford also in the housing market (Abdul Rahman, 2013). As housing affordability is a feature of housing service in relation to consumer capacity and desire to buy a house (Suhaida et al., 2011), majority of the respondents highlighted that if YWH cannot afford to purchase house in the housing market, they should save their money first and rent until they can afford to purchase a house. Furthermore, because YWH does not have many housing choices in the market, the respondents argued that there should be some control of the market by the state. One of the respondents argued;

"Younger working households today have limited choices in houses. They are over-qualified to apply for low-cost houses and could not afford to purchase high cost houses. The housing market is not favourable to them and the government does not control the housing market, it is a free market. From the perspective of housing developer when the land has higher potential the market is favourable to build high-cost houses and there is a demand for it. Due to this, the government has to step in."

Therefore, it is crucial for the state to intervene in the housing market to ensure that housing is equitable to every households and to not only limit state intervention in housing for low-income households (Agus, 2002). Additionally, affordable housing supply is in poor



location with limited access to public transportation. Construed to this note, most respondents shared similar views on limited options for houses in urban areas and suggest YWH should look elsewhere if they want to become homeowners. However, one of the respondents argued that with lack of knowledge and information on buying a house, YWH will faced even deeper difficulties when they have bought a house that is not to what they are looking for just for the sake of buying a house. Hence, YWH seems to prefer to stay in their parental home similar to a research by Andrew et al. (2006).

Other than that, the respondents believed that the government should provide land for free to build affordable houses. If the responsibility is to be borne by the developer and the government is just on the regulation bench, the role of the government is questionable and unfair. If the land is given for free, the concept and idea of an affordable housing hub can be proposed.

However, one of the respondents felt strongly that the rental market should be the option for YWH;

“What I think now, actually because of housing policy that everybody must own a house. But in reality, young households cannot afford to own house. They should go for rental market. They should rent first. If say you are buying a house, the 20 to 30 years you are paying is double. You have to think about quit rent, maintenance and so forth. So, for the young, rental market has to be there. And how to make it more affordable... rental market is a must when they go into the market. We should not burden them. They have just started working and have to pay 30% of their income. Even though house prices are increasing, you have to look into your own affordability.”

From this observation by majority of the respondents, it is important for the state to intervene in the housing market especially in the housing demand. All the respondents agreed that there is a need to address the housing demand of YWH in order to overcome the housing affordability problems among YWH. In National Housing Policy (2011), this has been addressed but it was only the encouraged and is given support to build affordable houses rather than an initiative and incentives to provide affordable houses similarly to low-cost houses. In addition, there should be a long-term affordable housing system that allows the state to take control of the segmented market.

## **RECOMMENDATIONS**

Having established the housing affordability problems faced by YWH, the state identified similar problems with YWH. The housing affordability problems such as expensive house price, lack of financial literacy match from the perceptions of YWH and limited housing choice in the market. However, the state perceived such problems can resolve. The state argued that affordable houses are available in the private housing market. It is YWH behaviour that has led to them facing housing affordability problems. Conversely, there are weaknesses in state intervention in addressing this problem if YWH has no opportunity in enjoying their housing rights (Tan, 2011).

State intervention is important because without the support of a strong structure, YWH will eventually be known as the homeless generation. All the respondents agreed that

prioritising on what they spend with their monthly income is very important. It can be concluded that, the recommendations to the state are to enact strict laws and regulations to ensure the housing market segment of affordable housing and some control from the state in this housing market segment. Additionally, there is a need to include financial literacy in the state's mechanism to address the housing affordability problems among YWH.

## CONCLUSION

The majority of the state representatives recognised that house prices are unaffordable for YWH. Even more, there are limited housing choices for YWH to purchase in the housing market. Although, the findings revealed that there is no reluctance from the state representatives to build affordable houses in contrast to the inclination of private housing developers to build high-cost houses. However, there were also views on the absence of financial literacy among YWH that led to the housing affordability problems. In addition, the reluctance of YWH to purchase available affordable houses and their spending behaviour are among the housing affordability problems.

State involvement through housing policy and housing schemes imposed on the private sector has been relatively limited and inadequate. Insufficient household income, increasing house prices, expensive land prices, increasing building cost and other factors affect supply of house in the private housing market may be outside the realms of the state authority. However, in this context, it is instructive for the state to consider a more authoritative approach. Arguably, attempts to extend this approach by considering alternative tenure such as rental and additions of housing schemes that will witness better outcomes in achieving the goal of the National Housing Policy.

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# STRESS LEVELS AND COPING STRATEGIES: CASE STUDY ON QUANTITY SURVEYING STUDENTS IN PRIVATE UNIVERSITY

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## **Abstract**

Stress is the body's reaction to any change that required an arrangement and adoption. The feeling of stress is a part of university students' life. Students exposed to challenges every day. If the student is unable to deal with the circumstances appropriately, it will cause stress. Coping mechanism plays an important role in reducing and overcoming the stress experienced by an individual. Different type of coping strategies can influence individual experience stress differently. This study aims to find out the stressors that contribute to Quantity Surveying students in a private university, students' coping strategies and the stress level of students by using Perceived Stress Scale. A quantitative method is used in gathering and analyzing the data. The result of this study indicated that majority of the Quantity Surveying students are having moderate level of stress and academic factors is the main source of stress to students.

**Keywords:** *Stress level; coping strategies; university students.*

## **INTRODUCTION**

University life is a fascinating and remarkable experiences in a youth's life. However, stress almost becomes part of students' life and students are constantly exposed to challenges in daily life. Hence, it will cause stress to students if they cannot cope with the stress properly. There are two type of stress, which is eustress and distress. Smith (2019) defines eustress as a positive stress which can drive us a desire to overcome the challenges. Distress can be defined as undesirable emotions which often make us see the difficult as a threat instead of challenge.

Students nowadays face many difficulties that parents may not have had to experience during their time (Kumar & Bhukar, 2013). Students need to handle their busy lives, school and work and allocate time with family and friends at the same time. Students often feel stress because they cannot manage their time wisely and effectively.

The level of stress can be range as low stress, moderate stress and high stress depending on the individual. The score of stress of students came from these three main categories which are personal factors, academic factors and environmental factors. Personal factors vary from person to person that results in a different set of behaviours, perceptions and attitudes (Essel & Owusu, 2017). Shaikh et al. (2004) revealed that the most impactful stressors were came from academic factors. Environmental factors such as fear, future worries will also contribute stress to students.

Coping mechanism helps in overcoming and reducing the stress of an individual (Ganesan et al., 2019). Managing stress during university can be considered as a challenging task for a student. However, an appropriate coping strategy for a student will allow student to have a better campus life experience. Positive coping strategies can be helpful in a long time while negative coping strategies only helpful for a short period.

The research aims to analyze the stress level and coping strategies of Quantity Surveying students in Taylor's University. The objectives are: 1) To analyze the stress level of Quantity Surveying students in Taylor's University. 2) To identify and priorities the stressors that contribute to Quantity Surveying students' stress level in Taylor's University. 3) To suggest the coping strategies to overcome Quantity Surveying students' stress level in Taylor's University.

Society should put more concern and cannot disregard the issue of students' stress in Malaysia because high stress level can cause students to suffer from mental health problem and the worst-case scenario is student commit suicide. Student suicides case in Malaysia are on the rise over the years. "Private university" (2019) and Theleaders-Online (2019) reported on two different suicide cases in a private university within one week. The first suicide took place on May 6, while the second occurred on May 14.

There were also little to no research conducted on the stress level among Quantity Surveying students as majority of the previous studies were focusing on medical students and engineering students. The difference in study environment would influence the outcome of the measures of these variables. Therefore, this study will fill in the gap by analyzing the stress level, stressors and coping strategies among the Quantity Surveying students in Taylor's University.

## **OVERVIEW ON STRESS LEVEL**

**Stress is the body's reaction to any change** that required an arrangement and adoption. Stress can be interpreted as a feeling of mental pressure and tension (Shahsavarani, Abadi & Kalkhoran, 2015). Elias, Ping, & Abdullah (2011) pointed out that students experienced moderate stress level and academic stress is student's main source of stress. The stress level amongst the final year students is higher than other students (Elias et al., 2011; Ganesan et al., 2019). In Ganesan's study, the parameter used is Perceived Stress Scale. It is a classic and most widely used psychological instrument for measuring the stress levels. The parameter helped to understand how different situations affect feelings and perceived stress. The questions were designed to test how unpredictable, uncontrollable and overloaded respondents find their lives.

### **Factors Affecting Students' Stress Level**

The source of students' stress derived from the following three main categories: personal factors, academic factors and environmental factors. Identifying the stressor that causes a student to feel stress is the first step towards helping the student to overcome it.

#### *Personal Factors*

Essel & Owusu (2017) highlighted that personal factors vary among individual as each individual has own perceptions, behaviours and attitudes. Examples of personal factors as a source of stress includes the following:

- Time management - Stress levels can be decreased among students who managed their time well (Misra, & McKean, 2000 as cited in Al Khatib, 2014). In general, a person feels stressed when they cannot finish their work on time.
- Financial difficulties - Students' life becomes very challenging and stress when they need to take care of their studies and handle financial problems at the same time (Essel & Owusu, 2017).
- Combining jobs with studies - Students with jobs are finding themselves with higher stress levels as it might be too difficult to handle in balancing the different areas of their lives (Essel & Owusu, 2017). They felt difficult in balancing their lives because they need to take responsibilities for both and commit to their studies and work at the same time.
- Physical causes of stress - This can be referred to lack of sleeping hours and unhealthy eating habits. Students often sacrifice sleep to complete their assignments which will also cause them to feel stress.
- Low self-esteem - Scott (2019) revealed that self-esteem impacts on happiness level and can make life more or less stressful. More specifically, low self-esteem generates more negative thoughts to a person and decrease the happiness level.

### *Academic Factors*

Academic stress is basically derived from the demands of studying which considered as the main cause of stress or tension (MacGeorge, Samter, & Gilihan, 2005 as cited in Calaguas, 2012). Examples of academic factors as a source of stress includes the following:

- Examination - Students often feel stress while preparing for exam because they worry, they might fail, think the preparation is not enough (Roussos, 2018).
- Excessive course workload - An excessive course workload will stress up students in the sense that when students have to do more than they can handle.
- Procrastination - Shokeen (2018) stating that the students who tend to procrastination are prone to stress and has negative impacts on students which cause them to a low level of academic achievement. Indeed, a last-minute work always comes with anxiety and sleeping problem.
- Frustration due to misunderstanding – Essel & Owusu (2017) highlighted that this frustration causes students being stressed up because they worried about their performance in exams as they hardly understand what the lecturers teach in the class.
- Competition among students - Rose (2011) stating that grading system increase students stress and interferes with deep learning.

### *Environmental Factors*

The examples of environmental factors as a source of stress studied in this research includes:

- Placed in unfamiliar situations - Students become disturbed and worried when they find themselves in unfamiliar situations and will stress them up (Essel & Owusu, 2017).

- Transportation - Commuter students rarely spend time outside of the classroom on campus as they direct go home after attending classes. Forbus, Newvold, & Mehta's 2009 (as quoted in Johari, 2013) discovered that commuter students are said to be presented with stressful events more frequently as they have less involvement in campus life.
- Family problems - Family should be a place where we can release our stress and be relaxed. However, on contrary, poor family relations can incur greater amount of stress to students instead of helping them (Pfeiffer, 2001).
- Unrealistic expectation - Wrosch et al. (2007), as cited in Jerrim (2014) discovered that unrealistic expectations can cause students to feel stress and anxiety. Sometimes, high expectations from parents and lecturers are often too high to become unrealistic expectations which are beyond the students' capabilities and may not handle it (Lam, 2017).
- Future anxiety – It is right to worry about future, however, excessive worrying will only lead to stress. Berland (2017) highlighted that many students worry about not graduating on time, anxiety for their employment and scared they are unable to get a job in their degree field.

### **Coping Strategies**

Stress coping mechanism is about developing the appropriate reaction to stressful events and improve an individual's adjustment instead of escaping and avoiding the stressful events (Yikealo et al., 2018). Not all coping strategies are constructive, some could result in more complicated or difficult conditions. Hence, dealing with stress during university are considered quite difficult for some students.

### **Positive Coping Skills**

Pariat et al. (2014) described that positive coping mechanisms relieves stress and was found to be beneficial in the long run. Yusoff (2010), as summarized by Ganesan et al. (2019) also highlighted that students can reduce their stress level by using the correct and effective coping strategies.

- Social support – Study proved that people who are having stressful situations produce less stress hormone, compared to those who are handling things solo (Lougheed, Koval, & Hollenstein, 2016). In short, facing a problem alone is more difficult to handle then facing the problem with support.
- Exercise – Plante, Coscarelli, & Ford (2001) revealed that exercise produced positive impact on calmness and energy. Kim, & McKenzie (2014) highlighted that exercise helps in decision making because exercise release positive emotion and lead to better concentration on problem, hence enhancing the problem-focused skill.
- Meditate/ Pray – It is expected to reduce stress-related symptoms by meditating or praying as it can be practiced independently and serve as a buffer against ongoing stress.
- Positive thinking - According to StressHack (2015), research carried out by Steptoe, Dockray, & Wardle (2009) has highlighted an individual with positive mood has a lower level of stress hormone cortisol. In other words, an individual with positive thoughts has a higher problem-oriented coping skill when dealing with stress.

- Involving in leisure related activities – The leisure related activities discussed in this study include reading, listening to music, watching television program and playing video games. All these activities are proved to be helping in reducing stress if the students manage it well.

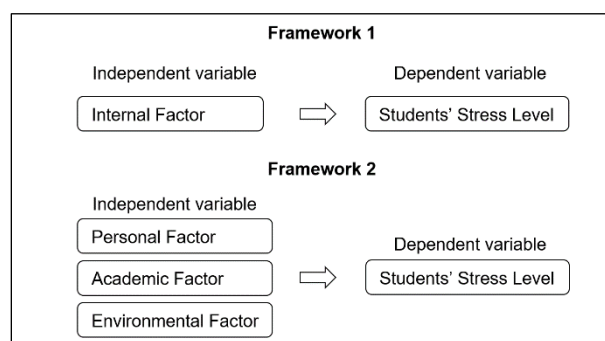
### Negative Coping Skills

Everley et al. (2002) as cited in Pariat et al. (2014) indicated that negative coping strategies may release stress for a short time, however, studies showed that negative coping skills are deemed to be destructive and cause an individual to suffer physical and mental health.

- Alcohol/ Smoking – Both alcohol and smoking can help in releasing stress in short term. However, it can lead to negative situations such as long-term heavy drinking and smoking where the person keeps drinking or smoking to deal with the stress.
- Compulsive spending – Kalthour & Ng (2015) highlighted that individual will feel the short-term fulfillment when they are buying things and Kelly (2018) stated that stress can be relieved in a short time by buying things. However, this coping mechanism only temporary relief stress as they may feel guilty or disappointed after they make a purchase.
- Under eating/ overeating – Many studies showed that many people will under- or overeating when they deal with stress, which will cause the imbalance dietary pattern to the person. It is a way of not concentration on the problems and stress when a person is under- or overeating.
- Avoiding or procrastinating the problem – Greene (2013) and Johnson (2013) agreed that the problems tend to increase growth and may appear much larger than they are when we keep ignoring the problems.
- Oversleeping – Healthyplace.com Staff Writer (2014) revealed that an individual may have pushed his problems or struggles aside by sleeping, but once his wake up, his problems are still there. Other than not solving the problem, oversleeping will also cause some medical problems, including diabetes, heart disease (DerSarkissian, 2018).

## RESEARCH METHODOLOGY

### Research Framework



**Figure 1.** Research Framework



Research framework (Figure 1) is used to limit the scope of the relevant data to be analyzed in this research. Framework 1 is achieved by using a survey instrument namely Perceived Stress Scale and framework 2 is achieved by self-constructed questions.

### Research Technique

The research technique used in this study is quantitative method as the results achieved from this method are statistical, logical, unbiased and it is suitable to collect larger samples. Descriptive method is being used as it only associated between variables. The data was collected from the primary source. A questionnaire was prepared by using Google Form and was sent to the class representatives of each semester in Quantity Surveying school to collect data. By sending them the questionnaire, each class representatives were able to send out the questionnaire to their classmates.

A survey instrument namely Perceived Stress Scale was used in this study to identify students' stress level, which is to achieve objective 1. In order to achieve objective 2, this survey consists of 15 items divided into 3 categories which is personal factors, academic factors and environmental factors. Furthermore, a section consists of 10 items were asked in this survey to understand the coping strategies that used by students. Likert scale of 5 point is used in this study with the option of "Never", "Almost never", "Sometimes", "Fairly often", "Very often". Other than closed-ended question, some sections have provided an open-ended question stated "Others (please state)" which allow respondents to indicate any response that are not provided in the category.

The population for this study comprised all Bachelor of Quantity Surveying students in Taylor's University. The sampling design used in this study is stratified random sampling. This sampling design is chosen because the students are divided into certain groups based on their semester and each student in each semester have the same opportunity of being selected in taking part in this study. The required sample size for this study is 189 whereas only 154 participants completed the surveys within the given time frame. Therefore, the response rate for this survey is 81.48%. All data were analyzed by descriptive analysis and rank the data based on the mean.

### DATA ANALYSIS AND KEY FINDINGS

#### Stress Levels of Quantity Surveying Students' in Taylor's University

The levels of stress were measured by using Perceived Stress Scale parameter. It is the most widely used psychological instrument in terms of measuring the stress level. Individual scores on the Perceived Stress Scale can range from 0-40, score ranging from 0-13 would be considered low stress, ranging from 14-26 would be considered moderate stress and ranging from 27-40 would be considered high stress.

**Table 1.** Stress Level of Quantity Surveying Students in Taylor's University

Stress level of Quantity Surveying students in Taylor's University	High Stress	Moderate Stress	Low Stress
Frequency of stress level of students	35	110	9
Percentage of stress level of students	23%	71%	6%
Rank	2	1	3

The table showed that majority of the Quantity Surveying students experienced moderate stress level, which is 110 students (71%) out of total 154 students. This result was consistent with the earlier study by Elias et al. (2011) which was carried out in University Putra Malaysia saying that most of the students were having moderate vulnerability to stress as well. This finding showed 35 students (23%) tend to be experience high level of stress and only 9 students (6%) experience low level of stress. This finding has similar statement as Ganesan et al. (2019) which carried out in University Malaysia Sarawak highlighted that many of the students are having moderate stress level followed by high stress level then low stress level.

### Situations That Affect Students' Feelings and Perceived Stress

Table 2 showed the results of each questions in the Perceived Stress Scale parameter. There have total 10 questions in this test. Based on the table above, the questions can be separated into two parts, which Question 1 to Question 6 is more towards to negative situations whereas Question 7 to Question 10 is tile to positive situations. The results clearly showed that Question 1 to Question 6 was ranked for the top six. In other words, the Quantity Surveying students in Taylor's University experience negative situations more often than positive situations. It is not surprising that students are vulnerable to stressful life conditions and experience negative situation more frequently as it is not easy when persuade higher professional education in a highly competitive environment (Kumar, & Bhukar, 2013).

**Table 2.** The Situation That Affects Students' Stress

<i>Frequencies of occurrence: 5 = Very Often; 4 = Fairly Often; 3 = Sometimes; 2 = Almost Never; 1 = Never</i>									
Stress Level of QS Students in Taylor's University	Frequency (%)					TR	Mean	RII	Rank
	5	4	3	2	1				
<i>Perceived Stress Scale (PSS)</i>									
1 How often have you felt nervous and "stressed"?	21	34	31	13	1	154	3.604	0.111	1
2 How often have you been upset because of something that happen unexpectedly?	11	41	29	17	2	154	3.422	0.105	2
3 How often have you felt difficulties were piling up so high that you could not overcome then?	14	35	31	18	3	154	3.409	0.105	3
4 How often have you felt you were unable to control the important things in your life?	16	33	28	20	3	154	3.377	0.104	4
5 How often have you found that you could not cope with all the things that you had to do?	10	29	39	21	1	154	3.279	0.101	5
6 How often have you been angered because of things that were outside your control?	12	31	32	19	5	154	3.273	0.101	6
7 How often have you felt confident about your ability to handle your personal problems?	8	36	34	17	5	154	3.260	0.100	7
8 How often have you been able to control irritations in your life?	5	30	41	20	4	154	3.123	0.096	8
9 How often have you felt that things were going your way?	5	32	32	25	6	154	3.032	0.093	9
10 How often have you felt that you were on top of things?	1	19	44	27	8	154	2.773	0.085	10
							32.552	1.000	

## Relationships Between Respondents' Year Levels and Students' Stress Level

The results (Table 3) showed that final year students tend to experience higher stress level than other students with stress scale of 23.22 (Moderate stress). This result is in line with findings by Ganesan et al. (2019) and Elias et al. (2011). Both studies indicated that stress level amongst the final year students are higher than other students. Final year students found to be more stressful because students need to study for 4 to 5 subject and doing thesis at the same time.

Furthermore, final year students are going to work soon, hence they need to prepare themselves before working. Students who are currently during industrial training experience second highest stress scale with 22.75. Year 1 students having a higher stress scale than Year 2 students with 22.74 stress scale while Year 2 students are having the lowest stress scale among all (19.62 stress scale) because they have gone through the several challenges associated with adapting to new academic and social environment. Students feel less stress as they can accommodate and familiar with their syllabus.

**Table 3.** Relationship Between Semester and Stress Level of Students

Values	Industrial training	Year 1	Year 2	Year 3
Sum of How often you been upset because of something that happen unexpectedly?	19	76	80	198
Sum of How often have you been angered because of things that were outside your control?	16	72	77	185
Sum of How often have you felt nervous and "stressed"?	17	87	90	207
Sum of How often have you felt that you were on top of things?	21	80	85	157
Sum of How often have you felt difficulties were piling up so high that you could not overcome then?	22	82	75	192
Sum of How often have you found that you could not cope with all the things that you had to do?	23	70	76	182
Sum of How often have you been able to control irritations in your life?	15	74	71	129
Sum of How often have you felt you were unable to control the important things in your life?	19	86	76	185
Sum of How often have you felt confident about your ability to handle your personal problems?	14	64	66	124
Sum of How often have you felt that things were going your way?	16	82	69	136
Total marks for stress level	182	773	765	1695
Number of students of each category of CGPA	8	34	39	73
Average stress level	22.75	22.74	19.62	23.22

## Relationships Between Gender and Students' Stress Level

Table 4 shows female students have the average stress level of 23.40 and male students is 20.55. Females often suffer higher stress level compared to males. Based on the American Institute of Stress, women's hormone level is more fluctuated than men, thus it causes women feel stress easily. Moreover, women involved in personal relationships more than men and suffer more when they are disrupted. In nutshell, females are more sensitive and more prone to suffer higher stress.

**Table 4. Relationship Between Gender and Stress Level of Students**

Values	Female	Male
Sum of How often you been upset because of something that happen unexpectedly?	227	146
Sum of How often have you felt you were unable to control the important things in your life?	214	152
Sum of How often have you felt nervous and “stressed”?	250	151
Sum of How often have you felt confident about your ability to handle your personal problems?	164	104
Sum of How often have you felt that things were going your way?	180	123
Sum of How often have you found that you could not cope with all the things that you had to do?	209	142
Sum of How often have you been able to control irritations in your life?	178	111
Sum of How often have you felt that you were on top of things?	204	139
Sum of How often have you been angered because of things that were outside your control?	206	144
Sum of How often have you felt difficulties were piling up so high that you could not overcome them?	227	144
Total marks for stress level	2059	1356
Number of female and male students	88	66
Average stress level	23.40	20.55

**Relationship Between Academic Achievement and Stress Level of Students**

The result revealed that students with lower CGPA are tend to have higher stress level compared to others. Students with CGPA below 2.00 score 29.50 in stress scale which is high level of stress according to the calculation of Perceived Stress Scale. The second highest of stress scale is from students who score CGPA 2.00-2.50 with the stress scale of 23.00 (moderate stress). This finding was in line with a study carried out by Oketch-Oboth, & Odiemo (2018) saying that the poorer the academic performance, the higher the stress level students suffer. Students with lower CGPA feel stress as they worry they are unable to improve their performance in the future and they might have to deal with many personal issues. This will lead them to have insufficient time to study.

**Table 5. Relationship Between Academic Achievement and Stress Level of Students**

Values	2.00-2.50	2.50-3.00	3.00-3.50	3.50-4.00	Below 2.00
Sum of How often you been upset because of something that happen unexpectedly?	6	74	166	121	6
Sum of How often have you felt you were unable to control the important things in your life?	5	80	158	116	7
Sum of How often have you felt nervous and “stressed”?	5	82	171	137	6
Sum of How often have you felt confident about your ability to handle your personal problems?	3	66	107	85	7
Sum of How often have you felt that things were going your way?	5	77	113	102	6
Sum of How often have you found that you could not cope with all the things that you had to do?	5	69	155	117	5
Sum of How often have you been able to control irritations in your life?	3	65	119	97	5
Sum of How often have you felt that you were on top of things?	5	81	135	116	6

Values	2.00-2.50	2.50-3.00	3.00-3.50	3.50-4.00	Below 2.00
Sum of How often have you been angered because of things that were outside your control?	5	83	160	118	5
Sum of How often have you felt difficulties were piling up so high that you could not overcome then?	4	71	158	111	6
Total marks for stress level	46	748	1442	1120	59
Number of students of each category of CGPA	2	34	67	49	2
Average stress level	23.00	22.00	21.52	22.86	29.50

## Stressors That Contribute to Quantity Surveying Students’ Stress Level in Taylor’s University

### Personal Factors

The result showed that the most contributed stressor in personal factor is time management. It is not surprising that students felt poor time management has the most impact on their stress as Al Khatib (2014) and Adams, & Blair (2019) both agreed that it is quite challenging for students to balance both their studies and external life. Poor time management led to poor sleep patterns and increased levels of stress. The following impactful stressor is low self-esteem (Ranked at 2nd) and physical source of stress (Ranked at 3rd) with mean score of 3.273 and 3.208 respectively. The two factors that were less impact to students’ stress level is financial difficulties and combining job with studies. It is expected these two factors were ranked at bottom two because majority of Quantity Surveying students in Taylor’s University rely on their families for paying the tuition fees and involved in external funding like scholarship and PTPTN loan based on respondents’ demographic profile.

**Table 6.** Personal Factor That Contributes to Students’ Stress Level

Frequencies of occurrence: 5 = Very Often; 4 = Fairly Often; 3 = Sometimes; 2 = Almost Never; 1 = Never										
Stressors that contribute to QS students’ stress level in Taylor’s University	Frequency					TR	Mean	RII	Rank	
	5	4	3	2	1					
<i>A PERSONAL FACTOR</i>										
1. Time management (E.g., Too much to do and not enough time to do it or wasting time on activities that are not priority)	32	50	46	21	5	154	3.539	0.229	1	
2. Low self-esteem (E.g., Often see difficult events as a threat instead of as a challenge.)	25	36	59	24	10	154	3.273	0.211	2	
3. Physical source of stress (E.g., Adopt unhealthy eating habits and sacrifice sleep for extensive studying)	25	45	37	31	16	154	3.208	0.207	3	
4. Financial difficulties (E.g., Settle financial problems first before shift focus to studies)	17	27	44	42	24	154	2.812	0.182	4	
5. Combining job with studies (E.g., Struggle with balancing and prioritizing the areas between work and school life)	17	33	30	26	48	154	2.643	0.171	5	
							<u>15.474</u>	<u>1.000</u>		
							3.095			

## Academic Factors

Table 7 shows how frequently the students feel stress due to academic factors. The results show that Quantity Surveying students in Taylor's University rated examinations in the first place as the most impactful academic factor to their stress levels with mean score of 3.929. Quantity Surveying students are required to have final examination which weight 50% of their total results for almost every subject and some subject might have mid-term test which weight 20%. Therefore, students often used a lot of times in studying and sometimes may sacrifice sleep to study. Roussos (2018) mentioned that students who do not have proper sleep before examination tend to experience higher stress levels.

Procrastination was ranked at 2nd with a mean score of 3.688. In this question, the procrastination is referring to students always delay in preparing for exams and submitting assignments. According to Table 6 which stated time management contributes the most to students' stress level among personal factors. In other words, students with poor time management skills are prone to procrastinate in studying which eventually will lead them tend to be more stress. Other than the factor that listed above, there were one feedback from student in terms on academic stressors. The statement sounds quite well reasoned and rational as students feel stress since students are unable to know their coursework mark for every subject. In other words, students are unable to know how they did for the assignment and where can they improve in future. In the event if students want to refer the assignment in the future, they didn't even know which part is correct, which part is not.

**Table 7.** Academic Factor That Contributes to Students' Stress Level

Frequencies of occurrence: 5 = Very Often; 4 = Fairly Often; 3 = Sometimes; 2 = Almost Never; 1 = Never										
Stressors that contribute to QS students' stress level in Taylor's University	Frequency					TR	Mean	RII	Rank	
	5	4	3	2	1					
<b>B ACADEMIC FACTOR</b>										
6. Examination (E.g., Worry about performance is not adequate to the expectations and think the preparation is not enough.)	50	61	32	4	7	154	3.929	0.225	1	
7. Procrastination (E.g., Delay in preparing and submitting your assignments and preparing for examinations.)	49	42	34	24	5	154	3.688	0.212	2	
8. Frustration due to misunderstandings (E.g., Easily get frustrated when you do not understand what is being taught by the lecturers in the class.)	34	44	41	30	5	154	3.468	0.199	3	
9. Excessive course workload (E.g., Have to do more than what you can handle, get frustrated and unable to focus on studies.)	27	45	53	25	5	154	3.429	0.197	4	
10. Competition with other students (E.g., Academic competition among classmates often make you feel stress)	17	41	29	45	22	154	2.909	0.167	5	
							<u>17.422</u>	<u>1.000</u>		
							<u>3.484</u>			
Other stressors stated by respondents						Stress due to assignments given by lecturers and then they didn't even bother to give our coursework mark on the end of the semester. They left us hanging without knowing how we did for the assignments				

Table 8 shows that students with CGPA below 2.00 suffer academic stressors more frequently than other students with average mean score of 3.70. Students with CGPA 2.00-2.50 also experience higher frequency to academic stressors with average mean score of 3.60. Excessive academic stress will cause anxiety, depression to students and lead to poor academic performance to students (Yikealo et al., 2018).

**Table 8.** Relationship Between Academic Achievement and Academic Stressors

Values	2.00-2.50	2.50-3.00	3.00-3.50	3.50-4.00	Below 2.00
Sum of Competition with other students	5	97	200	139	7
Sum of Excessive course workload	6	112	227	176	7
Sum of Examination	10	126	266	195	8
Sum of Procrastination	6	117	258	179	8
Sum of Frustration due to misunderstandings	9	107	229	182	7
Total frequency for academic factors	36	559	1180	871	37
Number of students of each category of CGPA	2	34	67	49	2
Average mean	3.60	3.29	3.52	3.56	3.70

### Environmental Factors

Based on Table 9, factor that ranked at the first place is future anxiety. This result was in line with a study carried by Mazumdar et al. (2012), as cited by Yavuzaslan, Barişçil & Farkas (2016), highlighted the main source of stress to university students is worries about the future. This situation happened for some reasons such as student’s worry of not graduating on time, anxiety for their employment and afraid that they are unable to get a degree related field job (Berland, 2017). Furthermore, there was a feedback regarding environmental factor stating that students feel stress when the impractical learning and outdated information learned in the class was unable to help in contributing to the society. Other than getting high expectations from parents and lecturers, students feel stress when they have set a high expectation for themselves.

**Table 9.** Environmental Factor That Contributes to Students’ Stress Level

Frequencies of occurrence: 5 = Very Often; 4 = Fairly Often; 3 = Sometimes; 2 = Almost Never; 1 = Never									
Stressors that contribute to QS students’ stress level in Taylor’s University	Frequency					TR	Mean	RII	Rank
	5	4	3	2	1				
<i>C ENVIRONMENTAL FACTOR</i>									
1. Future anxiety (E.g., Worry about future or work-related fears)	38	48	34	23	11	154	3.513	0.243	1
2. Placed in unfamiliar situation (E.g., Involved in these kinds of situation such as group assignment assigned by lecturer)	18	48	47	28	13	154	3.195	0.221	2
3. Unrealistic expectations (E.g., Ambitious educational goals, high expectation from parents and lecturers)	16	43	40	41	14	154	3.039	0.210	3
4. Transportation (E.g., Delay or crowding in public transport/ traffic jam/ find parking in campus)	13	23	36	40	42	154	2.513	0.174	4

5. Family problem at home (E.g., Lack of respect in relationship, family, financial problems and different in opinions)	8	23	18	47	58	154	2.195	0.152	5	
							<u>14.455</u>	<u>1.000</u>		
							<u>2.891</u>			
Other stressors stated by respondents	1. High expectation from myself 2. Portion of impractical learning which doesn't fully contribute to the society, some sources/ information are outdated									

Table 10 reveals the mean score and ranking for the three main constructs that contributing to students’ stress level. The three main constructs are personal factor, academic factor and environmental factor. The most impactful factor contributes to Quantity Surveying students’ stress level in Taylor’s University is academic factor with mean score of 3.484 followed by personal factor (Ranked at 2<sup>nd</sup>) and environmental factor (Ranked at 3<sup>rd</sup>) with mean score of 3.095 and 2.891 respectively. This result was in accordance with the study carried out by Elias et al. (2011) which revealed that academic stress was the most significance stressors which cause students to feel stress. Other than that, the result was in line with study conducted by Ong, Bessie & Cheong (2009) as cited in Elias et al. (2011), revealed academic stressors were ranked at the first to the students’ stressor list. Students spend most of time in studying, learning, completing assignments and preparing for examinations, thus it is not surprising that academic factor was ranked at the 1<sup>st</sup> place by the students.

**Table 10.** Table for Comparison Between Personal Factor, Academic Factor and Environmental Factor

Type of main factor that contribute to QS students’ stress level in Taylor’s University	Total Mean	Number of factors	Mean	Rank
1 Personal factors	15.474	5	3.095	2
2 Academic factors	17.422	5	3.484	1
3 Environmental factors	14.455	5	2.891	3

## Coping Strategies for Quantity Surveying Students’ Stress Levels

### Positive Coping Skills

Table 11 shows the results for positive coping skills. The most frequently used positive coping skill is students involved in leisure activities in order to release stress with an average mean score of 3.864. The term leisure activities in this study include reading, listening to music, watching television program and playing video games. This coping mechanism was ranked at the first place because students can do different leisure activities based on their preference. Besides, these coping strategies has been scientifically proved that it can reduce our stress significantly. The less frequent adopted method is students meditate or pray when they are having stress with an average mean score of 2.701. This coping skill was ranked at the last because it is not common in Malaysia, especially young adults do not have the patience to sit down and relax to meditate or pray.



**Table 11.** Average Mean and Average Relatively Importance Index for Positive Coping Skills to Overcome Quantity Surveying Students' Stress Level

<b>Coping strategies to overcome QS students' stress level</b>	<b>Mean</b>	<b>Remark</b>	<b>RII</b>	<b>Rank</b>	<b>Average Mean</b>	<b>Average RII</b>	<b>Rank</b>
<i>POSITIVE COPING SKILLS</i>							
<i>Involving in leisure related activities</i>							
1. I find an outlet to express my emotions such as playing some music, playing video games, watching dramas.	4.013	Fairly Often	0.121	1	3.864	0.117	1
2. I seek out more leisure activities to occupy my time and thoughts.	3.714	Fairly Often	0.112	2			
<i>Positive thinking</i>							
3. I outline a few strategies to resolve the issue and choose the one seems to be the best.	3.214	Some times	0.097	6	3.458	0.105	2
4. I remind myself to focus on the good things in my life instead of the bad.	3.701	Fairly Often	0.112	3			
<i>Social support</i>							
5. I seek out emotional support from my family and friends.	3.279	Some times	0.999	5	3.386	0.102	3
6. I try to find people who can offer me information or otherwise help me with any problems.	3.494	Fairly Often	0.106	4			
<i>Exercise</i>							
7. I use physical activity as a way to get rid of the pent-up energy.	3.123	Some times	0.094	8	3.133	0.094	4
8. Exercise helps me in handling stress as it can help in distracting from my daily worries.	3.143	Some times	0.095	7			
<i>Meditate/ Pray</i>							
9. I take a deep breath or exercise other relaxation techniques such as mediate.	2.929	Some times	0.089	9	2.701	0.082	5
10. I found out prayer to be a source of peace and release stress when I am having troubles and problems.	2.474	Almost Never	0.075	10			
			<i>33.084</i>	<i>1.000</i>			

**Negative Coping Skills**

Table 12 shows the results for negative coping skills. Most of the items can be grouped together and get mean score and mean relatively important index. However, researcher is unable to group under-eating and overeating together and alcohol and smoking because it is totally different. The most frequently used negative coping skill is students avoid or procrastinate the problem with an average mean score of 3.334. It has been proved by Badarudin (2018) stating that people will procrastinate when it comes to solving the problem which causes them to feel stress. According to Common Sense Media, young adults spend an average of nine hours a day online. Hence, it is not surprising that students usually procrastinating the problem by wasting more time on scrolling social media, watching television and playing video games. The less frequent adopted method is students use heavy alcohol or smoke a lot when they are having stress. There were 91 and 122 students choose 'Never' in the rating scale of 1. In short, majority of the students never use alcohol or smoke to release their stress. It is a good sign as these two methods does not cease the problem and it only increase a person's alcohol and smoke dependence.

**Table 12.** Average Mean and Average Relatively Importance Index for Negative Coping Skills to Overcome Quantity Surveying Students' Stress Level

Coping strategies to overcome QS students' stress level	Mean	Remark	RII	Rank	Average Mean	Average RII	Rank
<i>NEGATIVE COPING SKILLS</i>							
<b>Avoiding or procrastinating the problem</b>							
1 My thoughts about the situation prevent me from concentrating on another important task.	3.455	Fairly Often	0.302	1	3.334	0.292	1
2 I deny that there is a problem at all and find myself wasting more time on leisure activities such as scrolling social media, watching television, playing video games.	3.214	Some times	0.281	3			
<b>Oversleeping</b>							
3 I just stay in bed in sloth mode because I can't deal with my problems.	3.123	Some times	0.273	4	3.185	0.279	2
4 I sleep a lot when I feel stressed and escaping from reality.	3.247	Some times	0.284	2			
<b>Compulsive spending</b>							
5 My mind will be taken from the undesirable and stress environment when I engage in compulsive spending.	2.604	Some times	0.228	5	2.526	0.221	3
6 I release stress by shopping because I can feel the short-term fulfillment at the moment when I am buying things.	2.448	Almost Never	0.214	7			
<b>Under eating/ Overeating</b>							
7 I usually do not have the appetite to eat because I can't deal with my problems.	2.227	Almost Never	0.195	8	-	-	5
8 I start overeating as it is one of a way of not concentrating on the problems and stress.	2.494	Almost Never	0.218	6	-	-	4
<b>Alcohol/ Smoking</b>							
9 I use heavy alcohol to get my mind off my real problems.	1.851	Almost Never	0.162	9	-	-	6
10 I smoke a lot when I am in an undesirable and stressful environment.	1.571	Never	0.138	10	-	-	7
			11.422	1.000			

### Developing Stress Level Test on Times

Based on the research findings, this study has developed a stress level test application to be incorporated on TIMeS (Taylor’s University official portal). Each student has to take the test during mid semester and also at the end of the semester. The purpose of this test is to evaluate the stress level of Quantity Surveying students. With the outcome of this test, the lecturers would be able to guide those students with high stress level and would be able to help them in studies. Moreover, in the stress level test, a section will be dedicated for students

to rank the subjects in accordance with the stress level felt. With this ranking, lecturers can reach out for the students in need. Figure 2 is the banner regarding stress level test to be shown in TMeS. The banner will be incorporated in interface of TMeS as shown in Figure 3. Steps to take to test stress level:

1. Click on the banner illustrated in TMeS
2. Answer the questions to test stress level as shown in Figure 2.
3. Rank the subject in accordance with the stress level felt as shown in Figure 3.
4. The result of the stress level will be calculated and shown as Figure 4.



Figure 2. Banner Illustrated in TMeS

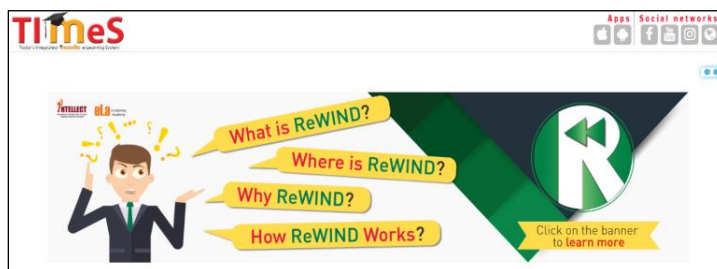


Figure 3. Example of Banner Illustrated in TMeS



Figure 4. Example of Result Shown After the Test

The stress level test incorporated in TMeS will consist of 2 sections. The first section includes all the questions in Perceived Stress Scale as shown in Figure 5. The score of the test can range from 0-40, scoring ranging from 0-13 would be considered low stress, ranging from 14-26 would be considered moderate stress and ranging from 27-40 would be considered high stress. With the outcome of this test, the lecturers would be able to guide those students with high stress level and would be able to help them in studies. Section 2 will be dedicated for students to rank the modules in accordance with the stress level felt. All the modules for each semester will be listed out to let students to rank as shown in Figure 6. With this ranking, lecturers can reach out for the students in need.

**STRESS LEVEL OF QS STUDENTS IN TAYLOR'S UNIVERSITY**

Kindly rate each criterion, accordingly, using the five-point rating scale provided as below:

0=Never  
1=Almost never  
2=Sometimes  
3=Fairly often  
4=Very often

How often have you been upset because of something that happen unexpectedly?  
Never 0 1 2 3 4 Vey often

How often have you felt you were unable to control the important things in your life?  
Never 0 1 2 3 4 Vey often

How often have you felt nervous and "stressed"?  
Never 0 1 2 3 4 Vey often

How often have you found that you could not cope with all the things that you had to do?  
Never 0 1 2 3 4 Vey often

How often have you felt difficulties were pulling up so high that you could not overcome them?  
Never 0 1 2 3 4 Vey often

How often have you been angered because of things that were outside of your control?  
Never 0 1 2 3 4 Vey often

How often have you felt that you were on top of things?  
Never 0 1 2 3 4 Vey often

How often have you felt confident about your ability to handle your personal problems?  
Never 0 1 2 3 4 Vey often

How often have you felt that things were going your way?  
Never 0 1 2 3 4 Vey often

How often have you been able to control irritations in your life?  
Never 0 1 2 3 4 Vey often

**STRESS**

Figure 5. Questions to Test Student Stress Level in TIMEs

	1	2	3
Construction Technology 1	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Legal Studies	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Environmental Science and Services	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Figure 6. Modules for Each Semester That Students Will Rank (Sample for Semester 1 Modules)

## CONCLUSION AND RECOMMENDATION

Perceived Stress Scale parameter was used in this study in order to analyze the stress level of Quantity Surveying students in Taylor’s University. Throughout the survey, results showed that 71% of students are having moderate stress, 23% having high stress and only 6% having low stress. Students are required to handle their personal life and study life during their university life, thus it has incurred moderate level of stress to students.

Based on the questions in parameter, the results showed that students experience negative situations more often than positive situations in their life. In nutshell, students have to deal with many things, and this has cause them unable to control the things in their lives and felt stressed. Other than stress level, the study also found out some relationship between respondent’s demographic and students’ stress level. The results revealed that Year 3 students suffer the highest stress level among the all. Besides, female students tend to experience higher stress level than male students. Students with lower CGPA (below 2.00) experience higher stress level than other students.

The most impactful personal stressors to students are having problem in time management followed by low self-esteem, physical source of stress, financial difficulties and combining job with studies. Majority of the respondents do not involve in work while they were persuading a degree as they finance their tuition fees from parents, PTPTN loan and scholarship.

Moreover, examination was ranked as the most impactful academic stressors to students' stress level followed by procrastination, frustration due to misunderstandings, excessive course workload and competition with other students. This study also found out the relationship between academic achievement and academic stressors which stating that the students with CGPA below 2.00 suffer academic stressors more frequently compared to others.

For the environmental stressors, factor that contribute the most to students' stress level is future anxiety followed by placing in unfamiliar situation, unrealistic expectations, transportation and family problem. Future anxiety happened quite frequently in students' life as university life is considered last part of student's life and they are going to society once they graduate. Students are least prone to family problems as majority of the students are staying away from family while pursuing their degree.

Among the three main stressors, academic stressors were contributed the most to students' stress level, followed by personal stressors and environmental stressors. Academic issues often make students stress because students need to allocate most of the time in studying, learning, completing assignments and preparing for exams.

The study also indicated that the most preferred coping strategies to deal with stress were involving in leisure related activities followed by thinking positively, getting social support from family and friends, exercise and meditate or pray.

The study showed that students also deal with their stress by non-productive coping. The most adopted negative coping skill is avoiding or procrastinating the problem followed by oversleeping, compulsive spending, and under-eating or overeating and the least adopted way were smoking and drinking alcohol. Therefore, students are suggested to involve in leisure activities, think positive and getting support from family and friends to release stress. As for recommendation, the university's counselling programs should be strengthened. Extracurricular activities and education programs are recommended to increase in a university to help students to cope their stress better.

Most of the previous studies on students' stress level were conducted in quantitative data. Therefore, qualitative research method is recommended for future study to obtain in-depth results and comprehensive opinions. In addition, future study may also investigate on how universities could collaborate to help minimize students' stress level.

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# ENVIRONMENTALLY SUSTAINABLE CONCERNS TOWARD AFFORDABLE HOUSING

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## **Abstract**

Sustainable affordable housing refers to the good long-term investment with emerging concerns in maintaining the environment surrounding that can support human living, provision of the basic shelter needs as well as protecting and preserving nature for future generations. Alongside the Sustainable Development Goals 2030, the government indicated providing enough shelter, access to health facilities and all the basic amenities which are the bases for improving the Quality of Life (QOL). However, due to rapid urbanization rate, it affects directly to the delivery of sustainable affordable housing. The environmental sustainability of affordable housing has drawn much attention over the years since it is a fundamental aspect of accomplishing sustainable development. This paper aims to explore the environment criteria applied in sustainable affordable housing (SAH) which influence a household's quality of life. This paper presents findings from the survey distributed to residents of affordable housing in Klang Valley area and 21 environment criteria were analyzed by using SPSS through chi-square test. Hence, 19 environment aspects were identified as significant criteria to affordable sustainable housing.

**Keywords:** *Sustainable Affordable Housing (SAH); environmental sustainability; Quality of Life (QOL).*

## **INTRODUCTION**

Housing is one of the basic needs and fundamental to the survival, health and welfare of human beings. Therefore, housing provision on affordable scheme has become a big agenda by Malaysia government in ensuring all Malaysian citizens to be able to buy their own residential units. That basic need of the house must be met at an affordable price. Additionally, affordable housing needs to be offered at an affordable price including every element of sustainability that drives different tastes and choices among the house buyers. Housing supposedly embodies many aspects like safety, location, comfort and important concerns to human being's quality of life and health. However, the real challenge in housing industry nowadays for undeveloped and developed countries is the citizen cannot afford the basic need of shelter (Harrisa and Arkub, 2007). Worst case scenario, there are situation whereby the low-income earners are paying high rental for poor quality housing (Bakhtyar et al., 2013). Cohen (2005) confirmed the threats that these problems pose to the health and well-being of such communities.

UNCHS (1996) estimated that more than 100 million homeless and about one billion people inadequately housed throughout the world and supported by the statistic also from UNCHS in 2003 highlighted that about 924 million people which is 31.6% from the world's population were already living in slum. The good quality and design of residential buildings with the access to services and recreation can give direct effect towards quality of life, promotion of healthy living and cohesiveness of society (Colesca and Alpopi, 2011). Rozana (2007) opined that sustainable housing development involves more than just provision of amenities and infrastructures, but it concerns on planning and designing of healthy and sustainable living environments that offer communities to live in peace, creativity and



security. In accordance with the slogan of 1Malaysia, the government was very committed to establish the sufficient, quality and affordable house to own or rent based on the citizen need (Zainol, 2011).

Despite the various efforts and initiatives from government to ensure decent and affordable homes to citizens, yet it is a big challenge due to the rapid urbanization and population growth (UN-Habitat, 2012). Idrus & Ho (2008) stated that the well-organised and systematic urban services programmes of affordable housing scheme can improve the quality of life for sustainable improvement and promoting social integration. In sustainable development, the concerns include the protection and preservation of environmental resources such as land, water, vegetation and landscapes since it will affect the human's economic, physical and social balance. The main question that needs to be addressed is to discover the fundamental environmental criteria in sustainable affordable housing that need to be considered as decent and quality homes to communities.

This paper reports the investigation on the housing affordability context from exploring the relationship of the sustainable development dimensions on environmental concerns. In this exploration, the environment criteria implied in affordable sustainable housing were measured and evaluated using the data generated from the research findings. The most significant environmental criteria for the sustainable affordable housing (SAH) development were established from the results.

## **LITERATURE REVIEW**

### **Housing Affordability**

Affordability is the ability of a person in providing something, which is usually referred to his ability in financial terms (Norazmawati, 2007). There are a few different perspective and measurement to define the meaning of affordability. It must involve the balancing between present and future needs, Community planning and Development of United State (2010). Disney (2007) agreed that a house can be considered as affordable housing if the expenditure or cost is not more than 30% of a household's income. While AHURI (2012) indicates the housing cost usually include expenditure on rent or mortgage payments, property taxes household insurance, repairs and maintenance where these are the responsibility of the resident.

Numerous studies have identified many factors associated with demand for affordable housing scheme, such as interest rates, income levels, construction cost, land supply, and housing prices. Since an affordable housing is a long-term investment, it should provide added value to its owners in terms of comfort, quality and lifespan (Jenkins et al., 2007). To sum up, 'housing affordability' refers to the capacity of households to meet housing cost while maintaining the ability to meet other basic costs of living (AHURI, 2004).

### **Sustainable Housing Development**

Most author indicated that sustainability and affordability are often discussed mutually and recognized as being important and related to one another (CLG 2007; HM Governmnet 2005; Maliene et al., 2008). From Brundtland report (WCED, 1987) and the 1992 Rio Earth

Summit that described that sustainable development means “meeting the needs of the present without compromising the ability of future generations to meet their own needs.” The term “sustainable” directly implied to green environment and eco-friendly. According to Klunder (2004), the sustainable housing can be defined as affordable, quality and a house with energy efficient and positive psychological impacts. Mitlin and Satterthwaite (1996) indicated sustainable housing as: “shelter that is healthy, safe, affordable and secure within a neighbourhood with provision of piped water, sanitation, drainage, transport, healthcare, education and child development. In addition, it is also a home protected from any environmental hazards, including chemical pollution. It is also vital to meet needs related to people’s choice and control, including homes and neighbours which they value and where their social and cultural priorities are met.”

Additionally, Madu and Kuei (2012), UN-Habitat (2012), Ibem and Azuh (2011) agreed that the concept of sustainable development has three (3) dimensions which are economic, social and environment. Chiu (2002) indicated that sustainable development aims at delivering a house environment that can enhance the quality of life, people satisfaction, flexibility to cater for user changes in the future, provides the desirables natural and support the social environment that maximize the use of resources. The principles applied in sustainable housing, including concern for people by ensuring that they live in a healthy, productive and in harmony with nature (Nazirah, 2005).

## **Environmental Sustainability**

The protection and preservation of environmental resources suchlike water, tree, land and landscapes are among the most concerned elements in developing a sustainable affordable housing project which can affect the human’s economic, physical and social wellbeing (AHURI, 2012). There are many factors that influence the environmental performance of a building. These include features of its architecture and design, aspect, location, the construction materials and processes used, opportunities for rainwater harvesting and recycling, and the presence of on-site renewable energy sources (AHURI, 2012).

The UN-HABITAT (2012) generally described three types of the relationships between housing and the environment such as:

1. drawing of the different environmental resources, for instance building materials, water, energy and land in the course of housing design, construction and operation
2. direct ecological impacts on local areas towards air and water pollution, waste and damage of natural ecosystems resulting from residential activities in human settlements; and
3. the coverage of houses and their occupants to various environmental hazards (e.g., air and water pollution, lack of sanitation), due to natural factors (e.g., landslides, vector-borne diseases such as malaria), or due to the combination of natural and human-made factors (e.g., climate change).

The environmental sustainability of affordable housing involves three criteria, such as [1] the protection of various environment hazards, [2] land conservation and proper planning as well as [3] the energy and environmental resources efficiency with the measurement of the indicators shown below in Table 1.

**Table 1.** Environmental Sustainable Criteria

Environmental Sustainability Theme	Measurement of Theme	Literature Sources
Protection from various environment hazards	Reduced Carbon footprint/emission	UN Habitat (2008), Golubchikov (2009)
	Environmental hazard mitigation	UN Habitat (2012), Golubchikov (2009)
	Pollution	UN Habitat (2008)
Land Conservation and proper planning	Transportation network	Burton (2000), Jenks et al. (1996), Williams et al. (2000), Gray et al. (2010), Pandey (2012)
	Waste Management	Burak (2006), UN Habitat (2008), Sharloy et al. (2008), Vij D. (2012)
	Water management or drainage system	UN Habitat (2012), Pitts (2004), Halliday (2008), Krieger and Higgins (2002)
	Sustainable Design and 'green' areas	Oktay (2001), Pitts (2004)
	Efficient Space Planning / use	Golubchikov (2009)
	Lighting and solar orientation	Kilbert (2005), Halliday (2008)
	Ventilation, climatic adaptations and active shading	Kilbert (2005), NSW Government (2002)
Energy and environmental resources efficiently use	Sustainable Building Material	UN Habitat (2008), UN Habitat (2012), Kilbert (2005), Smith (2005), NSW Government (2002), Chen et al. (2005)
	Lighting and solar orientation	Kilbert (2005), Halliday (2008)
	Ventilation, climatic adaptations and active shading	Kilbert (2005), NSW Government (2002)
	Carbon emission	Dave (2011), Turok (2012), Hossain (2000)

## RESEARCH METHODOLOGY

### Systematic Literature Review

From the literature review, the listing of environmental sustainability criteria for sustainable affordable housing (SAH) was established (refer to Table 2). The establishment of the environment criteria used as the questionnaire survey items that distributed among 297 residents of affordable housing in Klang Valley area, Malaysia by direct approach and email to the potential buyer of affordable housing.

**Table 2.** Environmental Sustainability Criteria for Sustainable Affordable Housing (SAH)

Environmental Sustainability Theme	Environmental Sustainability Measurement	Environmental Sustainability Criteria	Ref no.
Protection of various environment hazards	Environmental hazard mitigation	To lower the rate of environment hazard risk	E9
	Pollution	To reduce the noise exposure from outside	E6
		To prevent the air pollution	E7
		To reduce the water pollution	E8
Land Conservation and proper planning	Transportation network	To provide a good transportation route (eg: access to public transport, taxis, bus)	E1
		To offer the alternative transportation modes (eg: public bus, LRT, and other transport facilities)	E18
		To create a good traffic circulation pattern	E20
		To avoid traffic congestion in housing scheme	E21

Environmental Sustainability Theme	Environmental Sustainability Measurement	Environmental Sustainability Criteria	Ref no.
	Waste Management	To build an efficient waste management structure	E5
	Water management & drainage system	To promote the water saving sanitary and plumbing appliances	E14
	Sustainable design & 'green' areas	To protect the natural habitat using proper landscape	E15
		To offer an effective land use planning of natural resources (eg: water bodies, trees and bushes)	E17
	Efficient Space Planning / use	To offer a privacy in housing surrounding	E10
		To provide pedestrianized area, jogging track, or cycling track for public use	E19
Energy and environmental resources efficiency	Sustainable Building Material	To promote the use of eco-friendly and recyclable materials	E2
		To meets local climatic and environmental conditions through appropriate design and building material use	E12
	Lighting and solar orientation	To provide a natural lighting access into the house	E3
	Ventilation, thermal and shading	To create a better ventilation system in the house	E4
		To provide a good thermal comfort for resident	E16
	Carbon emission	To apply renewable resources into the house (eg: wind, solar)	E11
		To increase the installation of energy saving appliances	E13

### Questionnaire Survey

This study involves primary data, where the questionnaire was distributed to the respondents in determining which environment criteria need to be emphasized in the affordable housing development. The listing below has been labelled with E1 until E21 (Refer Table 3.) Table 3 depicts the environmental sustainability criteria set in the questionnaire for the respondents to consider. Descriptive analysis has been applied on research data involving 21 environment criteria and 6 respondent profiles, such as age, job status, marital status, employment sector, house purchase status and the monthly income. However, the income level will be selected to perform chi square test with 21 environment criteria as the profile related to affordability. Therefore, the significant attributes will be selected as the significant environment criteria which could be applied in sustainable affordable housing scheme.

**Table 3.** Environmental Sustainability Criteria and References

References No	Environmental Sustainability Criteria
E1	To provide a good transportation route (eg: access to public transport, taxis, bus)
E2	To promote the use of eco-friendly and recyclable materials
E3	To provide a natural lighting access into the house
E4	To create a better ventilation system in the house
E5	To build an efficient waste management structure
E6	To reduce the noise exposure from outside
E7	To prevent the air pollution
E8	To reduce the water pollution

References No	Environmental Sustainability Criteria
E9	To lower the rate of environment hazard risk
E10	To offer a privacy in housing surrounding
E11	To apply renewable resources into the house (eg: wind, solar)
E12	To meets local climatic and environmental conditions through appropriate design and building material use
E13	To increase the installation of energy saving appliances
E14	To promote the water saving sanitary and plumbing appliances
E15	To protect the natural habitat through proper landscape
E16	To provide a good thermal comfort for resident
E17	To offer an effective land use planning of natural resources (eg: water bodies, trees and bushes)
E18	To offer the alternative transportation modes (eg: public bus, LRT, and other transport facilities)
E19	To provide pedestrianized area, jogging track, or cycling track for public use
E20	To create a good traffic circulation pattern
E21	To avoid traffic congestion in housing scheme

## RESULT AND DISCUSSION

Table 4 shows the proportion of respondent sector occupation percentage according to monthly income profile. The majority of respondents (60.3%) earn income between RM2000 and RM5000 per month which is compatible with the requirement of affordable housing range price.

**Table 4.** Information for Selected Respondent Profile

Respondent Profile	Categories	Occupation Sector			Total
		Government (%)	Private (%)	Self-employment (%)	
Monthly income	below <RM 1,999	0.8	1.9	4.2	6.9
	RM 2,000 - RM 2,999	5.0	11.1	3.1	<b>19.1</b>
	RM 3,000 - RM 3,999	8.4	8.0	3.8	<b>20.2</b>
	RM 4,000 - RM 4,999	9.5	8.8	2.7	<b>21.0</b>
	RM 5,000 - RM 5,999	3.8	5.3	3.4	12.6
	RM 6,000 - RM 6,999	1.1	3.8	2.3	7.3
	RM 7,000 - RM 7,999	2.3	1.9	0.8	5.0
	RM 8,000 - RM 8,999	1.1	0.4	0.4	1.9
	RM 9,000 - RM 9,999	0.0	0.8	0.4	1.1
	exceed> RM 10,000	0.0	0.8	4.2	5.0

Through the chi square test, twenty-one (21) environment criteria have been analysed with the monthly income profile and 19 environment criteria were significant with monthly income except E14 (0.186) and E15 (0.084) (Refer to Table 5).

**Table 5.** The Significant of Environment Criteria

Ref no.	Asymp. Sig. (2-sided) Monthly Income
E1	.000
E2	.000
E3	.000
E4	.000

Ref no.	Asymp. Sig. (2-sided) Monthly Income
E5	.000
E6	.000
E7	.012
E8	.000
E9	.000
E10	.000
E11	.002
E12	.023
E13	.021
E14	.186
E15	.084
E16	.005
E17	.000
E18	.000
E19	.004
E20	.000
E21	.001

Table 6 show the ranking order of significant environmental criteria for affordable housing based on the chi-square value. The top five environmental criteria within the highest ranking of significance are E18 [To offer the alternative transportation modes (e.g., public bus, LRT, and other transport facilities)], E1 [To provide a good transportation route (e.g., access to public transport, taxis, bus)], E8 [To reduce the water pollution], E17 [to offer an effective land use planning of natural resources] and E20 [to create a good traffic circulation pattern].

**Table 6.** Ranking Order of Significance

Ranking order	Ref. No	Pearson chi-square value vs monthly income	Ranking of Significance
1	E18	93.342 <sup>a</sup>	Highest (80-130)
2	E1	90.081 <sup>a</sup>	
3	E8	87.926 <sup>a</sup>	
4	E17	85.751 <sup>a</sup>	
5	E20	85.481 <sup>a</sup>	
6	E3	84.662 <sup>a</sup>	Medium (50-79)
7	E4	83.821 <sup>a</sup>	
8	E10	79.253 <sup>a</sup>	
9	E9	79.130 <sup>a</sup>	
10	E5	78.810 <sup>a</sup>	
11	E2	75.706 <sup>a</sup>	
12	E6	71.401 <sup>a</sup>	
13	E21	68.366 <sup>a</sup>	
14	E11	64.445 <sup>a</sup>	
15	E19	62.301 <sup>a</sup>	
16	E16	61.542 <sup>a</sup>	
17	E7	57.862 <sup>a</sup>	
18	E13	55.151 <sup>a</sup>	
19	E12	54.914 <sup>a</sup>	

20	E15	48.185 <sup>a</sup>	Lowest
21	E14	43.374 <sup>a</sup>	(not significant)

Figure 1 illustrates the correlation value inherent to the significant environment criteria over the respondent profile. Based on the result above, E18 (93.3) and E1 (90.0) shows profile records high correlation value with income profile. The lowest correlation record is at 48.1 and 43.3 which is E15 and E14 respectively.

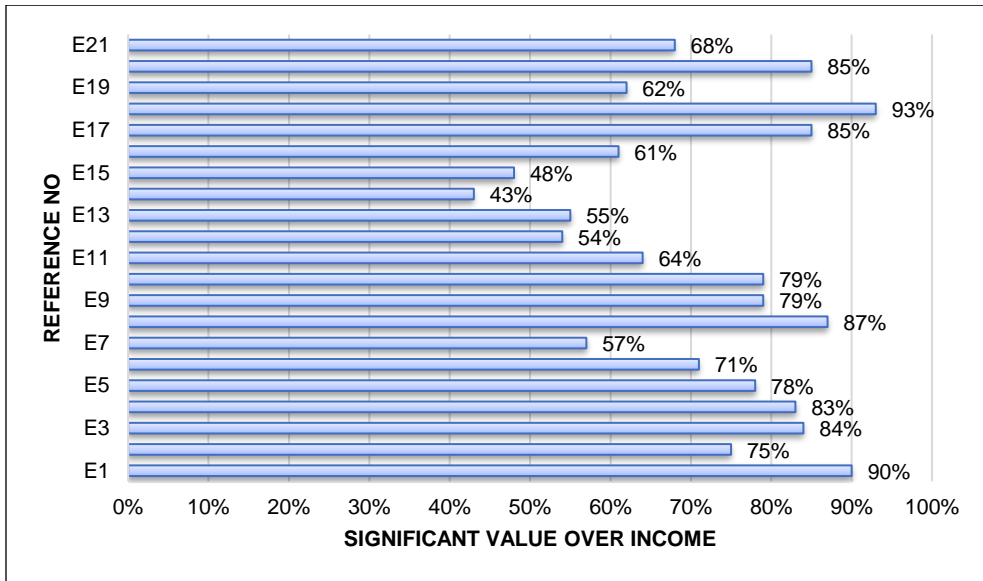


Figure 1. The Percentage of Pearson Chi-Square Value of Environment Criteria

## CONCLUSION

Issues relating to sustainable affordable housing stems from the rapid growth of urbanization rate, poor quality of design, high demand on affordable housing, house price bubble, and lack of affordable accommodation to suit varied household types will affect the delivering a good quality of housing. In achieving the goal of sustainable communities in affordable housing, these issues should be addressed. This paper identified the important environmental sustainability criteria to be considered before developing an affordable housing project. At a broader level, local authorities may also consider these criteria to regenerate existing affordable housing communities to make environmentally sustainable. In general, the application of environmental sustainability criteria to the affordable housing development is very important to balance the economic, environmental and social wellbeing of the population.

There are 19 environmental sustainability criteria identified and recorded as more than 50% of significance value. The outcome reveals that affordable housing scheme should emphasize all 19 environment aspects that are being studied. Among the most important aspects that need to be highlight are offering the alternative transportation modes, provide a good transportation route and create a good traffic circulation pattern. Besides that, concerns for unpolluted water resources and effective land use planning of the natural resources are also paramount.

Hence the emphasis of the significant environmental sustainability criteria is necessary to ensure the important items are applied into the affordable housing project scheme to achieve the sustainable affordable housing that benefit communities in present and future time. It is hoped, sustainable affordable housing of the future will significantly contribute to the quality of life for the urban communities in a sustainable way.

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# FACTORS AND IMPACTS ON THE ACCURACY OF COST PLANNING: PRE-CONTRACT STAGE

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## **Abstract**

Construction is an important sector that contributes greatly to the economic growth of a nation. Clients have always been looking towards a successful development without satisfaction due to the project experiencing delay and cost overrun. Therefore, it is essential that projects can complete within the allocated budget. Proper cost planning practices should ensure the construction costs (initial and final), and subsequent cost control to prevent unpleasant consequences of cost overruns. Hence, cost planning required an extensive knowledge and expertise in order to achieve client's satisfactions and budget. However, there is always some uncertainty when estimating the cost of construction, but accuracy can be improved if the estimate is performed by an experienced professional. Therefore, this study focused on assessing the factors and impacts on the accuracy of cost planning in the construction industry during the pre-contract stage to ensure the project is completed within budget. The collection of data is carried out through survey questionnaires among Quantity Surveyors to obtain their feedback and opinions which are related to the factors and impacts on the accuracy of cost planning in a construction project. The findings revealed that the most significant factors that affect the accuracy of cost planning is highly dependent on the level of estimator experience and information available when preparing the cost planning. In addition, the respondents agreed that in accuracy of cost estimate will cause the cost overrun in the project development. Hence, the findings have successfully identified that by developing a historical database of completed projects will definitely improve the accuracy of cost estimate.

**Keywords:** *construction industry, cost planning, accuracy, pre-contract stage.*

## **INTRODUCTION**

Leibing (2018) stated the construction industry gives an extraordinary effect to the nation's economy. The construction industry is one of the most important sectors as it contributes around 6-9% of the Gross Domestic Product (GDP) to the financial advancement of a nation (Chitkara, 2016). In view of Giang (2012), the construction industry is viewed as a standout amongst the most responsive and dynamic areas.

Boussabaine (2013) expressed that the cost planning process utilizes various techniques by the Quantity Surveyor (QS) or building financial expert. Cost planning covers cost control from brief until completion stage in a construction project to achieve client's budget. Cost planning ensures that clients will recognize the foreseen final expenses of the building in the beginning stage of the project (Smith et al., 2007).

According to Knipe et al. (2017), viable cost advice allows developers to make decisions on the financial of a project. Furthermore, cost planning provides cost advice to assist designers to control the design within budget. However, Ramabodu and Verster (2007) uncovered that most building projects were not being kept within cost target including cost disparity, cost surpassing financial capacity, inadequacies in the design and absence of close cost checking of design factors in the pre-contract stage which could affect the accuracy in the preparation of cost planning.

Furthermore, Ernest et al. (2017) described that inadequate preparation of a cost plan may lead to cost overrun and the project abandoned. Besides, Laryea (2010) expressed the failure of cost consultants to give quality and dependable cost estimates consistently is due to the absence of adequate cost planning in the development. Cost estimating process is an important element within the project life cycle. Comprehensive information, expanded knowledge, considerable expertise, and continuous improvement are needed to obtain accurate cost estimation.

Therefore, this research seeks to: (1) identify the factors affecting the accuracy of the cost plan in a construction project, (2) identify the impacts on the implementation of the cost plan through the pre-contract stage, and (3) determine the solutions to improve the accuracy of cost plan in the development project.

## LITERATURE REVIEW

### Overview of Concept of Cost Planning

These days, the construction industry regularly is expected to decrease or control the expense of project and completion within the period of the project to lower cost. The accomplishment of the development industry relies upon how the developer controls the expense of construction projects. Hence, cost planning is useful to control the estimated cost of a project within budget during the design and construction phase. In this way, it is fundamental that successful cost advice can convey to the client to settle on a great choice while planning dependent on professional expertise (Knipe and Nwachukwu, 2017).

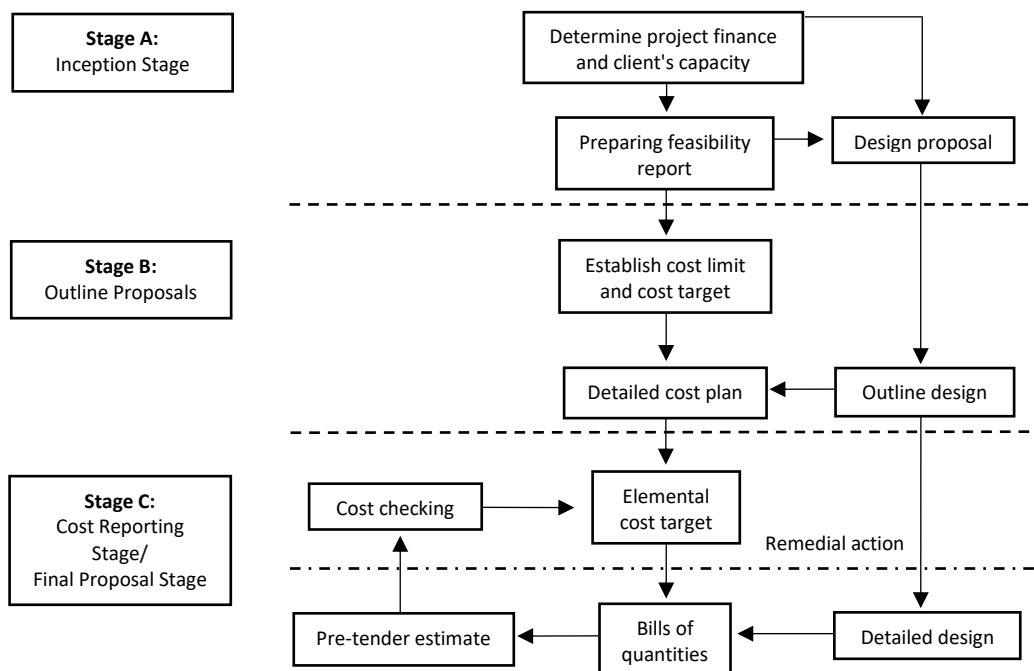


Figure 1. Outline of the Process Involved During the Cost Planning Stage

## **Factors Affecting the Accuracy of Cost Planning in A Construction Project**

### *Experience Related Factors*

Oosthuizen & Berry (2018) identified individuals as a significant basic achievement factor for Consultant Quantity Surveyor firms. To provide service in a timely, accurate and reliable manner, the people working in this firm are required to have cost data, experience and capability.

Cunningham (2015) report titled "Cost Control in the Pre-Contract Stage of a Building Project" expressed that to complete legitimate cost planning and cost control on a development, it is important to choose a cost expert to carry out the estimation. This expertise ought to be designated at the earliest conceivable stage and ought to be expert in every aspect of cost advice and ought to have understanding on the proposed development of a comparable size, nature and unpredictability.

### *Information Related Factors*

Odeyinka et al. (2013) mentioned that the lower the degree of accessible information at the beginning stage of a development, the higher the degree of risks and unreliability. The accessible information that is not sufficient at the early undertaking of a project may cause the Quantity Surveyor to make their own assumptions as respects the detail design of a development, which might eventuate as the design, arranging, and development advance (Adnan et al., 2013).

### *Design Related Factors*

Cunningham (2015) expressed that the procedure of cost planning and control intends to convey the most ideal design solutions as indicated by the allocated budget expenditure. Cost planning allows alterations, choices and adjustment on design to be reflected on a general cost structure and accomplish an overall effective design. Incomplete design information and design for cost planning during the pre-contract stage led to the inaccuracy of cost estimation.

The fundamental of cost planning in the pre-contract stage is to help designers accomplish a fair and prudent design solution that reflects cost effectiveness in terms of quality and financial capacity based on client priority. It guarantees that the tender figure is close as could be expected inside satisfactory range to the tender estimate (Pott, 2017).

### *Time Related Factors*

Cunningham (2013) report titled "Factors Affecting the Cost of Building Work" expressed that the client is concerned to complete the building as soon as possible once the decision to build had been made. At the early development stage, clients are attentive to know the total expense of undertaking a project. However, cost estimation at the pre-tender stage could prompt inaccuracy since they are often arranged at a tight schedule and frequently missing the concluding project scope. The inadequate sufficient time assigned for cost planning frequently leads to a poor execution of the cost estimate (Morrison, 2011).

### ***Cost Related Factors***

Kirkham (2017) mentioned that the cost planning process commonly pursues the outline design and detail design process. The initial cost estimate derived by using the 'ballpark' figure so that the client is able to obtain financial commitment in an early stage. Cost estimate is prepared based on the cost data obtained from previous projects and benchmarking with various projects for cost comparison between various elements. The cost data can be obtained from building cost information services (BCIS) through Royal Institution Chartered Surveyor (2013). This will permit cost planning to make a cost comparison with others similar types of projects and cost control on how much cost has been spent for each element in order to meet the client requirements.

### **Impacts Towards the Accuracy of Cost Planning in A Construction Project**

According to various studies by Frimpong (2010), Zhu and Lin (2013), construction projects have been facing cost overruns which lead to an extraordinary financial misfortune or even project disappointment in case of non-contingency (Smith & Jaggar, 2007).

According to Ostrowski (2018) cited in Ernest et al. (2017), cost planning assists designers to achieve balanced and economical design solutions in terms of better quality, buildability and project complete within budget. However, ineffective cost planning will cause the consultant's team to review design again and may result in time consuming and cost overrun. As Chan and Suen (2007) observed that inadequate cost planning practices has led to over budget in projects and causes delay of payment in the construction projects.

A report of Cunningham (2015) highlighted that cost control is to convey a high level of cost certainty in different phases of a project in order to complete within budget. Ernest et al (2017) stated “the adoption of effective cost planning practices is lacking to the extent that construction projects are often abandoned because poor cost planning practices lead to cost overruns”.

### **Solutions to Improve Accuracy of Cost Planning in A Construction Project**

Matipa et al. (2017) expressed that the requirement for successful cost planning has turned out to be progressively significant after worldwide financial subsidence. With comprehension of cost viability being a significant component in a successful construction project, cost planning becomes the most fundamental procedure to accomplish value for money. This conveys fulfilment to client and contractor subsequently controlling expense in terms of financial capacity and improving productivity respectively. To accomplish accuracy of cost planning, solutions for the issues are fundamental to improve the quality of cost planning in construction projects.

These are the suggestions from various researchers (such as Enshassi et al., 2013), where they mentioned that in each development project shall reflect in cost management procedure and to mitigate from continuous budget overwhelms. These are the basic criteria to regularly practice in their project. (1) Conduct frequent meetings to improve relationship between clients and consultants, (2) Quantity surveyors should be properly trained or experienced, (3) Provide sufficient time for designing and cost planning to produce high quality Bills of

Quantities (BQ) and tender documents, (4) Adopt effective lines of communications among project consultants in order to develop a better pre-contract cost planning process and (5) Client and consultants are advised to keep records for all cost information and build up a historical data of completed project.

## RESEARCH METHODOLOGY

In this research, a quantitative approach was used through survey questionnaires to determine the feedback and opinions from the Consultant Quantity Surveyors' perspective in Malaysia. The opinion and feedback of Quantity Surveyors is important to identify the causes and impacts of affecting the accuracy of cost plans in a construction project. Hence, survey research was used to obtain Quantity Surveyors' opinions on the level of accuracy of cost estimation and their suggestion to improve accuracy of cost plan in the construction project is essential.

### Research Approach

The questionnaires were structured to be open-ended questions and close-ended questions. The questionnaire was divided into four sections. Section A comprises the respondents background which include experiences, type and project size involved. Section B is to identify the important factors affecting the accuracy of cost planning. Section C is used to identify the impacts towards accuracy of cost planning. Section D is to determine the possible solutions to improve the accuracy of cost planning.

**Table 1.** Factors Affecting Cost Planning

Factors no.	Factors
Factors related to experience	
F1	Expertise of cost consultants
F2	Experience and ability of estimator
F3	Experience of project team involvement on construction, issuing of detail drawings and specifications
Factors related to information	
F4	Availability of price data
F5	Incomplete project information
F6	Accuracy of cost information
Factors related to design	
F7	Incomplete design during tendering stage
F8	Availability of sufficient design information
F9	Design changes
Factors related to time	
F10	Time available
F11	Client priority on construction time frame
F12	Time allowed prepare pre-tender estimate
Factors related to cost	
F13	Poor cost databases
F14	Source of cost data in estimating stage
F15	Suitability of cost data

(Source: Odusami et al., 2008; Alumbugu 2014; Olawale, 2010; Ernest Kissi et al., 2016)

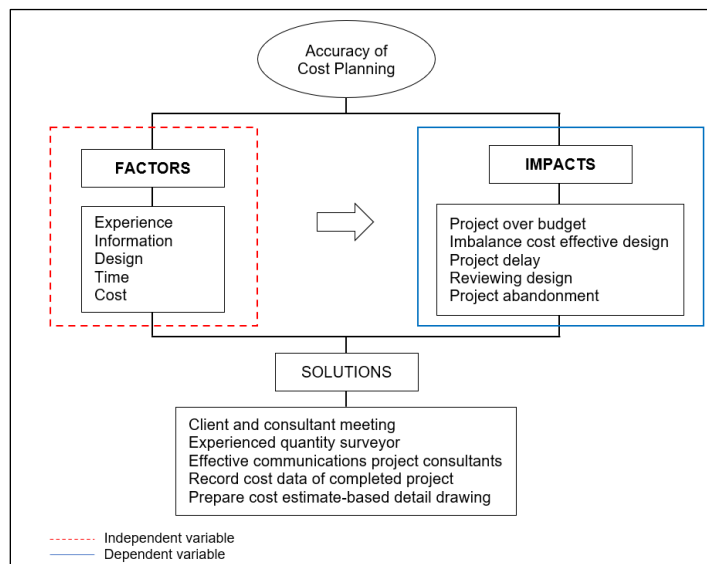
15 factors were identified as the most important factors affecting the accuracy of cost planning. These factors were used to form structured questionnaires in order to quantify the data and determine the most important variables that affect the accuracy of cost planning.

Table 1 shows the factors affecting cost planning. The operational measures of these factors are categorized into five groups as follows:

1. Factors related to experience
2. Factors related to information
3. Factors related to design
4. Factors related to time
5. Factors related to cost

## Conceptual Framework

A conceptual framework (Figure 2) was developed for this study to show the relationship between the independent variable (factors affecting the accuracy of cost planning) and dependent variable (impacts towards the accuracy of cost planning). The purpose of the framework is to analyse whether the variables have positive impacts towards the dependent variable or affect the accuracy of cost planning during the pre-contract stage.



**Figure 2.** Conceptual Framework

## Sampling and Population

This study was limited to the views expressed by Malaysian in the Kuala Lumpur area. The focus was on Consultant Quantity Surveyors Firm. In total, 364 emails were sent out and distributed to the Consultant Quantity Surveyor firms. The questionnaire was developed using Google Form and delivered through email to all respondents. However, some of the questionnaires were unable to reach the targeted respondents due to the outdated and invalid email address provided by the Board of Quantity Surveyor Malaysia.

The relative sample size was obtained based on the formula as follows:

$$S = X2NP (1 - P) / d2 (N - 1) + X2P (1 - P)$$

Where  $S$  = required sample size;  $X^2 = 3.841$  is obtained from the table value of chi-square for 1 degree of freedom at desired confidence level;  $N$  = population size;  $P$  = population proportion, always fixed at (0.50) as this would provide maximum sample size;  $D$  = degree of accuracy expressed as a proportion at (0.05).

The sampling size of this research study obtained is 187 from the calculated formula and 43 replies from the Quantity Surveyors firm had been successfully collected which contributed to a 23% response rate and within the acceptable range based on the sampling size obtained as the targeted population focused in the Kuala Lumpur area. The remaining 144 questionnaires were either left incomplete or responses from respondents failed to meet the criteria of this survey. Although several soft reminders have been notified to the respondents.

## Data Analysis

Frequency Distribution and Relative Importance Index (RII) methods were used in this study. The frequency distribution method was used to summarize the data collected from respondents who gave different variables of answers in the questionnaires. The variable will be analyzed in the form of bar chart and tabulation to show the number and percentage of frequency according to the total number of respondents. The relative importance index (RII) was used to describe the respondents' attitude towards the factors and impacts on the accuracy of cost planning. Researchers (such as Elhag, Enshassi (2013)) used RII to quantify the factors and impacts which have an effect on the level of accuracy. Hence, RII is used to analyze and obtain the result through the rating of scale to place the answers in positions with rank.

## DATA PRESENTATION, ANALYSIS AND DISCUSSION

### Key Findings for Objective 1: Factors Affecting the Accuracy of Cost Planning in A Construction Project

Table 2 shown below has summarized the most noteworthy factors affecting the accuracy of cost planning from each of the distinctive sorts of factor classification.

**Table 2.** Factors Affecting the Accuracy of Cost Planning in A Construction Project

Factors affecting accuracy of cost planning	RII	Rank
Experience and skill level of estimator	0.874	1
Accuracy and reliability of cost information	0.851	2
Availability of sufficient design information	0.819	3
Time available	0.781	5
Suitability of cost data	0.800	4

Results show that the most significant factor is the experience and skill level of the estimator with an RII score of 0.874. This is the most significant factor that affects the accuracy of cost planning. From Consultant QS' perspectives, it emphasizes that experience related factors have significant implications towards the accuracy of cost planning.

The second most important factor is the accuracy and reliability of cost information with RII score of 0.851. This demonstrates that cost information is important as the Client would



obtain the financial commitment based on the cost plan issued by Quantity Surveyor in the early stage to provide an accurate cost estimate.

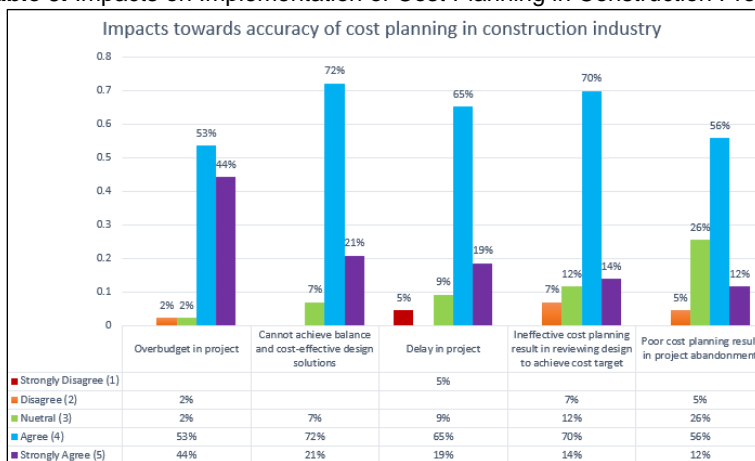
The third most significant factor is the availability of sufficient design information with RII score at 0.819. This shows that the completeness of design details and design discrepancies during the pre-contract stage could affect the accuracy of cost estimate and to accomplish value for money based on the client brief.

Suitability of cost information was the fourth significant factor with an RII score of 0.800. This indicates that cost data of the previous completed project could be easily obtained for cost comparisons in estimating future projects to improve the accuracy of cost planning.

Time available for designing and cost planning are less important factors with an RII score of 0.781. This indicates that the factors related to time have less influence in the preparation of cost estimates in the early stage.

### Key Findings for Objective 2: Impacts on Implementation of Cost Planning in Construction Project

**Table 3.** Impacts on Implementation of Cost Planning in Construction Project



The Table 3 shows that 44% responded that the impact towards the accuracy of cost planning will cause over budget to overall project, 21% agreed that the cost estimate cannot achieve balance and cost-effective design solutions, whereas 19% responded that the project will delay if the budget is exceeded, 14% responded that ineffective cost planning result in reviewing design to achieve cost target and 12% only agreed that the project will be abandonment.

The respondent mostly agreed that poor accuracy of cost planning may result in budget overrun and clients may not have sufficient funds to complete the overrun project. Besides, it will cause clients to overspend the budget and will give the impact on the client's revenue. According to previous studies as discussed in the literature review, Frimpong et al. (2010), Zhu and Lin (2013) had stated that construction projects have been facing cost overruns.

Although these become common in construction projects, they lead to an extraordinary financial misfortune or even project disappointment in case of non-contingency.

Unable to achieve balance and cost-effective design solutions is the second major impact in this finding because respondents agreed that drawing and design needs to be amended to meet the budget. According to Ostrowski (2018) cited in Ernest et al (2017), cost planning assists designers to achieve balanced and economical design solutions that are cost effective to the required quality, function and within budget. The essence of cost planning is to assist designers to achieve a balanced and economical design solution in order to meet the value for money to overall development.

Delay in project is third ranked impact towards the accuracy of cost planning. Respondents agreed that poor accuracy of cost planning will impact the client's financial cash flow resulting in the delay of progress when no sufficient budget is allowed to pay for the main contractor, sub trade and supplier. Chan and Suen (2007) stated that inadequate cost planning exercises have led to over budget and delay payment in the construction industry.

Ineffective cost planning results in reviewing design to achieve cost targets is the fourth major impact of cost planning because respondents agreed that poor cost planning could lead to budget bursts and clients do not have much capital to sustain. Besides, ineffective and poor cost planning serve no purpose for cost effective design and lead to the failure in completing the project. Pott (2016) stated that majority clients must work within tight financial capability if the budget or cost plan is inaccurate.

According to the findings, poor cost planning resulting in project abandonment is less likely to occur as it depends on how realistic the budget is and how flexible the client is towards meeting the budget or cost plan. Respondents agreed that without proper cost planning the client will face cash flow issues and hence, it may cause the organization to put on hold or to halt the project.

### **Key Findings for Objective 3: Solution to Improve the Accuracy of Cost Planning in A Construction Project**

**Table 4.** Solution to Improve the Accuracy of Cost Planning in A Construction Project

<b>Solution to improve the accuracy of cost planning</b>	<b>RII</b>	<b>Rank</b>
Conduct frequent meeting to improve relationship between client and consultant	0.684	5
Quantity surveyors should be properly trained or experienced	0.781	4
Provide sufficient time for designing and cost planning	0.828	2
Adopt effective communication among project consultants	0.809	3
Record all cost data to develop a historical database of completed project	0.847	1
Detail drawing to prepare cost estimate	0.809	3

Based on the Table 4, results show that to develop a historical database of completed projects is the most significant solution in order to improve accuracy of cost planning (RII 0.847). Respondents have mentioned that it is better to have more than three similar projects for cost comparison to get a more accurate cost plan in future projects. Hence, the record of all the cost data is relatively important and it can be obtained from building cost information services (BCIS) though Royal Institution Chartered Surveyor (2013).

Besides, providing sufficient time for designing and cost planning is the second significant solution (RII 0.828) as respondents highlighted that time constraint will affect the quality of the works and the reliability of the overall cost. In addition, the Consultant Teams may make an error and reduce the productivity if they are working overtime in order to meet the dateline. Thus, the time management between the Consultant Teams and the Client are relatively important in order to achieve a quality and reliability of cost planning.

Obtaining detail drawing to prepare a cost estimate is third ranked (RII 0.809) from the finding. Respondents agreed cost planning should be prepared based on full information available during the preliminary stage. Hence, Quantity Surveyors are not required to make any unnecessary assumptions when preparing the cost estimate.

Adopt effective communications among project consultants is also ranked as third (RII 0.809) as the direct communication among consultant teams could eliminate discrepancies and design errors. Therefore, it is essential that all the project consultants' team are required to provide regular updates to notify the status of the project as well as its performance capacity.

Quantity Surveyors should be properly trained or experienced (RII 0.781) in order to produce an accuracy of the budget. With experience in cost management of projects and professional industry practices, the Quantity Surveyor must have principal knowledge in terms of technical, business, regulatory and legal matters in order to capture the cost in the budget. Hence, the competencies of the Quantity Surveyor in measuring and managing the cost estimation is essential in construction projects.

Conducting frequent meetings to improve the relationship between client and consultant (RII, 0.684) is the least important solution to increase accuracy of cost planning. Most of the time, the timeline given is not sufficient for the consultant teams to conduct the meetings regularly, thus they have to make an assumption and clarification upon the submission of budget.

## **DISCUSSION**

This research aims to identify the approaches to improve the accuracy in preparing cost planning in the construction industry, Malaysia. Literature research has been conducted to gather the information for objective 1 on the factors influencing the accuracy of cost planning. The findings showed that the top 3 significant factors affecting the accuracy of cost planning are experience and skill level of estimator, accuracy, reliability of cost information and availability of sufficient design information to produce an accurate and precise estimate.

The findings for objective 2 showed that the top 3 significant impacts towards accuracy are project over budget, cannot achieve cost-effective design and project delay which is relatively important as it will influence the cost overrun in development projects. In practice, the cost overrun becomes a significant issue in development projects. Inadequate estimating invariably leads to misallocation of scarce resources and eventually overspending on the client's budget.

For objective 3, the top 3 solutions to improve the accuracy of cost planning are: (1) record all cost data to develop a historical database of completed projects, (2) provide sufficient time for designing and cost planning and (3) adopt effective communications. It has been shown that the most effective solution is the cost data. Quantity surveyors are advisable to keep records for all cost information and build up a historical data of finished projects. This data ought to be the primary source of data that will be utilized in forecasting the cost of future projects. Besides, the client shall provide sufficient time to the consultant's team as it will influence the quality of documents and the accuracy of the cost planning.

## CONCLUSION

In essence, this research contributes the approaches to improve on the accuracy of cost planning in the construction industry, Malaysia. The outcome of the findings served as a guidance for the practitioners to implement it especially the Quantity Surveyors are preparing the cost planning. The findings of this study give more consideration in the cost planning process in order to achieve the accuracy and the precise estimate.

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# PROPOSING BIM-RELATED CLAUSES IN STANDARD FORM OF MALAYSIAN CONSTRUCTION CONTRACTS

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## **Abstract**

Building Information Modeling (BIM) is becoming mandatory for use on procured public sector works in many parts of the world. This serious effort of BIM implementation means that the contract documents for the contractor and through the supply chain and professional consultants will need to consider the specific implications of BIM. Therefore, a standard of approach of BIM and building contracts is essential to allow the process to work as it should and avoid disputes. Especially in Malaysia, there is still an absence of a standard form of contract for BIM. Therefore, this research aims to propose an implementation of BIM for the existing clauses of Malaysian standard form of contracts. This research started with a comparative analysis of contractual obligations that influences BIM-based project in Malaysia and the BIM conditions of international contract, by considering BIM International Standards BS EN ISO 19650-1 and 2 as a baseline. After the preliminary proposed conditions are developed, experts' interview was conducted to enrich and validate the findings by implying thematic analysis. The respondents were among the prominent BIM implementers and Quantity Surveyors in Malaysia, which was selected using snowball sampling method. Finally, the modified conditions area proposed according to the suitability of existing clauses on PWD Form of Contract 203A and PAM Form of Contract 2018. The findings are hoped to assist stakeholders including clients, architectures, quantity surveyors, engineers understand their liability and scope of work clearer in BIM process. At the same time, to allow smooth process, to reduce the risk and cost of projects, as well as, to avoid disputes.

**Keywords:** *Building Information Modeling (BIM); contract; construction management; law; standard form.*

## **INTRODUCTION**

Building information Modeling (BIM) is an encouraging development in the architecture, engineering, construction, and operations industry due to its data-rich digital representation of building components. As adoption of BIM is growing in the industry, implementation of BIM in existing contracts has become concerns of the industry. A survey was found that 67% of the respondents felt the existing form of contract used in the industry now, are not suitable for implementation of the BIM system due to lack of and 50% agreed that the contract still lack of express term related to BIM (Winfield, 2016).

In Malaysia, the most common and widely used condition of contracts in Malaysia construction industry are PWD 203A and PAM form of contract. Both of these standard forms are still absence with standard approach covered the liabilities required in BIM-based projects. The discrepancy between the perceptions and contractual liability causes misunderstanding and unwanted disputes when adopting BIM system in a project. There are potential inconsistencies, confusion and gaps in liability due to lack of express terms dealing with process and risk allocation of BIM (Winfield, 2016). This leads to more time and money needed to generate an accepted condition between the parties. For an example, the first reported BIM case was in 2017; a case that caused by the misunderstanding or unclear contract term is *Trant Engineering v Mott Macdonald*. Both parties had suffered a large sum of legal fees and affected their commercial relationship when the case was brought up to the Supreme

Court in the United Kingdom (UK). This can be avoided if both parties have a clear contract terms that described their rights and duties in the contract before interpretation of the term and right needed to be done by the courts and lead to considerable cost (Sinclair, 2018).

There are many aspects of legal issues related to BIM, namely responsibility and roles of stakeholders, storage and security of the data, interoperability, protection of intellectual property, professional liability, copyright, ownership issue, confidentiality, allocation of risk and archiving and disposal of information (Manderson, 2015). New country-specific form of contracts would be required to cover the various relationships, that is consultant and employer, contract and sub-contractor which are affected by working method of BIM (Udom, 2018). This paper aims to propose BIM-related clauses for the existing Malaysia standard forms of contract considering common BIM legal issues. To achieve the aim, the delineated objectives are to identify the BIM related issues through a comparative analysis of international and local BIM documents, and finally proposing BIM-related clauses for an improvement of existing form of contract for Malaysia construction industry.

## LITERATURE REVIEW

### Building Information Modeling (BIM)

BIM is described as the process of gathering input from team members through complex multiphase process to model the components (Viscuso et al., 2020). BIM is a tool that will be adopted during the process of construction to produce an exceptional perspective of the building process and to handle 3-dimensional (3D) models of a facility's structural and operational features (Galiano-Garrigos et al., 2017). These models are then stored in databases used by the various stakeholders involved in the project and can be constantly revised to make sure that owners, architects, design teams, suppliers and building managers are able to work with similar data. The method of visualisation of model would mean that project stakeholders can improve their understanding on the project's approaches and alternatives available and provides a reliable basis for informed decision-making throughout the lifetime of the project, from design and construction to its operation and eventually demolition.

In any BIM-based construction projects, BIM Execution Plan (BEP) is now an essential element, especially for particularly large or complex projects with a number of different parties in collaboration. The plan can principally be used to help ensure that all parties are on the same page when it comes to collaborating and taking the project forward. It should show an overall plan of execution, keeping the big picture clear and in focus.

Barnes (2019) outlines information that should be included in the BEP, including how the data in the actual BIM files should be generated, managed, documented and shared. It should include elements such as agreed roles and responsibilities within the BIM process, a strategy for key deliverables, and a guide to vital project milestones. Project Implementation Plans (PIPs) and Task Information Delivery Plans (TIDPs) can be components of the overall implementation plan, which demonstrates when information will be prepared, who is responsible for doing what, and which protocols and procedures they will use. The BEP should also include practical working procedure details such as file name conventions and the software that will be used, as well as a common set of annotations, abbreviations, and symbols to be used in the BIM process.

However, BEP is not a set of standards to be issues by one party which everyone must comply. It is often poorly compiled and lack the information necessary for them to be properly useful. As a consequence, BEP is often not been expressed on the contract but is treated as a guideline for the project team to follow.

## **Legal Issues of Adopting BIM in Construction Contract**

BIM raises a number of specific legal and contract issues aside from organisation, people and technology issues. The issues are largely from the creation and use of BIM model, share risk and liability for those responsible of the model during the whole construction lifecycle.

### *Intellectual Property Rights (IPR)*

As various parties will contribute to the BIM model, a BIM protocol should make sure every contributor guarantee that they hold the IPR over the contributions they have made (Stepanenko et al., 2019). Generally, IPR is recognised by the intellectual property law for every distinctive contribution and this should be included in the BIM protocol.

### *Model Reliance Risk*

Conventionally, the risks of reliance are managed by the project designers. However, according to Jamil & Fathi (2018), the process of BIM allows direct model access to various parties, i.e., those who will make decisions and resources allocation based on the information contained in the models.

### *Model Management*

Model manager processes a single model containing all information from the various components is compiled. He should be responsible to ensure the model presents organised information which can be shared and accessed during all phases of project and building's life cycle. Udom (2018) highlighted among the issues are model access, software matrix, roles for model manager and how to replace the manager, and responsibility to bear the costs of these when anything arising from this role.

### *BIM Management*

BIM management mission is to integrate the objects issued by the different BIM contributors into a single digital model and then to manage their interfaces to ensure the overall consistency of the model, with respect to delivery schedules and final parameters desired by the client. It shall include liability on missing information, problematic design and discrepancies in the models (Mäki & Kerosuo, 2015).

### *Risks Allocation*

Extraordinary liability issues may be created by the technology on which BIM is based. Therefore, the liability related to software and technology issues between consultants who opted these technologies and have expertise on those technologies, and the client or owner of the BIM process should be carefully mapped out. The parties should consider obtaining



insurance coverage to protect them from the liability for errors in their contribution to the model (Jiang et al., 2018). This shall include the delay, the extension of time and costs arising from BIM errors. On top of it, a BIM contingency fund suggested to be created according to the proportion of contributions from each party (Chang, 2018). The fund could be used to cover the unforeseen costs that arise during the BIM process that applicable by the project participants.

## **METHODOLOGY**

### **Data Collection**

The research began with an extensive literature based on Gough, Oliver & Thomas (2017)'s approach, which involving clarifying the research questions, determining and describing the related research, evaluating the reports of research systematically, concluding the finding into a logical and coherent statement (synthesis), and establishing the distinctness that can be made from the research. In this research, this method is used as primary research to answer some specific question, i.e., legal issues arose by BIM projects, strategies that taken by other countries to resolve the legal issues, the concerned issues by the industry regarding the contract of BIM projects. The literature searches were conducted by using independent databases such as Web of Science, Scopus, ScienceDirect and Wiley Online Library. The search was set to English language and restricted to papers published between 2015 to 2020 to get the last five years of studies under keywords: BIM legal issues, BIM contracts, BIM disputes, BIM roles and responsibilities, BIM liability and BIM risks.

In the next stage, a comparative study was conducted assessing prominent addendums and BIM guides, internationally and locally. On top of these, Malaysia standard form of contracts were also assessed looking into gaps on BIM-related clauses based on the common legal issues identified in the earlier stage.

Subsequently, a preliminary addendum was developed to address BIM legal issues. This preliminary addendum was presented to the experts through semi-structured interview sessions. The purpose of conducting this activity was to get suggestions and verification from the local experts.

The targeted respondents involved were BIM manager, Quantity Surveyors, contract managers, and BIM-based project contractors who were able to express their experience and viewpoints on BIM and legal issues that faced by the industry. They were identified through a snowball sampling due to an absent of population database in determining the targeted respondents. A few targeted respondents were listed before conducting the interviews sessions. Then, contact information of the targeted respondents were obtained from the company's official websites. Then, invitation to participate in the study were sent via email to each of them. Interviews were conducted with those providing positive responses. The respondent was then requested to recommend another potential respondent that meet the criteria. The steps to contact the recommended respondent were repeated as described. A total of 5 face-to-face interviews were conducted. The sessions were recorded, and the audible data was then transcribed.

## Data Analysis

Data were analysed using a thematic analysis. Transcribed data was assessed to identify the general ideas collected from all the respondents according to themes. The themes were then coded to group similar information, subsequently the information was tabulated in a table form. Finally, the findings were verified by the same respondents through electronic mail.

## ANALYSIS AND DISCUSSION

### Contractual Provisions for BIM-based Projects

The important purposes of the contract are to clearly state the obligations and right of the parties that involved in the contracts, and also to distribute the risk between the parties that involved (Eggink, 2020). In Malaysia, two most widely used standard form of contracts are PWD 203A by the Malaysian Public Works Department (PWD) and PAM form of contract by the Malaysian Institute of Architects (PAM). PWD 203A is a form of contract based on bills of quantities for public sector or government projects in civil engineering and building contracts (Zakaria, 2013). On the other hand, the PAM contract is widely used in private sector institutional, commercial, housing and other projects of building (Rajoo, 2009). Both of these, unfortunately, have no contractual provisions BIM.

Internationally, the Chartered Institute of Building's Complex Projects Contract 2013 (CPC 2013) is the first standard form of construction contract to include BIM clauses in its provisions and appendices that was designed for international construction and building projects (Gibbs et al., 2015). This form of contract is suitable for various procurement methods with the option of proposing special conditions for respective project (Pickavance, 2014). CPC 2013 is accustomed on programming, resource data and keeping the record to recommend the best practice (CIOB, 2011). The contract introduces new roles, which are time manager and data security manager (Gibbs et al., 2015). This contract is applicable for both BIM and conventional projects and it is included BIM in the clauses and appendices with the support of BIM protocol.

Whereas United States of America has developed a BIM specific contract initiative as an addendum to be attached with an existing agreement. The AIA Document E203-Building Information Modeling Protocol Exhibit is legally binding document to solve legal issues that are related to BIM (AIA, 2018).

In Singapore, BIM Particular Conditions were introduced as an attachment to the construction contracts when applying BIM to a project (Corenet, 2018). The first version of BIM Particular Conditions was published as Appendix E in the Singapore BIM Guide Version 1.0 originally. Then, it was updates and revised into BIM Particular Conditions Version 2.0 and being released on August 2015.

The table below shows the main difference between the three addendums; BIM Particular Condition Version 2.0, CPC 2013, and AIA Document E203 in covering the issues for intellectual property rights, model reliance risk, model management, BIM management and risk allocation.

**Table 1.** Comparison Between International Documents

Particulars	Addendums		
	BIM PARTICULAR CONDITIONS VERSION 2.0	CPC 2013	AIA DOCUMENT E203
Country	Singapore	UK	USA
Year	2015	2013	2013
Intellectual Property Rights	Licence & Sub-License		N/A
Model Reliance Risk	Model Author is not liable for use of model beyond the Level of Details (LOD) Specified	N/A	Model Author is not liable for use of model beyond the Level of Details (LOD) Specified
Model Management	N/A	N/A	Archives & Milestones
BIM Management	Every Party is liable to reduce discrepancy & BIM manager facilitate resolution of discrepancy	Designer shall be liable for the integrity of the model	Architect is liable for the discrepancy
Risk Allocation	Every Party is liable to reduce discrepancy & BIM manager facilitate resolution of discrepancy	External Party being employed to create the required information at the cost of the contractor if contractor fail to produce required information	N/A
	Each party is not liable for any corruption/ Unintended Amendment/ Modification or Alteration	N/A	N/A

Note: N/A = Not Available

All the addendums highlighted that the copyright and ownership of the 3D BIM model shall remain under the model author after the project. While additional from the BIM Particular Conditions Version 2.0 and CPC stated that the project team member will grant the employer a non-exclusive license, the employer shall grant the sub-license to other project team member for them to access to the BIM model during the construction period. However, this is not mentioned in the AIA Document E203.

Secondly, for model reliance risk, BIM Particular Conditions Version 2.0 and AIA Document E203 proposed that the model author is not liable for use of model by any project team member during the construction period beyond the Level of Details specified in the BIM Execution Plan or agreement. While this is not included in CPC 2013.

Only one addendum mentioned about the model management in it, i.e., AIA Document E203. AIA Document E203 stated that all the progress shall be kept as record without alterations when every milestone of the project is met. Any Changes made on the identified milestones by any project team members, it shall be indicated. These are known as the archive section in this document.

The addendum has indicated the person should be liable when discrepancy happened respectively. BIM Particular Conditions Version 2.0 stated that every Party is liable to reduce discrepancy and the BIM manager facilitate resolution of discrepancy. CPC 2013 at the designer shall be liable for the integrity of the model which is similar to the AIA Document E203 stated that the Architect is liable for the discrepancy happened during construction period.

The last main issues that compared among the documents is risk allocation. In BIM Particular Conditions Version 2.0 of Singapore, it stated that Each party is not liable for any corruption/ unintended amendment, modification or alteration. However, this is not mentioned in other addendums. While CPC 2013 has proposed that external Party being employed to create the required information at the cost of the contractor if contractor fail to produce required information. This is not mentioned in the other document as well. However, none of the condition as above is mentioned in the AIA Document E203.

## **BIM Guides and Protocols**

In most of the developed countries which have successfully implemented BIM practice in the construction industry, have enacted a BIM guideline to define the requirements, rules and regulation (Jo et al., 2018).

A new set of International Standards ISO19650 has just been published to enable BIM to flourish across projects and borders, benefitting the industry as a whole. It was developed on the basis of the tried-and-tested British standard BS 1192 and publicly available specification ISO19650, which have already been shown to help users save up to 22 % in construction costs (Naden, 2019).

In UK, the CIC BIM Protocol was published in 2013 functions as a legal agreement (McPartland, 2018). There are two appendices featured in this Protocol which the Appendix 1 contains the model production and delivery table to employer required BIM models at every stage of the project and the Appendix 2 includes all the information management standards details that required in the projects (Construction Industry Council, 2018). On top of it, the Protocol also covers rights of client and the contracted party, responsibilities, liabilities and limitations, copyright, expected deliverables and collaborative practices and the use of ISO19650 for information management (McPartland, 2018).

Malaysia has two BIM guides, published by the PWD and by the Construction Industry Development Board (CIDB). The PWD's document is known as JKR BIM Standard and Guidelines made for public projects that adopt BIM process. The purpose of the document is to provide understanding, knowledge and useful information for every party involved in BIM-based project to increase the competency of each officer and to add on value on the system of project delivery. On the other hand, the CIDB's BIM Guide that comes in 5 series are more generic. The series cover awareness, readiness, adoption, BEP, and project guide.

The table below shows the main difference between the three guidelines and protocol (CIC BIM Protocol 2013, Malaysia CIDB BIM Guide, ISO19650 and JKR BIM Guidelines) in covering the issues for intellectual property rights, model reliance risk, model management, BIM management and risk allocation.

All the guidelines and protocol highlighted that the copyright and ownership of the 3D BIM model shall remain under the model author after the project except JKR BIM guidelines. Additional from the CIC Protocol 2013 stated that the project team member will grant the employer a non-exclusive license. However, Malaysia CIDB BIM Guide stated that the intellectual property right shall be fully transferred to the client upon full payment which is opposed to the other two documents.

**Table 2.** Comparison Between International Guides

Particulars	Guidelines and Protocol			
	ISO19650	CIC BIM Protocol	JKR BIM Standard & Guideline	CIDB BIM Guide
Country	UK	UK	Malaysia	Malaysia
Year	2013	2013	2014	2017
Intellectual Property Rights	Copyright & ownership remains under creator.	Copyright & ownership remains under creator	N/A	Intellectual Property Right transferred to the client upon full payment.
	N/A	License and sub-license are to be granted	N/A	N/A
Model Reliance Risk	N/A	N/A	N/A	N/A
Model Management	Model archives & milestones	N/A	N/A	N/A
BIM Management	N/A	Project Team Manager shall be liable for Model Produced.	N/A	N/A
	When there is deviation, model should be updated in 24 hours/ 48 hours	N/A	N/A	N/A
Risk Allocation	N/A	Each Party is not liable for any corruption/ Unintended Amendment/ Modification or Alteration	N/A	N/A

Note: N/A = Not Available

Secondly, for model reliance risk, none of the documents cover for this legal issue. Only one of guidelines mentioned about the model management in it, i.e., ISO19650 stated that all the progress shall be kept as record without alterations when every milestone of the project is met. Any Changes made on the identified milestones by any project team members, it shall be indicated. These are known as the archive section in this document.

The only guideline has indicated the person should be liable when discrepancy is CIC Protocol 2013. CIC Protocol 2013 stated that the Project Team Manager shall be liable for Model Produced when there is discrepancy. While ISO19650 stated that when there is deviation, model should be updated in 24 hours or 48 hours.

CIC BIM Protocol stated that each Party is not liable for any corruption or Unintended Amendment or Modification or Alteration. While the other documents do not mention about risk allocation.

### **BIM Legal Issues and Related Clauses of Local Standard Form of Contracts**

Two prominent standard form of contracts in Malaysia construction industry were assessed, namely PWD 203A and PAM 2018 form of contract. Issues regarding Level of Details (LOD) of BIM model could possibly related to Clause 6.1 of PWD 203A. It states that

contractor is responsible to construct and complete the works with goods, materials and workmanship of standards according with best industry practice. On the other hand, PAM 2018 states under Clause 6.5, that contractor is responsible to take required actions, if the Architect finds any materials, goods or workmanship is not comply with the contract. However, the responsibility for the development of the BIM model is not mentioned should be taken by any party in both contracts.

For the model management issue, Clause 8.1(c) of PWD 203A states that it is the duty of a Superintendent Officer (SO) to provide contractor with further drawings, details, levels and other information to ensure that the contract can finish the job in time. On the other hand, PAM 2018 states that it is the duty of the architect to provide the contractor with further drawings, details, levels and other information to ensure that the contract can finish the job in time. However, both contracts do not state that the BIM model should be managed by which party in these clauses.

Issues related to risks allocation are stated under Clause 8.2(b) of PWD 203A and Clause 1.3 of PAM 2018. The public contract states that it is the responsibility of contractor if there is any discrepancies and divergence in contract documents and following documents. While the later contract allocates the responsibility to the contractor and rectification should be done with architect or engineer. As BIM model have more than one participant during the process, everyone contributes to the model. The liability for inaccurate contribution to BIM model should be who's responsible is not mentioned in these clauses.

The BIM model ownership issues is related to Clause 8.1(e) of PWD 203A and Clause 3.10 of PAM 2018. Both of these contract's state that drawings, details, specifications, unpriced copy of Summary of Tender, Provisional Bills of Quantities to the client. Both documents do not mention about the data of BIM model, and under these clauses, it could be possibility suggest that the BIM model is belong to the client.

Clause 64.2 of PWD 203A and 7.0 of PAM 2018 are related to Intellectual Property Rights. Contractors should be responsible for any claim from the client if the equipment supplied infringes the copyright, patent or registered design under PWD 203A. In contrast, PAM 2018 allows contractor to compensate to the client if the contractor infringed any intellectual property of the client. Both contracts do not state the right of the contractor as contractor is also one of the contributors to the BIM model.

## **Comparison of BIM Legal Issues Coverage**

Table below shows the comparison of BIM legal issues coverage by the International Addendums, Standards & Guidelines and Existing Standard form of Contract in Malaysia. It summarizes that none of the addendums or guidelines available managed to cover all the common legal aspects which are intellectual property right, model reliance risk, model management, risk allocation and BIM management. Singapore BIM Particulars 2.0 and AIA E203 cover the most which is four aspects, followed by CPC 2013, CIC BIM protocol and ISO19650 which only cover 3 aspects and Malaysia standard form of contracts cover the least legal aspect.

**Table 3.** Comparison of BIM Legal Issues Coverage

BIM Legal Issues	Addendums			Guidelines			Malaysia Contract		
	Singapore	CPC	AIA	CIC	ISO	CIDB	JKR	PAM	PWD
Intellectual Property Right	/	/	/	/	/	/			
Model Reliance Risk	/		/						
Model Management			/		/				
BIM Management	/	/	/	/	/				
Risk Allocation	/	/		/					

### Considerations for BIM Legal Issues in Standard Form of Contracts

Based on the semi-structured interviews that were conducted with experts in Malaysia, there are several considerations need to be considered in drafting BIM-related clauses.

Standardisation of the terms are agreed by all respondents important to avoid misinterpretation among international or local construction players involved in a BIM-based project as. Alternatively, if different terminologies being used, the definition of terms are crucial to ensure all parties involved have a common understanding.

The LOD each element shall vary according to the project. Therefore, all respondents agreed that LOD is not suitable to be mentioned in a standard form of contract, however, LOD should be described in a BEP and legally binding.

Half of the respondents were in consensus to suggest details on BIM dimension are stated clearly in the addendum. This will lead to a clearer BIM project objectives and dispute on the deliverables could possibly be reduced by stating the BIM dimension of the deliverable in the addendum. Another point of views, BIM dimensions should be stated in the condition of tendering or described in BEP and should not legally binding due to various standard definitions regarding this matter.

Majority of the respondents highlighted that the copyright and ownership of the model should be owned by client as the model is included in the payment done by client to the model modeler. Furthermore, this can ease the data and facilities management during the operation and maintenance phase. Additionally, one respondent suggested that an agreement between the modeler should clearly state the BIM ownership transfer during the handover.

All the respondents suggested that the client should be responsible for the cost of the license granted for accessing to the BIM model in a BIM project, and the number of licenses granted to access the model shall be priced in the Bill of Quantities.

There were two respondents stated it is needed for a data security manager to be included in a BIM-based project. However, majority of the respondents were not in consensus due to limited job scope carried by a data security manager. As an alternative, it should be a part of the BIM manager's responsibility or under the construction organisation's management.

All respondents in agreement to suggest that discrepancy of the BIM model should be under the responsibility of the Architect who plays as the main BIM modeler, which should also coordinate data inputs during construction phase. Architect could transfer the responsibility to a BIM Manager.

### **Preliminary Addendum: Analysis for Contents Improvement**

The improvements of the addendums are done based on the comments given by the respondents during the interview sessions.

**Table 4.** Improvement Made on the Preliminary Addendum

<b>Particulars</b>	<b>Preliminary Addendum</b>	<b>Improvement</b>	<b>Finalised</b>
<i>Part 1: Definitions</i>	Comprises of 18 definitions	Reduce Redundant Information & repeated terms.	Comprises of 10 definitions
<i>Part 2: BIM Team Roles and Responsibility</i>	BIM Manager BIM Coordinated	Reduce confusing by excluding BIM process from construction process	Removed
<i>Part 3: Model management</i>	5 clauses proposed	Clarified on the liabilities	Clause 3.3 and 3.4
<i>Part 4: Model Reliance Risk</i>	3 clauses proposed	N/A	Remain unchanged
<i>Part 5: Risk Allocation</i>	4 clauses proposed	N/A	Remain unchanged
<i>Part 6: Intellectual Property</i>	6 clauses proposed	Amended to suit the practice in Malaysia	5 clauses
<i>Part 7: Extension to existing clause in PWD203A and PAM 2018</i>	Not exist	To enhance the understanding on deliverables	4 clauses proposed for PAM 2018 and 2 Clauses proposed for PWD 203A

#### *Part 1: Definition*

The first section in the first draft of the addendum comprises of 18 terms with definitions. They are 1.1 Building Information Modeling (BIM), 1.2 BIM Guide, 1.3 BIM Execution Plan, 1.4 BIM Manager, 1.5 BIM Coordinator, 1.6 BIM Modeller, 1.7 Contribution, 1.8 Information Model, 1.9 Project Information Model, 1.10 Asset Information Model, 1.11 Design Intent Model, 1.12 Author, 1.13 Employer, 1.14 Principal Agreement, 1.15 Common Data Environment (CDE), 1.16 Archive, 1.17 Information Exchange and 1.18 Level of Definition. The definition of these 18 terms is referring to the ISO19650, BIM Particular Conditions Version 2.0 Singapore and CIDB BIM Guide Malaysia.

However, at the finalised version of the addendum, 8 clauses were removed. Respondents agreed that the BIM process should not be mixed up with the construction process. Term 1.5 BIM Modeller and 1.6 BIM Modeller are roles that only involve in the BIM process but not the construction process, therefore, it should not be included in the addendums for construction contract.

The term which is 1.13 were removed from part 1 of the addendum to reduce repeated information. According to respondents, the “employer” is defined in the standard form of contract PWD 203A 2010 and PAM 2018, therefore any term “employer” that appears in the addendums shall be referred to the main contracts. If the same term were defined again in the addendum with different definition, it might contradict to the main contract and hence arise



dispute and misunderstanding between the users of the contract. In any event, the addendum should not prevail, therefore, repeated information which may contradict to the main contract shall be removed.

Lastly, term 1.18 is removed from the addendum as well due as there is still lack of standard which is followed by everyone in the construction industry. Therefore, one of the respondents suggested that it should not be defined with any standard which can defined the term clearly as a support. The details of term 1.18 shall be included in the BEP instead of the construction contract. As the definition of the term may be customised due to different understanding by the client or BIM consultant. Therefore, it is not suitable to be included in an addendum to be attached to the standard form of contract.

In a conclusion, the finalised version of addendums contained of 10 terms with definition respectively to reduce confusion of the user and repeated information.

### *Part 2: BIM Team Roles and Responsibility*

The second section contains the roles of BIM team members with 2 main clauses and 14 sub-clauses. Clause 2.1 explained about the roles of a BIM manager with 8 sub-clauses in detail and clause 2.2 explained about the roles of the BIM coordinator in detail with 6-sub clauses.

As the addendum is made for construction contract, therefore role of the BIM team should not be included in the addendums. The roles of the BIM shall be included in the contract of BIM process or the BEP. Therefore, it was removed from the addendums to reduce the confusion of the contract user on construction process and BIM process.

### *Part 3: Model Management*

There are total number of 5 clauses explain about how to leave an audit trail for the model modification, the mechanism of correcting the 3D BIM model, who shall be responsible for the discrepancy happened and clash checking. Amendment was done on clause 3.3, where in the first draft of the addendums, it stated that when discrepancy is found between the latest versions of a model by any party, the party should inform the BIM manager. This clause is found contradict to the clause 1.4 of PAM 2018. In clause 1.4 of PAM 2018, it stated that the contractor found any discrepancy between the contract documents, he shall notify the Architect by written notice. There were disputes where, if there is discrepancy, who shall be referred to.

The main contract which is the standard form of contract, PWD 203A and PAM 2018 shall take precedent. Therefore, the addendum was modified to suit the main contract where the BIM manager is replaced with the Architect/SO to avoid conflict between the construction contract with the addendums.

Clause 3.4 which described about the requirement of clash checking should be done during the construction period. This clause is enhanced by stated the party who should be responsible for it. Contractor shall be responsible to carry out the clash checking during the construction period. Therefore, the party to carry out clash checking where specified in this clause.

#### *Part 4: Model Reliance Risk*

There are 3 clauses proposed under this part describing about model reliance risk. All the respondents agreed that the clauses are suitable to be included in the addendum and there were not changes shall be made onto these clauses. No comment was given by the respondents for the clauses.

#### *Part 5: Risk Allocation*

There are 5 clauses proposed under this part describing about model risk allocation. All the respondents agreed that the clauses are suitable to be included in the addendum and there were not changes shall be made onto these clauses. No comment was given by the respondents for the clauses.

#### *Part 6: Intellectual Property*

There are 6 clauses proposed under this part describing about intellectual property right in the first draft of the addendum. In the finalised version of the addendum, there is only 5 clauses left. The clauses are removed and amended to suit the practice of Malaysian construction industry. Majority of the respondents highlighted that the copyright and ownership of the model should be owned by client for same reasons. According to the respondents, the copyright and ownership should fully transfer to the client for suitability of practice Malaysia to reduce dispute. They stated that normally payment of client has included the ownership and copyright of the BIM model in Malaysia. Therefore, the copyright and ownership should be owned by client in Malaysia.

The respondents also emphasized that full transfer of copyright and ownership to the client up to full payment can ease the facilities management process after the construction. Client does not need consent from the model author for using the model to conduct facility management in the future. Therefore, clause 6.1 was amended and clause 6.6 was removed so that the ownership and the copyright of the model will be fully transferred to the client upon full payment was made as the original version stated that the ownership and copyright of the model shall remain under the model author and consent is needed from the model author if the client wish to use the model after the project.

#### *Part 7: Extension to Existing Clause in PWD 203A and PAM*

This section does not exist in the first draft of the addendum. It is suggested given by one of the respondents for enhancing the understanding of the contract user on the deliverables of the project. To differentiate between a conventional construction project and project that adopted BIM tool, the keyword is 3D parametric tool. Therefore, extension to the existing clauses in the standard form of contract, PWD 203A and PAM 2018 to emphasize all the information like, variations orders, progress payment and design shall be submitted with 3D parametric model. This section is added so that the consultant and the contractor can utilised the BIM model rather than just taking it as an extra works. According to the suggested respondent, the phenomenon in the construction industry is the designs are prepared in both 2D and 3D form separately just to fulfil the requirement of the client to have a 3D model of design at the end of the project. However, the 3D model is delivered separately, they do not

utilise the model for the construction project. Therefore, the 3D parametric model was emphasized in the related clauses in the existing contract hopefully will encourage the contract to utilise the BIM model.

## PROPOSED ADDENDUM FOR MALAYSIA STANDARD FORM OF CONTRACTS

Table below presents the proposed addendum that is possibly suitable for Malaysia standard form of contracts, PWD 203A and PAM 2018.

**Table 5.** Proposed Addendum for PWD203A and PAM2018

<b>1.0 Definitions</b>
1.1 Building Information Modeling (BIM) means the process of designing, constructing or operating a building or infrastructure asset using the electronic object-oriented information.
1.2 BIM Guide refers to the guide that is specified in the Principal Agreement for BIM. If it is not stated, the BIM Guidebook 4 that published by Construction Industry Development Board (CIDB) shall be used.
1.3 BIM Execution Plan means the plan that explaining about the how to carry out the information Modeling aspects of a project.
1.4 BIM Manager refers to the agent of Architect or the contractor appointed by the Employer in accordance with Clause 2 to be BIM Manager.
1.5 Contribution means the data, design, expression or information that a party in the project created, prepared or shared with other Parties for use in or in connection with a model.
1.6 Information Model means model comprising of documentation, non-graphical information and graphical information.
1.7 Model Author refers to the originator of model files, drawings or documents.
1.8 Principle Agreement means the agreement or main building contracts which the parties have entering for the Project.
1.9 Common Data Environment (CDE) means the single source of information for the Project which may be a project server, an extranet or other suitable tool, used to collect, manage and disseminate all related project documents for multi-disciplined teams.
1.10 Archive means the component of CDE that is inactive which provide a history of project information transfers, sharing, change orders and knowledge retention.
<b>2.0 Model Management</b>
2.1 All progress should be kept as record without alterations when every milestone of the project is met. (Archive Section)
2.2 Any changes made on the identified milestones by any project team members, it should be indicated.
2.3 When discrepancy is found between the latest versions of a model by any party, the party should inform the Architect/SO.
2.4 Clash checking shall be carried out by the contractor continuously throughout the whole process of construction as the model are always updated as constructed information to make sure it is complied with the contract.
2.5 When defects or deviation from the constructions occurs, the model shall be updated within the period in accordance with the contract, to ensure that impact on the following trades can be detected and to make appropriate decision.
<b>3.0 Model Reliance Risk</b>
3.1 Project team member may only rely on the completeness and accuracy of the information model up to level details as required by the contract.
3.2 Any project team member uses the BIM model that exceed the level of details as required in the contract at own risk, creator of the model is not responsible for it.
3.3 If any project team member transmits the BIM model without authorization, reliance on the model at own risk.
<b>4.0 Risks Allocation</b>
4.1 Every project team member shall be responsible to minimize risk of claims and inform to the Architect/S.O. if any error, inconsistencies or omissions.
4.2 Model author is not liable for any expenses or damages arise from its contribution for usage of model beyond as stated in the BEP.
4.3 No party is responsible for any corruption or any unintended amendments, modification or alteration of the electronic data in any BIM model after the BIM model had transmitted by that party which comply with requirements in the agreement or BEP.
4.4 No party is responsible to the employer for modification or amendment to any transmission, copying or use of the materials by the employer, other party who is given authorization for transmitting the data without giving notice.

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#### **5.0 Intellectual Property Rights**

- 5.1 The copyright and the ownership of the information or BIM model shall fully transfer to the client upon full payment.
- 5.2 Model Author agreed to indemnify the project team members that given authorization to use the BIM model against claim from third party for infringement or alleged infringement of the copyright that consisted in the Contribution of the Model Author.
- 5.3 Project Team Member will grant the Employer a non-exclusive licence (to grant sub-licence to other project team members) to transmit, copy and use the Materials and any proprietary work contained in the materials for the permitted purpose.
- 5.4 Non-payment for the license may cause the license to be suspended or revoked.
- 5.5 The license does not grant the right to modify or amend the materials without Project Team Member's Consent; to reproduce the work contained in the Materials.

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*Please refer to the clauses for the project based on the standard form of contract used.*

#### **6.0 Extension to the Existing Clause in PAM 2018.**

- 6.1 As accordance to clause 1.3, 1.4 and 4.2 of PAM 2018, the information that will be produced must be in 3D parametric model.
- 6.2 As accordance to clause 3.1(d), 3.4 and 3.10 of PAM 2018, All submissions drawing must produce from the 3D model.
- 6.3 As accordance to clause 11.5, justification of claim for Variation Order (VO) shall base on 3D model within the 5D methodology.
- 6.4 As accordance to clause 30.1, justification of payment shall base on 3D model within the 5D methodology.

#### **7.0 Extension to the Existing Clause in PWD 203A.**

- 7.1 As accordance to clause 10.0(f), 10.0(h) and 22.0 of PWD 203A 2010, the information that will be produced must be in 3D parametric model.
  - 7.2 As accordance to clause 24.1, justification of claim for Variation Order (VO) shall base on 3D model within the 5D methodology.
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## **CONCLUSION**

This research concludes that implementation of BIM in Malaysia is still in early stage and not many from the construction industry is aware about this and ready for the implementation of BIM. The factors that contribute to this phenomenon are identified by exploring the challenges faced to develop a comprehensive construction contract for Malaysia construction industry were discussed. However, the research figured out the 5 common legal issues that should be took into considerations while drafting a contract for a BIM project. The applicable BIM condition from the addendums is acted as a reference while drafting the addendum to be attached to the PWD 203A and PAM 2018 with consideration of suitability for construction industry in Malaysia. The proposed addendum hopes to cover the possible events during BIM-based project.

In academic point of view, findings from this research helps the quantity surveyors understand better about the elements should be included in a construction contract for BIM project. The loopholes of the existing standard form of contract to be used for BIM project was also explained. Practically, the research will guide the construction players with the knowledge of legal issues that shall be concerned while drafting contract for a BIM-based project. Hoping this can save more time and resources of them while drafting contract for BIM projects with the understanding of scope and liabilities involved.

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# A STUDY OF GIRDER BRIDGE AESTHETICS

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## **Abstract**

There are numerous research on the constructability concept since its introduction in late 1970. However, there are limited studies to study the constructability concept from the aesthetics point of view. For bridge designs, many researchers and designers commented that aesthetics is an important design consideration. Design that is aesthetically appealing should be one important principle under the big umbrella of the constructability concept. This study ranked different girder bridge design alternatives based on their contribution towards the aesthetics of girder bridge design. Twenty-four (24) consulting engineers and contractors in Sarawak, Malaysia were invited to rate various girder bridge components design alternatives in term of their importance in enhancing the aesthetics of girder bridge design based on 5-point Likert Scale. The collected data was analyzed using Relative Importance Index (RII) method. Based on the results, the design alternatives that contributed the most to the girder bridge aesthetics are V-shaped pier (RII 0.975), New Jersey parapet with steel railing (RII 0.933), and box girder (RII 0.900). The results of the present study can provide guidelines to engineers when designing girder bridges. The new knowledge can be considered together with other constructability principles so that girder bridges designed by engineers are not only constructable but also aesthetically appealing.

**Keywords:** *Constructability; bridge aesthetics.*

## **INTRODUCTION**

Applicable to both building and infrastructure projects, implementing constructability principles at the early design stage can help to enhance the project performance. Some benefits of “constructability” include reduced project duration & cost (Jadidoleslami et al., 2018) reduced changes (Saghatforoush et al., 2010), better design (Khan, 2018), improved construction efficiency (Sanjaya et al., 2019), and reduced project risk (Sopic et al., 2019). There are numerous research on the constructability concept since its introduction in late 1970. However, there are limited studies to study the constructability concept from the aesthetics point of view. For bridge designs, many researchers and designers commented that aesthetics is an important consideration. “Constructability” is a comprehensive project management concept therefore the aesthetics of a design should be covered under the big umbrella of this concept. This paper aims to rank different girder bridge design alternatives based on their contribution towards the aesthetics of girder bridge design. Only long river-crossing girder bridge which is longer than 1 kilometer is considered for this study. The research process and findings are explained in this paper.

## **LITERATURE REVIEW**

### **Definitions of “constructability”**

There are many definitions of “constructability”. Basically, constructability is a project management concept to be considered and adopted before and throughout the project delivery stages so that optimum project outcome can be achieved. Apart from that, constructability can

be extended to incorporate maintainability and operability concerns (Saghatforoush et al., 2012). Constructability is also referred to as buildability and these two terms are sometimes used interchangeably. However, constructability is found to encompass wider project management scope compared to buildability (Alinaitwe et al., 2014). Some definitions of constructability are as shown in Table 1.

**Table 1.** Definitions of Constructability

Definition	
The optimum use of construction knowledge and experience in the conceptual planning, detailed engineering, procurement and field operations phases to achieve the overall project objectives	Construction Industry Institute (CII), 1986
The integration of construction knowledge in the project delivery process and balancing the various project and environmental constraints to achieve project goals and building performance at an optimal level	Construction Industry Institute (CII) Australia, 1996
The optimum use of construction knowledge and experience by the owner, engineer, contractor and construction manager in the conceptual planning, detailed engineering, procurement and field operations phases to achieve the overall project objectives	Nima et al., 1999
The standardization of primary structural elements to balance multi-objective design goals	Horn, 2015
Constructability is one of the project management methods to evaluate the whole construction process. It is defined as a concept with relative, not absolute, value to increase optimization capacity of resources, such as workforce, time, cost, quality and working environment conditions	Jadidoleslami et al., 2018
Constructability is a project management technique for reviewing construction processes from start to finish during the pre-construction phase. It will identify obstacles before a project is actually built to reduce or prevent error, delays and cost overrun	Ansyorie, 2019

## Constructability Principles

There are various principles introduced by various institutions or researchers over the past decades. Some of the most frequently mentioned constructability principles are shown in Table 2.

**Table 2.** Constructability Principles

Principles	
Project elements should be standardized	Nima et al., 2001; Mydin et al., 2011; Building and Construction Authority (BCA), 2017
Considering the accessibility of construction personnel, materials and equipment	CII Australia, 1996; Oludare and Oluseye, 2016; Sanjaya et al., 2019
Design simplification and conducting design review	Zin and Hassan, 2006; Mydin et al., 2011; BCA, 2017
Design should facilitate construction during adverse weather conditions	Yitmen and Akyel, 2005; Wong, 2007; Alinaitwe et al., 2014
Individuals with current construction knowledge should achieve the early construction planning	CII Australia, 1996; Oludare and Oluseye, 2016; Sopic et al., 2019
The capability of modularization and preassemblies for project elements should be taken into consideration	Nima et al., 2001; Zin and Hassan, 2006; BCA, 2017
Considering storage requirement at the jobsite	Zin and Hassan, 2006; Wong, 2007; Alinaitwe et al., 2014
Designing for the skills and resources available	CII Australia, 1996; Oludare and Oluseye, 2016; Sanjaya et al., 2019
Considering suitability of designed materials	Construction Industry Research and Information Association (CIRIA), 1983; Zin and Hassan, 2006; Alinaitwe et al., 2014



Although aesthetics is not included as one of the constructability principles by previous researchers, it is an important factor to be considered when designing a bridge. Gottemoeller (2014) opined that “for the same reason engineers would not build a bridge that is unsafe, they should not build one that is ugly”. When designing a bridge, engineers should consider “the concept that best integrates efficiency, economy, and elegance while achieving the design vision” (American Association of State Highway and Transportation Officials (AASHTO), 2010). Baker and Puckett (2013) mentioned that bridge aesthetics “needs a priority boost” and it can be considered without infringing other factors like constructability, economy and safety. Tang (2018) thought that aesthetics should be an integral part of bridge design instead of additional consideration. He mentioned that bridge aesthetics and structural configuration should be considered together when designing a bridge. Chakradeo (2018) also stated that for bridge design, the aesthetics principles and structural principles are linked and cannot be separated.

A recent research conducted by Ding, Hafez and Kho (2019) had identified 54 constructability principles for girder bridge construction. Aesthetics was included as one of the principles as recommended by a few bridge experts during the pilot survey. Questionnaire survey was adopted for the consulting engineers and contractors to rate the 54 constructability principles in term of their importance or impact in enhancing the constructability of river-crossing girder bridge projects based on 5-point Likert scale. The result was analyzed using factor analysis and the 54 principles were consolidated into 11 critical constructability principles. Out of the 11 critical principles, “Design complements the surrounding environment and is aesthetically appealing” is one of them. Against this background, the researchers of this paper decided to explore further to study the girder bridge design from the aesthetics perspective.

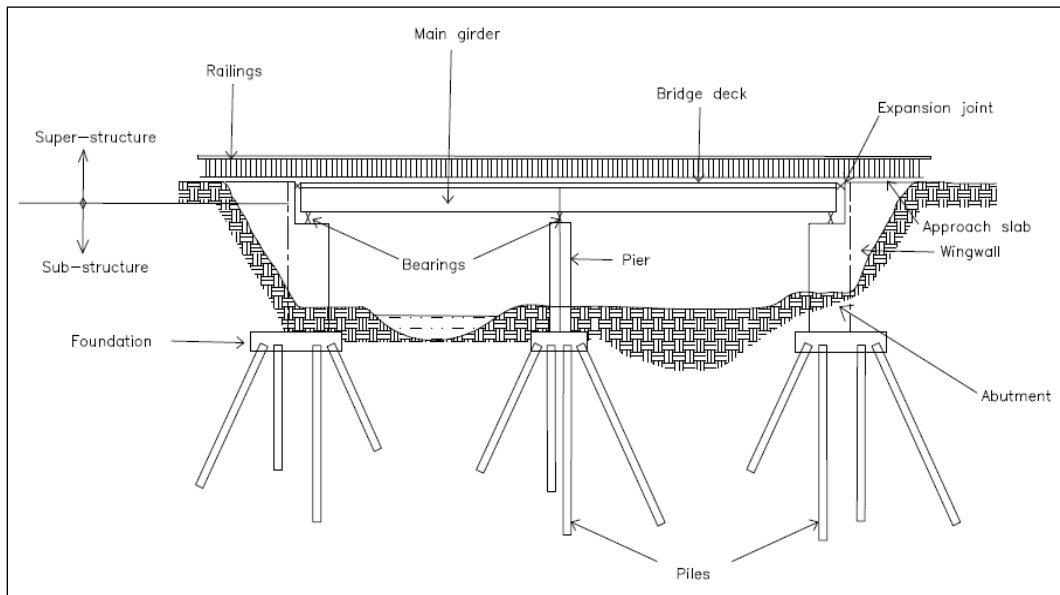
For this study, “aesthetics” is defined as “the study of qualities of beauty of an object and of their perception through our senses” (Barker and Puckett, 2013).

## River-Crossing Girder Bridge

Figure 1 shows a typical river-crossing girder bridge design. Girder bridge is probably the most common and simplest form of bridge design (Sharma and Sharma, 2015; Bharil, 2016; Lin and Yoda, 2017). The most common form of girders are box girder and I-beam girders (Sharma and Sharma, 2015). The major components of a girder bridge include:

- |                |                          |
|----------------|--------------------------|
| 11.Foundation  | 17.Wearing surface       |
| 12.Abutment    | 18.Approach slab         |
| 13.Wing wall   | 19.Expansion joint       |
| 14.Pier        | 20. Bearing              |
| 15.Girder      | 21. Drainage system      |
| 16.Bridge deck | 22. Railing/parapet wall |

For each of the major component above, there are different design alternatives. Take abutment for example, there are gravity abutment, counterfort abutment, stub & semi stub abutment, full height abutment, spill-through abutment, pile bent abutment and Mechanically Stabilized Earth (MSE) abutment. As for the pier, there are wall pier, hammerhead pier, rigid frame pier, single column pier, pile bent pier and V-shaped pier.



**Figure 1.** Typical River-Crossing Girder Bridge Components

## RESEARCH METHODOLOGY

The present research adopted quantitative research method. Comprehensive literature review was conducted to identify various alternatives of each bridge component. The complete list of all alternatives was shown to 5 bridge construction experts for discussion. Based on their comments, some missing alternatives were included and some unsuitable alternatives were excluded. Thereafter, a complete list was compiled in questionnaire format. Although the format is in questionnaire form, the researchers adopted structured interview. A structured interview is “an interview that has a set of predefined questions and the questions would be asked in the same order for all respondents” (Zhang and Wildemuth, 2009). It is also called as ‘quantitative research interviews’ because it is used to collect quantifiable data (Saunders et al., 2016). Under this method, the interviewer will meet the respondents and ask questions face to face (Saunders et al., 2016). This method was adopted because the researchers wished to give further explanations on the design alternatives if needed. During the structured interviews, the interviewees were asked to rate each of the design alternative in term of its contribution towards bridge aesthetics based on 5-point Likert scale. Number 5 symbolizes very high contribution whereas Number 1 symbolizes very low contribution.

The respondents for this research were consulting engineers (public & private sectors) and contractors in Sarawak, Malaysia who have more than 10 years experiences in bridge construction. Most contractors included in this survey were contractors for the Pan Borneo project. The sampling method adopted was purposive sampling method because the present study required information from interviewees with unique characteristics (Pajo, 2018). Only consulting engineers and contractors who have experiences in designing and/or constructing bridges were selected. According to Sekaran and Bougie (2016), purposive sampling method is a good choice to collect information from the persons who possess the necessary information.

As for the rankings of various bridge components design alternatives, the responses collected were analyzed using RII method. RII method can be used to rank different variables (Choudhry et al., 2017; Rooshdi et al., 2018; Olugboyega, 2018). According to Jarkas and Bitar (2012), “the rank of each group was established by quantifying the average value of the importance indices for all factors within; the higher the average value, the stronger the effect of the group”. The following formula was used to calculate the RII (Olugboyega, 2018):

$$RII = \frac{\sum W}{AN} = \frac{5n_5 + 4n_4 + 3n_3 + 2n_2 + 1n_1}{5N} \quad (1)$$

Where:

- W = The weight given to each design alternative by the respondent, ranging from 0 to 5.
- A = The highest weight (5 in this case).
- N = Total number of respondents.
- $n_1$  = Number of respondents for “very low contribution”.
- $n_2 - n_4$  = Number of respondents for intermediate level between very low and very high contribution.
- $n_5$  = Number of respondents for “very high contribution”.

The RII ranges from 0 to 1. Higher RII symbolizes more contribution towards girder bridge aesthetics.

## RESULTS AND DISCUSSIONS

### Number of Interviewees

There were 24 interviewees for the present study (Figure 2). More inputs were collected from the consulting engineers (public sector) and contractors. There were only 5 private sectors consulting engineers to participate in the survey. Only engineers and contractors in Sarawak were interviewed.

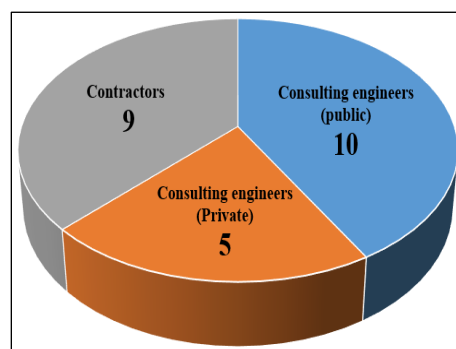


Figure 2. Number of the Interviewees for the Structured Interview Survey

### Geographical Location of the Interviewees

The geographical location of the interviewees are shown in Figure 3. Most of the data were collected from Kuching, Sarawak.

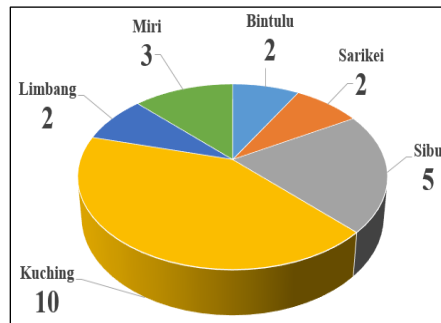


Figure 3. Geographical Location of the Interviewees

### RII and Rankings of Girder Bridge Design Alternatives

Table 3. Ranking of Foundation Design Alternatives

1. Foundation	RII	Ranking
Spun pile	0.242	/
Pipe pile	0.242	/
H pile	0.242	/
Bored pile	0.242	/
Caisson method	0.242	/
Foundation constructed using cofferdam	0.242	/

Table 4. Ranking of Abutment Design Alternatives

2. Abutment	RII	Ranking
Full height abutment	0.758	1
Spill-through abutment	0.717	2
MSE abutment	0.700	3
Stub abutment	0.692	4
Semi stub abutment	0.617	5
Gravity abutment	0.533	6
Counterfort abutment	0.483	7
Pile bent abutment	0.342	8

Table 5. Ranking of Wing Wall Design Alternatives

3. Wing Wall	RII	Ranking
Flared wing wall cantilever	0.667	1
Flared wing wall independent	0.667	1
U type wing wall cantilever	0.600	2
U type wing wall independent	0.600	2
In-line wing wall cantilever	0.425	3
In-line wing wall independent	0.425	3

Table 6. Ranking of Pier Design Alternatives

4. Pier	RII	Ranking
V-shaped pier	0.975	1
Hammerhead pier	0.842	2
Single column pier	0.667	3
Rigid frame pier	0.558	4
Wall pier	0.533	5
Pile bent pier	0.275	6

**Table 7.** Ranking of Girder Design Alternatives

5. Girder	RII	Ranking
Box girder	0.900	1
U girder (concrete)	0.758	2
U girder (steel)	0.650	3
I girder (concrete)	0.608	4
T beam	0.583	5
I girder (steel)	0.492	6
Concrete encased steel beam	0.467	7

**Table 8.** Ranking of Bridge Deck Design Alternatives

6. Bridge Deck	RII	Ranking
Concrete deck	0.400	1
Effideck	0.350	2
Fibre reinforced polymer deck	0.350	3
Exordemic deck	0.342	4
Top flange of box girder / T girder as deck	0.333	5
Steel trough deck	0.333	5
Steel orthotropic deck	0.333	5
Open grid deck	0.333	5
Grid reinforced concrete deck	0.333	5

**Table 9.** Ranking of Wearing Surface Design Alternatives

7. Wearing Surface	RII	Ranking
Polymer modified asphalt	0.592	1
Open graded asphalt	0.575	2
Dense graded asphalt	0.575	2
Stone mastic asphalt	0.575	2
Latex modified concrete	0.575	2
Unmodified concrete	0.558	3
Integrated wearing surface (top part of bridge deck as wearing surface)	0.558	3

**Table 10.** Ranking of Approach Slab Design Alternatives

8. Approach Slab	RII	Ranking
Concrete slab on sleeper slab	0.400	1
Concrete slab on sleeper slab + piles under sleeper slab	0.350	2
Concrete slab on geogrid	0.350	3
Concrete slab on driven piles	0.342	4

**Table 11.** Ranking of Expansion Joint Design Alternatives

9. Expansion Joint	RII	Ranking
Modular joint	0.817	1
Steel finger joint	0.742	2
Elastomeric strip joint	0.708	3
Bolt down joint	0.617	4
Sliding steel plate	0.408	5
Compression seal joint	0.408	5
No expansion joint	0.367	6
Butt joint	0.358	7
Asphaltic joint	0.358	7
Poured sealant joint	0.325	8

**Table 12.** Ranking of Bearing Design Alternatives

10. Bearing	RII	Ranking
Pot bearing	0.742	1
Spherical bearing	0.717	2
Disc bearing	0.708	3
Steel reinforced elastomeric	0.633	4
Elastomeric sliding bearing	0.600	5
No bearing	0.517	6
Rubber bearing	0.308	7
Pin bearing	0.308	7
Rocker bearing	0.308	7
Roller bearing	0.300	8

**Table 13.** Ranking of Deck Drainage Design Alternatives

11. Deck Drainage	RII	Ranking
Concrete curb	0.825	1
Combination inlets (concrete curb + grate)	0.808	2
Composite material curb (i.e. Envirodeck)	0.717	3
Slotted inlet	0.692	4
Trench inlet	0.592	5
Grate inlet	0.442	6
Ductile steel curb	0.392	7

**Table 14.** Ranking of Railing Design Alternatives

12. Railing / Parapet	RII	Ranking
New Jersey parapet with steel railing	0.933	1
Concrete railing	0.783	2
Standard curb with steel railing	0.550	3
Steel railing	0.500	4

## DISCUSSION

Giving the RII and rankings, the results are self-explanatory. Foundation of bridge contributes the least to the bridge aesthetics and there is no ranking because it cannot be seen once driven into the ground or water. The approach slab and bridge deck also have lesser contribution because cannot be seen once the construction is completed. So, there is no clear distinction between the alternatives. The results also shows that there is no preference for asphaltic or concrete wearing surface.

Some of the bridge designs allow the wing wall to be exposed after completion. Most interviewees preferred the flared wing wall and U type wing wall. For expansion joints, the modular, steel fingers and elastomeric strip joints were preferred by the interviewees. Although modular joint contributes more to aesthetics and it is useful to accommodate large movement, the initial cost can be more expensive. Some interviewees reported that the “fingers” of steel fingers joint may be broken or bent after some time due to traffic impact. Therefore, the durability of the joints selected must be taken into account while considering the design aesthetics.

As for the bearing type, pot, spherical and disc bearings were preferred. Although spherical bearing ranked the 2nd, its use in Sarawak is still limited. It is because the engineers normally selected pot and disc bearings as they can accommodate large movement and require less maintenance. The superiority of spherical bearing over pot and disc bearing is subject to further research. Besides, even though there is a trend for the designers to go for integrated structure design (means no expansion joints and bearings) for some bridges, the interviewees commented that this does not contribute to enhance the bridge aesthetics. According to them, appropriate joints and bearings also served as “decorations” apart from their main functions. Furthermore, integrated structures are more suitable for shorter span.

For abutment designs, the interviewees preferred full height abutment and spill-through abutment because they look more spectacular. However, they commented that these abutments are costly to be constructed and the compaction of the backfilled materials can be difficult. MSE abutment ranked the 3rd because the facing units /cladding (Figure 4) can be designed to cover the earth thus enhancing the aesthetics. In practice, most of the long river-crossing girder bridges in Sarawak adopted stub abutment design because it is easier to construct and is less expensive compared to taller abutments like full height and spill-through types. In this regard, the researchers found that to enhance aesthetics would require more construction cost and time. However, better aesthetics should not be automatically linked to additional cost and time (AASHTO, 2010). The wisdom of engineers is needed to find the balancing point between aesthetics and construction cost.



**Figure 4.** MSE Abutment

For deck drainage design, the interviewees preferred traditional concrete curb and combination inlets. In practice, many steel grate inlets are adopted as deck drainage system. This design should be avoided because it contributed less (RII 0.422) to enhancing bridge aesthetics.

The design alternatives that contributed the most to the girder bridge aesthetics are V-shaped pier (RII 0.975), New Jersey parapet with steel railing (0.933), and box girder (RII 0.900). This indicates that the engineers can put in more efforts in designing aesthetically appealing piers, parapet wall and girders as there are very obvious components that are exposed to the public. New Jersey parapet with steel railing and box girder are commonly adopted in Sarawak. Apart from New Jersey parapet with steel railing, concrete parapet is

also commonly used. However, the existing concrete parapet walls are mostly of the identical plain concrete design (less decoration). The researchers found that the concrete parapet designs should be varied (e.g., Figure 13) to enhance aesthetics and to ensure uniqueness of each bridge.

V-shaped pier is yet to be constructed in Sarawak. The researchers think that the biggest barriers to adopting V-shaped pier are its complex design and difficult construction process due to its steep slope and shape variation. The construction cost is also higher than other pier types. Besides, wall pier is commonly constructed in Sarawak but it is only ranked as number 5 (RII 0.533). It is recommended that other pier designs should be considered by engineers to diversify the bridge design.

Engineers play important roles in ensuring the aesthetics of bridge designs. Ignoring aesthetics is irresponsible (AASHTO, 2010). According to Tang (2018), aesthetics is “one basic requirement of a successful bridge” and “a bridge that is safe, functional...and beautiful will provide both comfort and convenience.”

The results of the present research can provide guidelines to the engineers at the design stage to enhance the aesthetics of girder bridge designs. When the bridge aesthetics is enhanced, general public can enjoy using bridges that are visually pleasing and be proud of them. Furthermore, similar research can be conducted by academicians to study the aesthetics of other types of bridge designs such as arch bridge, suspension bridge, and cable stayed bridge.

## **LIMITATION OF THE PRESENT STUDY**

There are limited sample size. Only 24 interviewees (including consulting engineers and contractors) were interviewed. Samples were collected within Sarawak, from Kuching, Miri, Sibul, Bintulu, Sarikei, and Limbang. The result should not be generalized beyond this scope. The main subject of this study is longer river-crossing girder bridge which is more than 1 kilometer span. Other bridge types like highway bridge, arch bridge, truss bridge, suspension bridges were not considered. Besides, the study only focused on the aesthetics aspect of bridge designs. Other design factors such as suitability of design, sustainability, resources availability and structural configuration were not considered.

## **CONCLUSION**

Structured interviews were conducted to allow the consulting engineers and contractors to rate different girder bridge components design alternatives in term of their importance in enhancing the aesthetics of girder bridge projects based on 5-point Likert scale. The responses of the survey were analyzed using RII method. The design alternatives for each component were ranked based on RII. Based on the results, the design alternatives that contributed the most to the girder bridge aesthetics are V-shaped pier, New Jersey parapet with steel railing, and box girder. The present study is the first in Sarawak to study the aesthetics of girder bridge design alternatives. Aesthetics should be one factor to be considered by the engineers when designing bridges. Through this study, it is anticipated to create awareness among the engineers to consider bridge design aesthetics from the early project stage instead of considered it as a “last touch” or an “add on”. Aesthetics should be taken into account together with other constructability principles such as standardization, resources utilization, suitability



of design, and waste minimization so that bridges designed by engineers are not only construct-able but also aesthetically appealing.

### FUTURE DIRECTION

There is a trend for the constructability research to shift from the exploration of theoretical concept to the development of quantitative assessment tools. The present study is part of the effort of the researchers in developing a conceptual quantitative constructability assessment model for girder bridge construction. Apart from aesthetics, other constructability principles including time and cost savings, resources utilization, sustainable construction, simple design, effective designs, and improved construction safety will be integrated to develop the said model. It is also recommended that more quantitative constructability assessment models for infrastructure projects can be developed as the existing models are mostly designed for building projects only.

### PICTURES OF BRIDGE COMPONENTS

Due to the limitation of space, only the pictures of some design alternatives are provided. The explanations and pictures of all design alternatives can be found from online sources.

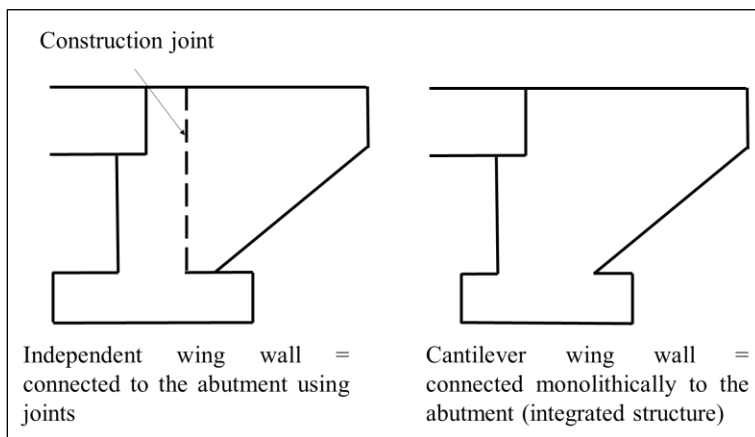


Figure 5. Independent and Cantilever Wing Wall (Adapted from University of Ljubljana, 2015).

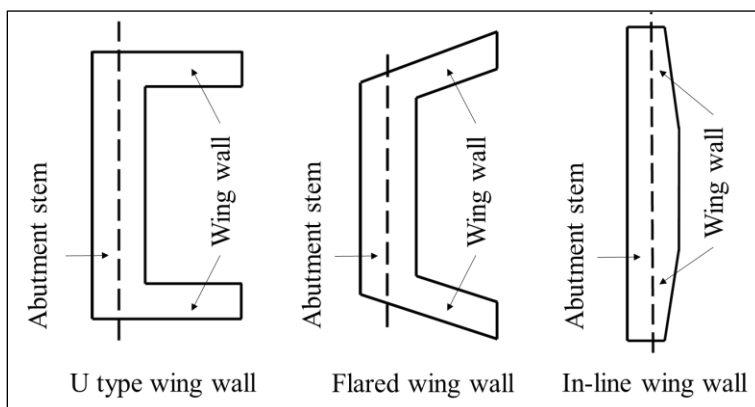
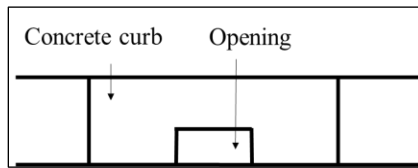
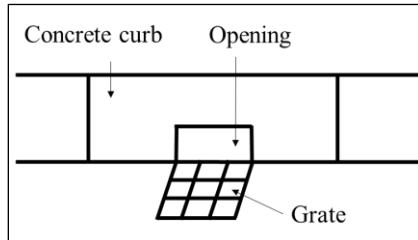


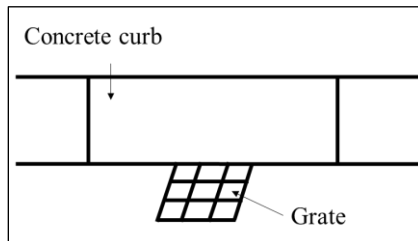
Figure 6. Wing Wall Types (Adapted from Minnesota Department of Transportation, 2016).



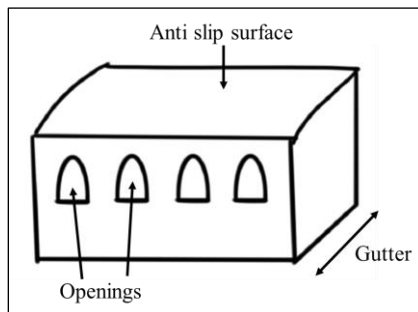
**Figure 7.** Concrete Curb Inlet (Adapted from Department of Irrigation and Drainage (DID), 2012).



**Figure 8.** Combination Inlet (Concrete Curb + Grate) (Adapted from DID, 2012).



**Figure 9.** Grate Inlet (Adapted from DID, 2012).



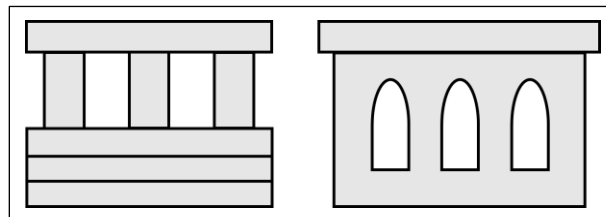
**Figure 10.** Composite Material Curb (Adapted from Pipeline & Drainage Systems PLC, 2019).



**Figure 11.** Standard Curb with Steel Railing.



**Figure 12.** New Jersey Parapet with Steel Railing.



**Figure 13.** Different Designs of Concrete Railing (Adapted from Texas Department of Transportation (TxDOT), 2019).

## ACKNOWLEDGMENT

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# ENVIRONMENTAL SUSTAINABILITY IN MALAYSIAN HERITAGE BUILDING CONSERVATION: REVIEW OF HERITAGE LEGISLATION AND POLICY

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## Abstract

Heritage building is a tangible part of cultural heritage, a physical artefact of significant value from past generations that need to be maintained and preserved for the benefit of future generations. This paper investigates the importance and relevance of triple-bottom line elements of sustainable development (social, economic and environment) integration within heritage conservation legislation and policy. A comparative review of current Malaysian and international heritage legislation and policy was made to identify existing gaps in improving the integration of sustainability elements into current heritage legislation. The Malaysian heritage legislation is extensively benchmarked against the UNESCO Operational Guidelines for the Implementation of the World Heritage Convention, the United Kingdom Building Regulations and Historic Buildings 2004, the Australian Burra Charter, the United States National Historic Preservation Act 1966, the Japanese Law for the Protection of Cultural Property 1950, the Singaporean Preservation of Monuments Act 1971, and the Indonesian Cagar Budaya. The review analysis revealed that the Malaysian heritage conversation legislation is lacking integration between the environmental sustainability element, in comparison to social and economic elements of sustainable development. With over 170 buildings currently registered in the Malaysian National Heritage Council heritage list, these issues should be taken into consideration when planning the next heritage conservation procedure. The three existing heritage conservation legislation in Malaysia has no integration towards the carrying capacity element of environmental sustainability principle, while only has indirect integration for ecosystem integrity. This environmental disregard within Malaysian heritage conservation legislation should be seriously taken into account by future policy makers.

**Keywords:** *Heritage conservation; triple-bottom line; sustainable development; legislation; policy review.*

## INTRODUCTION

Globally, heritage buildings are considered as an important asset as they carry past history and culture of a nation (Essays, 2018). Similar in Malaysia, heritage buildings are categorised as an important asset which carries important values and lesson from the past. There are several variations of how heritage building is defined; one of the most referred definition globally is from The United Nations Educational, Scientific and Cultural Organisation (UNESCO). UNESCO, an organisation responsible in coordinating and managing the World Heritage list, defines heritage building as a tangible cultural heritage, a physical artefact that carries significant values from the past generations of a society, which are maintained and preserved for the benefit of future generations (UNESCO, 1972). In Malaysian context, heritage building is defined as “monument” which have significant values in term of history, art or science in its elements or structures (The Commissioner of Law Revision, 2005). Preserving these heritage assets is important to ensure the future generation can learn the

significant value contained in those assets toward the development of modern world. Conservation of heritage building benefits the society in terms of economic, cultural and social (Bullen and Love, 2010).

However, there have been issues concerning the viability of the sustainability of these historical structures. In the United Kingdom and Europe region, the main sustainability concern in historical buildings is the reduction of Carbon Dioxide (CO<sub>2</sub>) emission which in result this issue has become an integral part in the region major political, economic and social agenda (Godwin, 2011). Hence, these heritage buildings need to fully adapt with this change of sustainability concern in ensuring them not to be an asset which considered as sustainably obsolete (Cassar, 2009). Sustainability can be defined as the principle of reducing the resource consumption in achieving the present needs for a sustainable future (Godwin, 2011). In order to achieve sustainability, the fundamental triple-bottom line need to be considered when planning an action which are environmental, economic and social (Adams, 2006).

The practice of conserving and refurbishing an old heritage building to cater new use and prolong its life are in line with the ideology of sustainability. Through the conservation of heritage building, it mitigates the consumption of energy required for demolition, waste disposal and construction of new building, and at the same time promoting sustainable development through the conservation of the existing building embodied energy (Sodangi et al., 2009). With application of sustainability principle in heritage building conservation, we can sustainably pass these valuable assets onto future generation. However, the main challenge in achieving sustainable heritage conservation is how to obtain it without sacrificing the building's valuable architectural or historical significance (Godwin, 2011).

In new development projects, the main approach in achieving sustainability is through the integration of sustainable principle in decision making. This is achieved through consideration of environmental, social and economic impact during the decision making (Dernbach and Mintz, 2011). From a study conducted by Ashford and Hall (2011), through the integration of sustainability requirement into development legislation, it will cause a surge of innovation and approach created by the developer (Ashford and Hall, 2011). This proves the effectiveness of legislation usage as the governing tool for sustainability which could also be adopted in the heritage conservation legislation. Therefore, there is a need to efficiently integrate sustainability principles into the existing heritage conservation legislation.

Consequently, with over 170 buildings that are currently registered in the Malaysian National Heritage Council heritage list (National Heritage Council, 2015), issues of sustainability integration should be taken into consideration when planning for the upcoming heritage conservation procedure.

## **INTERNATIONAL HERITAGE CONSERVATION LEGISLATION AND POLICIES**

Internationally there are several conservation policies developed to ensure the survival of heritage value and also the retention of its originality. These international conservation legislation and policies can be used as reference in improving Malaysian conservation legislation and policy with regards to sustainability aspect. One of the common international policies used in Malaysia is the UNESCO Operational Guidelines for the Implementation of the World Heritage Convention. Malaysia has several sites listed under UNESCO World

Heritage Convention which is located in Malacca, Penang, Perak and Sarawak (UNESCO, 2017). In this section of literature analysis, the international legislation and policies that will be analysed are the UNESCO, Operational Guidelines for the Implementation of the World Heritage Convention; the United Kingdom Building Regulations and Historic Buildings 2004; the Australian Burra Charter, the United States National Historic Preservation Act 1966, the Japanese Law for the Protection of Cultural Property 1950, the Singaporean Preservation of Monuments Act 1971, and the Indonesian Cagar Budaya.

Each of these selected legislation and policies represents the different region where it is based and drafted on. The distribution of legislation and policy are, the Building Regulations and Historic Buildings 2004 representing the European region, the Burra Carter represents the Oceania region, the Law for the Protection of Cultural Property 1950 representing the Asian region while the Preservation of Monuments Act 1971 and Cagar Budaya further representing the South-east Asian region. The UNESCO Operational Guidelines for Implementation of the World Heritage Convention on the other hand is representing the document which is being implemented internationally. The idea behind this is to identify the variation of the sustainability principle integration approach throughout different region.

### **UNESCO, Operational Guidelines for the Implementation of the World Heritage Conservation**

The Operational Guidelines for the Implementation of the World Heritage Convention is a Non-Governmental Organisation (NGO) document, which functions to encourage the convention to protect the world heritage. It acts as a supporting document for the 1972 World Heritage Convention document (UNESCO, 2016). In general, the document consists of identification of world heritage list, procedure for protecting and conserving the world heritage properties, international funding for conservation work through world heritage fund and mobilization of support for the convention.

Part 1.G of the document listed out the advisory bodies for the committee which is ICCROM (International Centre for the Study of the Preservation and Restoration of Cultural Property), ICOMOS (International Council on Monuments and Sites), and IUCN (International Union for Conservation of Nature) (UNESCO, 2016). All these advisory bodies provide advice on their field of expertise on the implementation of the World Heritage with IUCN being the responsible advisory body for sustainability. This is one of the approaches that can be considered in the research in incorporating sustainable principle in Malaysian heritage conservation.

The process of declaration for world heritage property is defined in Part 2.D of the document in which, ten (10) criteria are laid out for building to be considered for World Heritage property (UNESCO, 2016). These ten (10) criteria emphasize on sustaining social element in term of cultural identity, and environmental element which is biodiversity and ecosystem integrity. This highlights that, sustainable element of a heritage building can be monitored from the selection stage. Hence this can be taken into consideration as one of the approaches which can be adopted in incorporating sustainable principle in Malaysian heritage conservation.



Part 2.F of this document highlights the importance of protection and management of World Heritage properties. It emphasises on ensuring that the property Outstanding Universal Value is sustained or enhanced over time. In the operational guideline, it mentions that any World Heritage properties can be adapted for ecologically and culturally sustainable and which in result can contribute to improve the life quality of the communities. However, it is highlighted that any changes to the building shall not damage the Outstanding Universal Value of the property. This section of document highlights that it is possible to adapt a heritage building into a sustainable building without sacrificing its Outstanding Universal Value.

In summary, the UNESCO, Operational Guidelines for the Implementation of the World Heritage Convention provides several good approach examples for the integration of sustainability element into the heritage conservation. Some of the interesting integration approaches are the usage of requirement criteria for heritage status declaration and the appointment of expertise advisory agencies.

### **English Heritage, Building Regulations and Historic Buildings 2004**

The English Heritage, Building Regulations and Historic Buildings 2004 acts as a guide for mitigating issues and conflicts between energy conservation policies and the revised Building Regulations and policies in terms of conservation of the historic property. The document highlights the aim of English Heritage organisation which to conserve the usage of fuel and power through adaption without compromising the special interest, character and appearance of heritage building buildings which is in line with the aim of this research. The document emphasises on the organisation's measure in developing methods to be adopted in applying the Building regulation to heritage. This highlights the viability of using legislation as tool in enhancing the sustainability of heritage building.

In the document, it highlights the principles of repair and alteration for heritage buildings in relation to Part L of Building regulation 2000. It discusses on the method of identifying the special elements of the building, provides guidance on repairing and altering the heritage building with minimum intervention to comply with Part L of Building Regulation 2000. In relation to adapting the building into lower energy use and low greenhouse gas emission, the document acts as a guide in achieving this approach but at the same time retains the heritage value of the building. This highlights the possibilities of adopting environmental sustainability into heritage conservation through managing the building environmental impact.

In summary, the English Heritage, Building Regulations and Historic Buildings 2004 provides a good example for heritage conservation environmental sustainability integration approach which is through the requirement for carbon emission reduction in heritage building.

### **ICOMOS, the Burra Charter: The Australia ICOMOS Charter for Places of Cultural Significance Australia**

The Australia ICOMOS Burra Charter, 2013 is one of the most significant document on heritage conservation in Australia for the past thirty years (Heritage Perth, 2011). The Burra Charter functions as a guidance for the conservation and management practice of heritage property. In general, the document emphasises on defining the heritage property, and the principle, processes and practices in managing and conserving the heritage property.

The Burra Charter set out several principles throughout the document which act as guidance for the conservation and management of heritage properties. The first principle emphasises on defining the value of keeping the heritage properties. The second principle highlights the importance of identifying the important elements of property which carry the significant cultural value to the heritage property. This important element can exist in many forms such as the properties fabric, location, contents, function, associated documents, and meaning to the properties' users. The third principle focuses on the importance of understanding the cultural significant in understanding the heritage properties. This principle highlights the significance of the data gathering and analysing process in making decisions during the conservation and management process of the properties. The last principle focuses on the importance of documenting the decision and changes that has been made to the heritage properties.

In general, the Burra Charter provides several possible approaches that can be used in managing the social sustainability of a heritage building. The document approach emphasises on retaining the cultural identity criteria through ensuring that any decisions that have been made regarding the future of a heritage place are based on the understanding of the property, its cultural significance and its values to people, and ensuring that the significant property fabric and the site are being properly taken care of. Hence the document is a good reference document for the integration approach for social sustainability.

### **National Historic Preservation Act 1966, Unites States of America**

The National Historic Preservation Act (NHPA) was introduced in 1966 with the intention of protecting the United States of America historical and archaeological sites from the surge of federal development. The NHPA acts as a policy which supports and encourages the preservation of nation heritage property. The policy emphasises on urging the consideration from the federal agencies toward their development impact on the historic properties. Through NHPA, a multifaceted preservation scheme is set out in achieving the policy at the state and federal levels.

The first part of NHPA emphasises in managing the National Register of Historic Places, an inventory of gazetted heritage properties. The act emphasises in authorising the Secretary of Interior on updating and maintaining the inventory. Several criteria and procedures are listed out in determining the eligibility of a property to be listed. The criteria emphasise on the significant value of the properties toward the American history, architecture, archaeology, engineering and culture.

The second part of NHPA focuses on heritage preservation program. This section encourages the state to prepare a preservation program which can be submitted for the secretary approval. A State Historic Preservation Officer (SHPO) will be designated to administrate the program. The SHPO is responsible in identifying and inventories the historic properties of the states which the SHPO are designated to. SHPO is also responsible in preparing and implementing a heritage preservation plan and to provide information, education and technical assistance to the public. In relation with the amendment in 1992, the SHPO being equally responsible to rural heritage properties such as the Indian tribe. This amendment is made to manage the social sustainability of the diverse tribe in the United State of America. The roles of SHPO may differ from state to state to further efficiently manage this diversity of heritage background.

In the third part NHPA, the act also emphasises on managing the economic sustainability of the preservation program. Any program that has been authorized for grant will be supported by the Historic Preservation Fund which its function is to provide finance for sustaining the heritage preservation projects. The historic Preservation funds also provide financial assistance to individuals which their properties are listed in the National Register. The financial assistance is provided for two categories of grant; which are on emphasis on providing essential financial support for administration of the preservation program and emphasis on providing the financial assistance for the "bricks and mortar" preservation or rehabilitation of historic properties.

The last part of NHPA emphasises on the establishment of Advisory Council on Historic Preservation. The council are consisted of 20 mixed private and public sector members which will employ professional staffs trained in many aspects of preservation. The council acts as an advisor to the President and Congress on historic preservation matters, reviewing and improving the policies and programs of Federal agencies, conduct training and educational programs, and to encourage public interest in preservation.

### **Law for the Protection of Cultural Property 1950, Japan**

The enactment of Japan's Law for the Protection of Cultural Property 1950 is to encourage the preserving and utilising the nation's heritage property. The law focuses to enhance the importance of cultural quality of the nation. With Meiji Restoration in 1968, The National government of Japan has further promoted the importance of these by-Law since (Tadanao, 2006). The law has several parts which emphasise on identification and classification of heritage property, management and conservation process of the heritage property.

The first part of the law emphasises in defining the heritage properties and designating role of responsible person for the listed heritage properties. The document has laid out several requirements and procedures in managing the designation of responsible present to manage and conserve the heritage properties. This section also encourages the responsible person to care and open the properties for the public to enhance the awareness of conserving their cultural heritage which improves the social sustainability.

The second part of the law emphasises on identifying and registering the heritage properties. The document has laid out criteria in identifying the properties which can be classified into several group such as tangible and intangible cultural properties, monument, and groups of historic building. Interestingly most of the designated tangible cultural properties are properties which are classified as religious facilities (Tadanao, 2006). Interestingly also in this part, there is a section which allow removing the listed properties. Article 31 focuses on the requirement for annulment of a property from heritage property registry (Agency for Cultural Affairs, 2007). This part of law also emphasises on managing the conservation of heritage property. Under this section, the law provides assistance for the finance for heritage property conservation work through providences of heritage conservation material subsidy which is covered in article 35.

The last part of the law emphasises on the involvement of public with the heritage property conservation. In part of the law encourages to open the access of the property for

public viewing. This section emphasises on the designating the role and responsibility of the property owner or management body in giving access for the public to view the heritage properties. The main purpose of the open for public viewing is to enhance the awareness and educate the public on the importance of conserving the heritage property.

In general, Law for the Protection of Cultural Property 1950 provides several possible approaches that can be used in managing the social sustainability of a heritage building through retaining cultural identity, sharing, participation, and accessibility criteria.

### **The Preservation of Monuments Act 1971, Singapore**

Enacted in 1971, The Preservation of Monuments Act emphasises on preserving and managing Singapore's historical monuments. The act consists of six (6) parts which in general focuses on managing the roles and responsibilities of Preservation of Monuments Board, defining the function of preservation order, managing maintenance of monuments, and enforcing penalties regarding to heritage monument (Secretariat, 2008).

In part two (2) of the document, Article 2 defines that the board is responsible in identifying the heritage monument where historical, cultural, traditional, archaeological, architectural, artistic or symbolic significance and national importance are highlighted as the criteria in considering a heritage monument. All these criteria emphasise on retaining the cultural identity. Part four (4) of the Act emphasise on provision for monument preservation where the function of preservation order is defined. Under preservation order, the monument owner shall be responsible to preserve the facilitate access and provides proper management of the monument.

In summary, the Preservation of Monuments Act focuses on social sustainability where the criteria such as retaining cultural identity, empowerment and accessibility are being managed throughout the document.

### **Cagar Budaya 2010, Indonesia**

*Cagar Budaya* was introduced by the Indonesian Government in 2010 to enhance the conservation and management of cultural heritage in Indonesia. The document covers on the issues of managing the heritage categorization, heritage register and multidisciplinary approach in heritage conservation work (Fitri et al., 2016). Chapter two (2) of the document highlights sustainability as one of nine (9) principle which the cultural conservation preservation shall be based (People's Consultative Assembly, 2010).

Chapter three (3), part one (1) of the document emphasises on defining the criteria of cultural conservation where four main criteria are laid out for the identification of heritage properties. In general, all of these laid out criteria were emphasised to retain the cultural identity. The documents also manage the criteria in preserving the heritage properties. Under chapter seven (7), part one (1) of the document highlights that any cultural conservation procedure made must be based on a feasibility study which is academically, technically and administratively reliable (People's Consultative Assembly, 2010). It also mentions that any procedure which may bring negative impact to the environment shall be preceded with environmental impact analysis. Under the same chapter, Part three (3) emphasises on the

development for heritage conservation. In this section, it highlights the development of heritage conservation shall be carried out by considering the utilization, safety, maintenance and the significant values of the properties. Overall, *Cagar Budaya* is a very interesting document as it provides some possible approach for the integration of sustainability principle into heritage conservation.

## MALAYSIAN NATIONAL HERITAGE ACT 2005

National Heritage Act 2005 (Act 645) was created to manage the conservation and preservation of all heritage properties in Malaysia. In general, Act 645 focuses on managing the national heritage assets, council, fund and listing. Act 645 consists of 16 parts.

In the document, process of declaration for heritage property is defined; this is highlighted under section 67(2), where nine criteria (refer Table 1) are laid out for potential heritage building to be considered for National Heritage property declaration (The Commissioner of Law Revision, 2005). From these nine criteria, it can be concluded that National Heritage Act 2005 has direct implication of social sustainability where it emphasises in sustaining the cultural identity.

**Table 1.** Criteria for National Heritage Property Declaration

<b>National Heritage Property Declaration criteria</b>	
i.	The historical importance, association with or relationship to Malaysian history;
ii.	The good design or aesthetic characteristics;
iii.	The scientific or technical innovations or achievements;
iv.	The social or cultural associations;
v.	The potential to educate, illustrate or provide further scientific investigation in relation to Malaysian cultural heritage;
vi.	The importance in exhibiting a richness, diversity or unusual integration of features;
vii.	The rarity or uniqueness of the natural heritage, tangible or intangible cultural heritage or underwater cultural heritage;
viii.	The representative nature of a site or object as part of a class or type of a site or object; and any other matter which is relevant to the determination of cultural heritage significance.

(Source: Adopted from The Commissioner of Law Revision, 2005)

Part 5 of the Act focuses on receiving and managing the world heritage fund. From the document, once a building is listed as National Heritage property, the building owner can request for heritage fund in funding the maintenance and conservation work of the building (The Commissioner of Law Revision, 2005). This indicates the direct implication of economic sustainability where the issue of accessing to the resources in fulfilling the needs is being mitigated.

This act also highlights the importance of preserving and conserving the heritage. The document focuses on retaining old buildings and tries to mitigate issues of heritage building demolition through the introduction of fines. This highlights the indirect implication of environmental sustainability in term of reduction of carbon expenditure and waste emission. Referring to past researches, the demolition and constructing new building yield more carbon expenditure and waste emission than preserving the building (Forster et al., 2011).

## Malacca Enactment No.6 of 1998

The Malacca enactment No. 6 of 1998 in general focuses on managing heritage property, council and fund. This enactment highlights the allowance for local government to obtain its revenue from taxes, rates, fees and fines from the rate payers within conservation area to fund the conservation work (Ismail, 2012). This also indicates the direct implication of economic sustainability where the issues of accessing to the resources in fulfilling needs are being mitigated. It also highlights the people responsible in managing the conservation of old building. The enactment also emphasises on the preservation or conservation of declared heritage building by the responsible person, which will be inspected at all reasonable times by an officer authorised by the Local Authority.

The enactment ensures the identity of the conservation town is retained through no allowance of new building to be built within the historic town and no allowance for old building demolition (Ismail, 2012). The height of the new building around the conservation area is also controlled in the enactment to ensure the retention of identity of the area (Ismail, 2012). This part highlights the indirect implication of environment sustainability through reduction of demolition and construction carbon and waste expenditure and direct implication of social sustainability in term of cultural identity.

## Penang State Heritage Enactment 2011

Penang State Heritage Enactment 2011 is an adaptation of Act 645 for state use. It is created to manage the conservation and preservation of all heritage properties in Penang. Similar to Act 645, this enactment in general focuses on managing the national heritage assets, council, fund and listing.

In the document, the declaration of heritage property is defined. This is highlighted under section 29(2), where six criteria (refer Table 2) are laid out for potential heritage building to be considered for State Heritage property declaration (Penang State Authority, 2011). The six criteria reflect the direct implication of social sustainability where its emphasis on sustaining the cultural identity.

**Table 2.** Criteria for Penang Heritage Property Declaration

Penang Heritage Property Declaration criteria	
i.	The state heritage importance;
ii.	The good design or aesthetic characteristics;
iii.	The scientific or technical innovations or achievements;
iv.	The social or cultural associations;
v.	The potential to educate, illustrate or provide further scientific investigation in relation to Penang state cultural heritage;

(Source: Adopted from Penang State Authority, 2011)

Under section 36(1), the act highlights that the owner of heritage site can request for loan or grant from the commissioner to fund the maintenance and conservation work of the property (Penang State Authority, 2011). This indicates the direct implication of economic sustainability where the issues of accessing to the resources in fulfilling needs are being mitigated.

This document also highlights the importance of preserving and conserving the heritage building. The document emphasises on retaining old buildings. This highlights the indirect implication of environmental sustainability in term of reduction of carbon expenditure and waste emission. Referring to past researches, the demolition and constructing new building yield more carbon expenditure and waste emission than preserving the building (Forster et al., 2011).

## RESEARCH APPROACH ON HERITAGE CONSERVATION LEGISLATION AND POLICY

This paper reviews existing Malaysian heritage building conservation policies and legislations and its integration of the triple-bottom line principle of sustainability and are benchmarked against other international policies and legislation. This paper aims to inform the development of a guideline for integrating sustainability principle in the adaptation, conservation and restoration of heritage building in Malaysia.

This paper focuses on analysing current available local and international heritage conservation legislation and policies, and to explore the current integration of the legislation and policies towards sustainability aspects of environment, economic and social. These legislation and policies will be analysed in terms of sustainability which is based on the sub criteria of each sustainability element (refer Table 3). These criteria are adopted from Agenda 21 document which highlights the economic, social and environment criteria for “Sustainable development” concept (Kahn, 1995).

**Table 3.** The Principle of Sustainable Development

Element	Criteria
Economic Sustainability	Growth Development Productivity Trickle Down
Social Sustainability	Equity Empowerment Accessibility Participation Sharing Cultural Identity Institutional Stability
Environmental Sustainability	Eco-System Integrity Carrying Capacity Biodiversity

(Source: Adopted from Kahn, 1995)

The analysis will identify the integration of criteria for each sustainable principle throughout the legislation. Each of the requirement in the section of legislation will be analysed for any means of sustainability criteria integration. The document will then be categorised into direct integration, indirect integration or no integration. This categorisation will be made for all criteria of the sustainability element. The analysis will determine the criteria of each sustainable principle throughout the legislation:

- A direct integrated document shall consist of legislation section where the mean of its requirement directly manages the sustainability criteria such as the cultural identity.

These requirements need to be directly emphasised the importance of managing the sustainability criteria in the heritage conservation.

- Indirect document on the other hand categorises the document which consist of legislation section where from the impact of complying with the requirement of the legislation, it will be resulting in the sustainable criteria benefits such as the low environmental impact.

This method of legislation and policy analysis was adapted from study made by Sarnacki, Bonafede (Kantor Management Consultants, 2015) which analysed the integration of environmental into other sector policies and legislation. The purpose of this categorisation is to assist in further understanding and benchmarking the current implementation of sustainability principle in the international and Malaysian legislation and policy.

## **HERITAGE LEGISLATION AND POLICY INTEGRATION TO SUSTAINABLE DEVELOPMENT**

Derived from the reviews made, it is identified that all of the studied international heritage legislations have already emphasised on the sustainability principle integration. Throughout all regions, it can be identified that the integration of social and economic sustainability criteria is having some direct integration into the legislation. It is identified that the economic criteria of cost, productivity and growth are the criteria emphasised in directly integrating the economic sustainability in heritage building. On the social sustainability integration, the criteria that being emphasised in the direct integration measure are institutional stability, cultural identity, sharing, participation, accessibility, accessibility, and equity (refer Table 4).

In terms of environmental sustainability criteria, most of the legislations have indirect environmental integration criteria in their documents. However, the Indonesian, United Kingdom and UNESCO documents greater emphasis on direct integration of environmental sustainability criteria into their document. The importance of these documents' analysis, other than benchmarking, is an approach method that can be derived and considered in improving the integration of sustainability principle into the current Malaysian heritage legislation.

### **Malaysian Heritage Conservation Legislation and Policy**

In summary, all of the studied Malaysian heritage legislations have already emphasised on the sustainability principle integration. It is identified that the integration of the social and economic sustainability criteria is mostly directly integrated into the legislation. It is identified that the economic criteria of cost and productivity are the criteria that being emphasised in directly integrating the economic sustainability in heritage building.

With regards to the social sustainability integration, the criteria emphasised in the direct integration measure are institutional stability, cultural identity, empowerment, sharing, accessibility, accessibility, and equity. In term of environmental sustainability criteria, most of the legislations are indirectly integrating the sustainable criteria into the document. The importance of this analysis is to identify the current performance of the legislation in term of sustainability integration and to benchmark against the international counterpart in order for approach method to be derived in improving the integration of sustainability principle into the current Malaysian heritage legislation.



**Table 4.** International Heritage Legislation and Policies Against Sustainability Criteria

Document	Coverage	Sustainability Element													
		Economic					Social					Environment			
		Growth	Development	Productivity	Trickle Down	Equity	Empowerment	Accessibility	Participation	Sharing	Cultural Identity	Institutional Stability	Eco-System Integrity	Carrying Capacity	Biodiversity
UNESCO, Operational Guidelines for the Implementation of the World Heritage Convention 2016	International	●	●	●	x	●	o	●	●	●	●	●	●	●	●
English Heritage, Building Regulations and Historic Buildings 2004	United Kingdom	●	o	●	x	x	o	o	●	●	●	●	●	●	x
ICOMOS, the Burra Charter: The Australia ICOMOS Charter for Places of Cultural Significance 2013	Australia	●	o	●	x	●	o	o	●	●	●	●	o	x	o
National Historic Preservation Act 1966	United States of America	●	o	●	x	●	●	●	●	●	●	●	o	x	o
Law for the Protection of Cultural Property 1950	Japan	●	o	●	x	●	●	●	●	●	●	●	o	x	o
The Preservation of Monuments Act 1971	Singapore	o	x	●	x	o	●	●	●	●	●	●	o	x	x
<i>Cagar Budaya</i> 2010	Indonesia	●	●	●	x	●	●	o	●	●	●	o	o	●	x
National Heritage Act 2005 (Act 645)	Department of National Heritage	o	o	●	o	●	●	●	o	●	●	●	o	x	●
Malacca Enactment no.6 of 1998	Historic Malacca City Council	o	o	●	o	●	●	●	o	●	●	●	o	x	x
Penang State Heritage Enactment 2011	Penang Island City Council	o	o	●	o	●	●	●	o	●	●	●	o	x	●

**Indicator:**

- Direct Integration
- o Indirect Integration
- x No Integration

(Source: UNESCO, 2016; Heritage Perth, 2011; Agency for Cultural Affairs, 2007; People’s Consultative Assembly, 2010; Ismail, 2012; Penang State Authority, 2011; English Heritage, 2004; The Law Revision Commissioner, 2011; Office of the Law Revision Council, 2016)

## **SUSTAINABILITY GAP IN MALAYSIAN AND INTERNATIONAL LEGISLATION AND POLICY**

The summaries of Malaysian and International heritage legislation in Table 4, are benchmarked to identify the existing gap in terms of sustainability aspect. The focus in analysing existing sustainability gap is to identify approach method which can be adopted into the current Malaysia legislation and policies to improve the integration of sustainability principle.

### **Economic Sustainability Gap**

From the benchmarking against International legislation and policies, in terms of economic sustainability, the current Malaysian heritage policies are integrating the economic element on par with the international counterpart. The current Malaysian legislation and policy are integrating the economic sustainability with a direct approach despite having different approach methods in each document. Despite the differences, the ideology behind these approaches are similar which is to provide the owner or the managing parties of the heritage properties with ways of obtaining resources in managing the property. This approach ideology can also be identified throughout all of these benchmarked International documents. For example, the UNESCO document is using the approach of providing access to World Heritage Fund resources to the managing parties of the listed world heritage site (UNESCO, 2016). This approach is similar with the National Heritage Act approach which provides access for the managing parties to the National Heritage Fund (The Commissioner of Law Revision, 2005).

However, a small gap can be identified when these documents are being benchmarked in terms of development and growth criteria. When being compared to the Indonesian document, the current Malaysian document fall short in terms of managing the heritage properties development. In the Indonesian document, the Cagar Budaya, under chapter seven (7), there is a specific section which emphasises on managing the development of the heritage properties (People's Consultative Assembly, 2010). This section aims to encourage the development of the heritage properties but at the same time retains the significant values of the properties. This is the area which can be looked into in order to improve the current Malaysian heritage sustainability.

Overall, the current Malaysian heritage legislation adopts similar approach in integrating economic sustainable element. However, the sustainable performance of the current heritage legislation can be further improved in terms of development criteria aspect.

### **Social Sustainability Gap**

In terms of integrating social aspect of sustainability, the Malaysian legislation and policies are performing similarly with the international counterpart. Throughout the document, the issues of social are directly integrated and managed. Different from the economic approach, all of the analysed Malaysian heritage legislation and policy are having similar emphasis in sustaining the social pillar of sustainability. The approach used in most documents emphasise on sustaining the cultural identity, equity, accessibility and sharing.

Despite performing similarly with the international counterpart, there are minor sustainable gap that exists in the current Malaysian heritage legislation. Currently, the Malaysian documents are lacking in terms of managing participation criteria for the social sustainability. In the UNESCO document, they integrate the participation criteria of social sustainable by encouraging the involvement of society with the heritage conservation project. Methods such as organising educational and information programmes which emphasise in strengthening appreciation and respect by the peoples for the cultural and natural heritage are used as an approach in managing the social sustainability (UNESCO, 2016).

Overall, the current Malaysian legislations, which are the National Heritage Act 2005, Malacca Enactment No. 6 of 1998, Control of Rent (Repeal) Act 1997, Penang State Heritage Enactment 2011 are performing on par with the international counterpart in term of integrating social sustainable element. However, the current legislations can still be improved through integrating the participation criteria of the social element.

### **Environmental Sustainability Gap**

A few notable differences of sustainable integration approach can be found when benchmarking the current Malaysian legislations and policies against some of the international counterpart in terms of environmental aspects. From analysing the Malaysian heritage legislations, the result indicates that the current document mostly indirectly integrates the environmentally sustainable element. Most of the integration comes through the impact of implementing several requirement or specification of legislation and regulation which resulting reduction of waste emission. For example, through controlling the disposal of old buildings (The Commissioner of Law Revision, 2005; Penang State Authority, 2011) it assists in reducing the waste emission.

There is currently no direct integration of environmental sustainability criteria in the current Malaysian document. However, compared to the European based international legislation policy, there are some sustainability gaps exist. Most of the analysed European document has opted to use a direct integration approach in managing the environmental sustainability element. The UNESCO Operational Guidelines for the Implementation of the World Heritage Convention, 2016 has a section which requires the integration of sustainable development principles into the management system. Other than that, the document also encourages the usage of heritage properties toward more ecologically and culturally sustainable purpose (UNESCO, 2016). Besides, the document also listed out selected advisory bodies for sustainability. In United Kingdom, the direct approach method used are through integrating the requirement of energy used and greenhouse gas emissions reduction measure in their building regulation (English Heritage, 2004). Besides that, the current Indonesian heritage legislation has also directly integrated environmentally sustainable elements in term of reducing the environmental impact. Under chapter seven (7), the document integrate the environmental criteria trough the requirement for environmental impact analysis before making any decision making (People's Consultative Assembly, 2010).

Overall, the current Malaysian heritage legislations are lacking in the integration of the environmentally sustainable element. Through the identified sustainable gap, environmentally sustainable measure which is adopted from the international counterpart can be used to improve that current performance of Malaysian heritage legislations. The measures

such as requirement for carbon emission reduction and environmental impact analysis can be adopted in improving the current Malaysian heritage legislation.

## CONCLUSION

The findings and analysis indicate that existing Malaysian heritage conservation legislations are socially and economically sustainable, rather than environmentally focused. This is mainly due to the nature and ideology of heritage conservation which is to preserve the cultural significant of the heritage properties for the future generations, which in result benefits the social and economic sustainability. Some minor improvement can be made in further improving the integration participation criteria and development criteria. Subsequently, improvements need to be done in integrating the environmentally sustainable criteria in the Malaysian heritage conservation legislations.

From the analysis, it was identified that integration of carbon reduction requirement as a suitable environmental integration in improving existing Malaysian Heritage conservation legislation. For the economic sustainability, the allowance for heritage assets to be developed with the consideration of the utilisation, maintenance and significant values of the properties are identified as suitable approach in integrating the development criteria directly into the heritage. As for social integration, organising educational and information programmes that emphasise in strengthening appreciation and respect by the people for cultural and natural heritage can be adopted to improve the current Malaysian heritage legislation.

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# CONSTRUCTION QUANTIFICATION SKILLS: A PRELIMINARY INVESTIGATION OF THE KEY FACTORS AND THEMES

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## Abstract

Construction quantification skills are crucial to producing an accurate Bill of Quantities. Therefore, the study investigates the key factors and themes related to the quantity surveying learners' construction quantification performance. In this qualitative research, the data collected through a semi-structured interview conducted on 25 participants comprising of consultant quantity surveyors registered with the Board of Quantity Surveyors Malaysia (BQSM). Based on the thematic analysis, four (4) core inquiry topics (themes) identified, such as construction quantification proficiency, construction quantification difficulties, academic performance and information technology or software intervention. The findings also showed that the key factors and themes greatly influenced the performance of the learners. This study provides an overview of quantification skills and performance development among learners in the quantity surveying field.

**Keywords:** *Construction quantification; difficulties; performance; proficiency; intervention.*

## INTRODUCTION

Due to awareness of the importance of construction quantification skills, the present study attempts to determine several key factors and core inquiry topics (themes) related to the construction quantification skills. These themes have been identified from the semi-structured interview known as contributors to the quality standards and skill gaps of construction quantification skills amongst learners (Hodgson et al., 2008; Peddle, 2000). On top of that, this study also reviews related core variables (key factors) reported in previous studies associated with the construction quantification learning and performance framework studies to confirm the validity of variables obtained from the interviews. Based on the sub-themes (i.e., first and second theme) generated from the semi-structured interviews, the preliminary investigation would also be crucial to produce meaningful outcomes at the end of the thematic analysis procedures.

## RESEARCH BACKGROUND

One of the most significant course outcomes of the construction quantification subject is to benchmark against the students' construction quantification proficiency standard. Therefore, possessing a highly proficient level in the construction quantification skill is crucial for every Quantity Surveyor (QS) to master as it is the essential skill required in the preparation of Bills of Quantities (BQ) for any construction works (Fortune & Skitmore, 1993; Fortune & Skitmore, 1994).

Correspondingly, as reported in the previous research, construction quantification proficiency standards have been identified as the learners' core requirements in the quantity

surveying field (McDonnell, 2010). Thus, to tackle the learners' and graduates' proficiency issues, the focus must be on improving the core abilities that influence learners' construction quantification capability. According to Osman et al. (2015), it is advantageous to have the ability to understand thoroughly the complex ideas shown in the drawings as it could greatly assist the learners to perform well in construction quantification tasks (Osman et al., 2015).

Therefore, a valuable framework and a thorough plan to improve learning outcomes and performance relationship are undeniably crucial. The learners need to be well-equipped with the critical factors of construction quantification skills influences during the learning process (See Table 1).

**Table 1.** Construction Quantification Skills Influences

Key Factors	Author
<ul style="list-style-type: none"> <li>• Basic taking-off skills</li> <li>• In-depth training</li> <li>• Completed QS tasks within the time frame</li> <li>• Basic quantification tasks</li> <li>• Good skills (reading and interpreting drawings)</li> <li>• Creative thinking</li> <li>• Imagine a picture (taking-off problems)</li> <li>• Good skills (visualisation)</li> </ul>	Fortune and Skitmore (1993) Fortune and Skilmore (1994) Greene (2001) Hodgson et al. (2008) Klosters (2014) Kymmel (2008) McDonnell (2010) Oladotun and Edosa (2016) Osman et al. (2015) Zakaria et al. (2006)
<ul style="list-style-type: none"> <li>• Completed QS tasks within the time frame but had many errors</li> <li>• Carrying out quantification tasks under the supervision and failed to perform independently</li> <li>• Always completed taking-off task beyond the time frame</li> <li>• Struggled with problems involving drawings</li> <li>• Struggled to convert the two dimensional (2D) drawing into a three dimensional (3D) drawing</li> <li>• Struggled to interpret drawings within a short time</li> <li>• Struggled to visualise the 3D view in mind</li> <li>• Struggled to read 2D drawings</li> </ul>	Chong et al. (2012) Hodgson et al. (2008) Lay (1998) Smith (2004) Peddle (2000)
<ul style="list-style-type: none"> <li>• Well-trained in QS core skills</li> <li>• Ability to imagine a picture</li> <li>• Able to sketch (to solve a taking-off problem)</li> <li>• Able to indicate essential label aspects of the drawing</li> <li>• Able to understand (the 2D and 3D view looks when rotated from their initial states)</li> <li>• Able to understand how an object would appear, drawn or represented</li> </ul>	Ali et al. (2012) Basham and Kotriik (2008) Fortune and Skitmore (1994) Golledge and Stimson (1997) Marunić and Glažar (2014) McGee (1979) Oladotun and Edosa (2016) Olkun (2003) Park and Yoon (2012) Velez et al. (2005) Wang, Chang and Li (2007) Zakaria et al. (2006)
<ul style="list-style-type: none"> <li>• Well-trained to the latest computer and Information Technology (IT) techniques</li> <li>• Well-equipped with computerised drawing skills, planning and programming techniques</li> </ul>	Ali et al. (2012) Cheng (2008) Fogg (2007) Hassan et al. (2011) Hodgson et al. (2008)

## RESEARCH METHODOLOGY

This study adopted a qualitative method for the data collection approach, using a semi-structured interview (open-ended questions). In line with the previous qualitative research approach, this study comprises three processes: content analysis (literature review), selection of participants, and a semi-structured interview. The content analysis explores the associated

construction quantification learning key factors and performance framework studies discussed in previous studies and identified problems encountered amongst the QS learners.

For data collection approach in a qualitative method, the sample's information power, such as the saturation concept will be critical to achieving the study's aim (Malterud et al., 2016). The same concept adopted in this research. At the beginning of the data collection process, a total of 364 consultants QS registered with the BQSM (as of December 2018) invited to participate in the study via an official letter to individual QS consultant. A follow-up phone call regarding the invitation letter's acceptance was the further action taken to confirm the participation agreement (Drabble et al., 2016). The consultants were selected based on their broad experience and knowledge in the field of quantity surveying. The invitation period was allowed for two months to allow ample time for the QS consultants to decide whether or not to participate in the study. Further follow-up was decided not to be made beyond the above period as the participants for this study were determined based on their willingness to participate.

The interviews session was via either face-to-face or telephone calls, based on the participants' choice. To ensure the participants understand the research context clearly, the researcher allocates 30 minutes for each participant to review the semi-structured interview questions before the session begins. The interview lasted for 1 – 2 hours for each participant. Participants' approval obtained before recording the interview session with an electronic recorder. The interview session guided by 22 open-ended questions related to the key factors contributing to the construction quantification skills in quantity surveying and relevant practices.

The semi-structured interview questions that have been asked to the respondents were related to the critical skills of learners or graduates in the QS profession, critical technical subjects that influence the QS skills preparedness when performing construction quantification task, construction quantification skills of learners or staff who recently graduated in QS field (proficiency levels), challenges and difficulties encountered in construction quantification work, challenges to thoroughly understand the construction drawings, deficiencies of the 2D drawings, effectiveness of the 3D drawings, examples of technical subjects that need to be emphasised during learning to improve the construction quantification skills, importance of draughtsmanship subject (construction drawings), importance of imagination ability, differences between learners or graduates with high and low visualisation, examples of difficulties faced by the learners and graduates, importance of software application in the QS work profession, employers' expectations and last but not least the suggestions, ideas or initiatives to improve the construction quantification skills of learners or graduates (quality standards).

During the interview session, the participants not restricted to answer the listed questions as they were allowed to suggest potential ideas on improving the academic achievement and anticipated skill requirements among the quantity surveying learners or graduates. Further to ease the communication process and valuable data flow, the session was conducted using the participant preferred language (Nederhof, 1985).

Table 1 summarised the details of critical factors (learners' performance criteria) obtained during the content analysis procedures (literature review) to accomplish the research purpose.



Data obtained through the semi-structured interview were analysed using a thematic analysis procedure, which examined the data to identify common themes such as topics, ideas and patterns of repeatedly meaning from the participants' articulation.

## **FINDINGS AND DISCUSSION**

The study aimed to investigate the key factors and themes contributing to the quantity surveying learners' construction quantification performance. After two months, 25 QS consultants who agreed to participate gave a response rate of 6.9%. Even though the data obtained from a small number of participants, the qualitative method's uniqueness allows the researcher to obtain comprehensive information on the investigated issues (Malterud et al., 2016). In this study, the study's information obtained for this study was from a small number of 25 QS professionals via semi-structured interviews.

Table 2 below shows the key factors and themes obtained through a thematic analysis of the interview recordings. The study findings disclosed several key factors and themes contributing to the construction quantification skills in the quantity surveying profession. Firstly, the findings listed in general on several key quotes (sub-themes) associated with the research aim. Secondly, the significant factors classified into sub-themes categories based on the similarity of meanings (sub-themes). Finally, the analysis further highlighted several crucial points and relevant core inquiry topics (themes) of an observable outcome during coding, clustering and labelling process.

During the thematic analysis phases, it is essential to fully understand how the sub-themes and themes fit into the construction quantification learning outcome. Additionally, it is vital to fully understand each variable's relationship effect to construction quantification learning and performance criteria before finalising the most meaningful core inquiry topics (themes). In this particular research, the preliminary survey findings highlighted four significant themes related to construction quantification matters: proficiency, difficulties, academic performance, and software intervention (See Table 2).

### **Proficiency**

Construction quantification proficiency (core knowledge) was the first key theme contributing to the construction quantification skills in quantity surveying and relevant practices. These include abilities to rotate 2D objects in mind, perform taking-off in a timely and independent manner. The participants had highlighted the importance of the taking-off skill, in-depth training, excellent skills and abilities in interpreting construction drawings. The learner should also possess high imagination and spatial visualisation ability (SVA) to understand 2D drawings. A study on the e-learning approach to quantity surveying in Australia reported quite a similar finding (Hodgson et al., 2008).

### **Difficulties**

Construction quantification difficulties relate to the lack of ability to perform a given task. This core factor emerged second key theme contributing to the construction quantification skills in quantity surveying (Hodgson et al., 2008). The difficulties experienced by many learners, graduates, or junior QS hovers around the incapability to complete a given task

promptly. Many admitted this as a result of the difficulty in understanding of 2D drawings. The participants emphasised in the interview on the minimum knowledge and skill the learners need to acquire because of less experience among novice learners would lead to lack of skills, minimum knowledge, limited ability and inevitably failed to perform the task efficiently. The current study findings support that of study in Australia (Hodgson et al., 2008).

## Academic Performance

Academic performance differences amongst learners emerged the third key theme that contributes to the construction quantification skills in quantity surveying and relevant practices. These include the individual learners' ability to understand 2D drawings, draw pictures or diagrams to help perform the taking-off task. The participants opined that quantity surveying learners should have exposed to 3D drawings, such as isometric views or other relevant animations, and outstanding ability such as visualisation skills including other QS core skills.

The current study findings comparable to the previous study findings in a classroom setting of an English education approach (Hinchion, 2016). Additionally, according to Wasserman and Wasserman (2016), personal experience and knowledge can be considered value indicators and must be well emphasised.

## Software Intervention

Current Information Technology (IT) or software intervention emerged the fourth key theme that contributes to the construction quantification skills in quantity surveying and relevant practices. According to Hassan et al. (2011), Information Technology (IT) competencies are skills abilities in the employers' expectation list. To meet construction industry expectations, they are always targeting outstanding graduates who can perform well to survive in this challenging industry.

Moreover, according to Hodgson et al. (2008), Information Technology (IT) and software intervention are complements for conventional approaches as they could assist learners' limitations of 2D drawings problem. The interview participants agreed that the latest computer and Information Technology (IT) techniques such as computerised drawing skills, planning, and programming techniques are very efficient in supporting the current educational setting. Besides known as additional support tools in the current learning approach, most employers believed that Information Technology (IT) intervention could improve the learners' skills, especially for learners with significant learning difficulties. As a result, using IT nowadays is also known as a value-added for increasing the quality standard of the learners' skills to meet the industry and the employer's needs.

**Table 2.** Key Factors and Core Inquiry (Thematic Analysis Results)

Sub-themes (first)	Sub-themes (second)	Themes
Well-equipped with the necessary taking-off skills required by junior QS (A).	Well-equipped with the necessary taking-off skills required by junior QS (A).	Proficiency
Well-trained in QS core skills (ability to prepare bills of quantities, drafts construction contracts, estimates and final account (B).	Well-equipped with in-depth training of construction quantification skills (D).	

Sub-themes (first)	Sub-themes (second)	Themes
Well-trained in the latest computer and IT techniques (C).	Capable of completing QS tasks within the time frame but with fewer errors (F).	
Well-equipped with in-depth training of construction quantification skills (D).	Capable of carrying out basic construction quantification tasks without supervision (Independent) (H).	
Well-equipped with computerised drawing skills, planning and programming techniques (E).	Always possess good skills in sketching, reading and translating drawings (K).	
Capable of completing QS tasks within the time frame but with fewer errors (F).	Always good at expressing ideas and creative thinking to solve a taking-off problem (P).	
Capable of completing QS tasks within the time frame but with many errors (G).	Able to imagine a picture related to a taking-off problem in mind (R).	
Capable of carrying out basic construction quantification tasks without supervision (Independent) (H).	Having good visualisation skills is essential to a QS (Z).	
Capable of carrying out basic construction quantification tasks under supervision (Taking-off) (J).	Capable of completing QS tasks within the time frame but with many errors (G).	Difficulties
Always possess good skills in sketching, reading and translating drawings (K).	Capable of carrying out basic construction quantification tasks under supervision (Taking-off) (J).	
Capable of carrying out basic construction quantification tasks under supervision (Taking off) but failed to perform independently (Dependent) (L).	Capable of carrying out basic construction quantification tasks under supervision (Taking off) but failed to perform independently (Dependent) (L).	
Always complete taking-off task beyond the time frame and with many errors (M).	Always complete taking-off task beyond the time frame and with many errors (M).	
Always had trouble with the SVA, problems involving drawings (N).	Always had trouble with the SVA, problems involving drawings (N).	
Always good at expressing ideas and creative thinking to solve a taking-off problem (P).	When working on a 2D picture or drawing problem in taking-off class, graduates always find it hard to convert the drawing into a 3D view in mind and struggle to draw the 3D picture (U).	
Able to imagine a picture related to the problem (Q).	Fresh graduates have difficulties reading and translating drawings within a short time due to lack of experience (V).	
Able to imagine a picture related to a taking-off problem in mind (R).	Most fresh graduates had trouble visualising the (3D) view in mind due to lack of experience (W).	
Can draw pictures to solve a taking-off problem (S).	Most recent graduates had trouble understanding and interpreting orthographic (2D) drawings due to lack of experience and imagination skills (X).	
Able to indicate essential label aspects of the drawing such as length, width, height or angles (T).	Well-trained in QS core skills (ability to prepare bills of quantities, drafts construction contracts, estimates and final account (B).	Academic Performance
When working on a 2D picture or drawing problem in taking-off class, graduates always find it hard to convert the drawing into a 3D view in mind and struggle to draw the 3D picture (U).	Able to imagine a picture related to the problem (Q).	
Fresh graduates have difficulties reading and translating drawings within a short time due to lack of experience (V).	Can draw pictures to solve a taking-off problem (S).	
	Able to indicate essential label aspects of the drawing such as length, width, height or angles (T).	

Sub-themes (first)	Sub-themes (second)	Themes
Most fresh graduates had trouble visualising the (3D) view in mind due to lack of experience (W).	Having the capability of viewing and sketching the 3D helped them gain a better understanding (Y).	
Most recent graduates had trouble understanding and interpreting orthographic (2D) drawings due to lack of experience and imagination skills (X).	Well-trained in the latest computer and IT techniques (C).	Software Intervention
Having the capability of viewing and sketching the 3D helped them gain a better understanding (Y).	Well-equipped with computerised drawing skills, planning and programming techniques (E).	
Having good visualisation skills is essential to a QS (Z).		

During this early familiarisation phase, getting known the thematic analysis findings is very crucial. Specifically, the process would help group the key factors, sub-themes and themes (See Table 2). In other words, it is useful towards clarifying the actual representation ability of learners, especially when it comes to visualising and calculating the approximate cost of the whole project from the construction drawings (construction quantification performance). Figure 1 below summarises core inquiry topics (themes) generated from the thematic analysis of the interview data which confirmed the research variables.

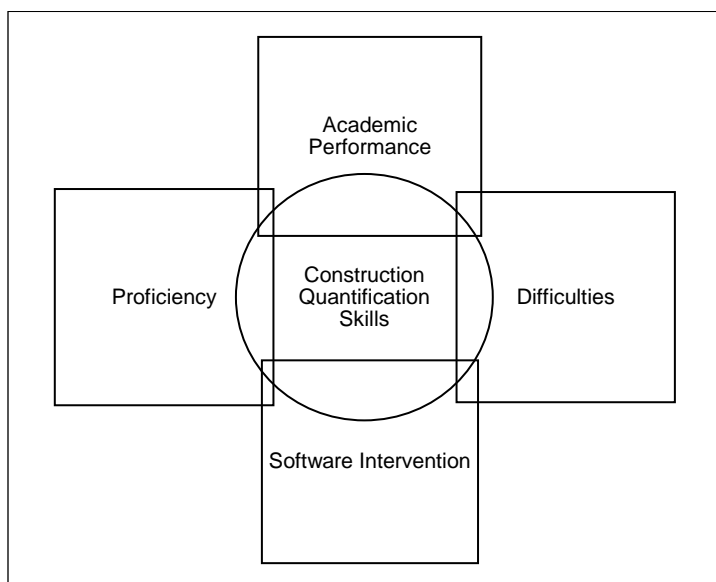


Figure 1. Construction Quantification Skills (Themes)

## CONCLUSION

Based on a thematic analysis of a semi-structured interview data conducted amongst consultant QS registered with the BQSM, the study disclosed several related vital factors and themes of construction quantification skills and performance influences. The participants indicated their concern towards skill gaps that influenced the learners' skills in construction quantification. In particular, they claimed that the skill gaps occur where learners did not possess adequate prior experience, knowledge, or specialised skills to meet the industry and the employer's needs.

In the first place, the key factors described in several sub-themes (first sub-themes and second sub-themes) that may be essential towards generating more ideas and grounds for the purpose and further direction of the present study designed to fill and discuss. Finally, four themes disclosed from the thematic analysis, namely construction quantification proficiency, difficulties, academic performance and Information Technology (IT) or software intervention.

In summary, QS learners' skills and performance in construction quantification seem to influence the highlighted key factors and learners' criteria. Additionally, most of the participants agreed that the key factors would provide a valuable framework for improving learning outcomes and performance relationship. The current study noted that the findings of the core themes obtained from the thematic analysis in this research were generally in tandem with the previous authors' key factors. Therefore, in ensuring learners' quality standards, the findings offered a crucial contribution towards giving initial indications regarding learners' quantification skills.

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# DEVELOPING A FRAMEWORK FOR SUCCESSFUL HANDOVER OF PRIVATE FINANCE INITIATIVE (PFI) PROJECTS IN MALAYSIA

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## **Abstract**

Private Finance Initiative (PFI) is known as one of the Public-Private Partnership (PPP) model in Malaysia, and it has a significant part in Malaysia's Development Plan. However, the PFI projects' success is related to the successful handover to its operational stage. This study defines the handing over and delivery stage as receiving the certificate of acceptance from the owner or client. It is the final crucial phase to complete the whole life cycle of the PFI project. However, given its importance, there are no existing studies that elaborate further on the problems that affect the handing over the stage in PFI projects. Therefore, this study investigates these problems in Malaysia's context, including incomplete O&M documentation, financial issues, unclear project briefs, incompliance to client requirements, and drawing discrepancies. The solutions for these problems that affect the handover of PFI projects were then identified consisting of planning, control, monitoring, the level of competency and experience of team members and the stakeholder management. The research also looks at project control and monitoring failure, collaboration issues and human-related matters. A framework for a more successful handover of PFI projects in Malaysia is then proposed. Through this framework, this study's contribution will minimize the numbers of delaying handing over of PFI project towards its operational stage. At the same time, it provides valuable information for the government, public and private sectors alike, on the key factors that need to be highlighted for a more successful handover of the PFI projects.

**Keywords:** *Private Finance Initiative (PFI), handover, delay, defect, and commissioning.*

## **INTRODUCTION**

Over the past few decades, the Public-Private Partnership (PPP) has been marketed as an option method of procurement to deliver public services in many countries. The scheme refers to the correlation between the public and private sectors, with various obligations and tasks, to deliver public services (Ahmadabadi & Heravi, 2019). In previous studies, Ismail and Azzahra Haris (2014) informed that PPP involves the transfer of responsibilities or liability (from the public sector to private sector) for the finance, design, building, and operation of public sector assets, such as infrastructure, buildings, equipment, and other associated facilities. It is typically based on an agreed concession period (typically 25 to 30 years). In return, the public sector pays rental charges to private companies in the form of a lease through the concession period (Rahman, Memon, & Zulkifli, 2014a). On a recent study, Hashim, Che-Ani and Ismail (2017a) suggested that the Public-Private Partnership (PPP) or Private Finance Initiative (PFI) is a method of procurement of the projects that had been successfully executed and applied by numerous countries around the world.

## **LITERATURE REVIEW**

With the recent achievement, PPP has become more common in Malaysia. The Malaysian government has introduced a prominent form of PPP, which is recognized as a Private Finance Initiative (PFI) under the Ninth Malaysia Plan (2006-2010) (Ismail et al., 2014). PPP under



the Ninth Malaysia Plan emphasized reforming at the execution process and improving the feasibility via the suitable risk's allocation between the public and private. Simultaneously, the Tenth Malaysia Plan (10th MP, 2011–2015), continued these objectives under its Five Strategic Thrusts (Abdullah, Sufian, Asenova & J. Bailey, 2014). Under the 10th Malaysia Plan, PPP's model would include a long-term lease of land with commercial potential and the outright sale and joint venture possibility (Khairuddin, 2014). Meanwhile, concurrent with the Eleventh Malaysia Plan (2016-2020), a high value is allocated to infrastructure development that utilizes PPP. Therefore, the Private Finance Initiative (PFI) as one of the Public-Private Partnership (PPP) model in Malaysia had a significant part in Malaysia's Development Plan. The methods used for the implementation of PPP projects include Built Operate-Transfer (BOT), Build-Operate-Own (BOO), Build-Lease-Maintain Transfer (BLMT), Build-Lease-Transfer (BLT) contract management, land swap, and corporatization. However, in Malaysia, the PPP method is implemented under the Build-Lease-Maintain Transfer (BLMT), Built Build-Lease-Transfer (BLT), and Build-Lease-Maintain Operator Transfer (BLMOT) (Khaderi et al., 2019).

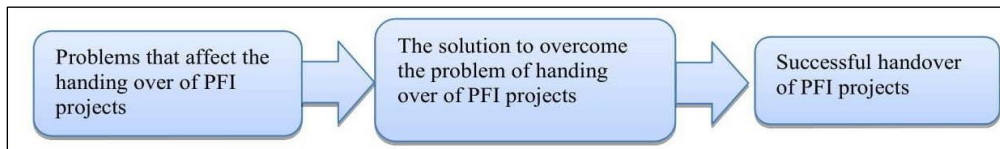
There are several successfully executed PFI projects in the past decade in Malaysia. However, there are still unsuccessful cases, mostly at its operational stage that this study will address. Among other considerations, the handing over of the PFI project to its operation stage is an important phase for completing the entire life cycle of the PFI project. The handing over and delivery stage in this study is defined as the stage of receiving the certificate of acceptance from the owner or client. Unkovski (2016) stated that the completion certificate refers to the certificate issued by the independent certifier (or by authority based on the construction, engineering and inspection engineer's reports). It is considered as the contractual evidence that the construction phase is complete. This independent party appointed by the client will check the completeness of works, verifying the start and update of the operating stage and issuing the acceptance certificate for the completed phase. Without this certificate, the PFI project is considered to be halfway complete and could not perform as required. If this occurs, the private partner suffers several negative impacts from the late completion of the construction phase that then impacts operational commencement. Therefore, determining the problems that may contribute to the unsuccessful or delayed delivery of the PFI projects towards its operational stage are critical and needed to be identified.

On PFI aspects as part of the PPP model, past works of literature discovered were primarily focused on PPP as the central interest of studies. Likewise, de Castro e Silva Neto, Cruz, Rodrigues and Silva (2016) had conducted studies overviewing 25 years of research on PPP and PFI literature. Their findings have shown that previous studies are mainly concentrated on analyzing contract performance and benefit, risk-sharing, and contract design. The latest study on the PPP (whereby PFI is part of it) for the past decades by Cui, Liu, Hope and Wang (2018), also had described and categorized the research topics into the following groups: financial package and PPP application, procurement and contract management, governance and regulation, economic viability and VFM, risk management success factors, and performance management. Most of the past studies are indicated to be more inclined towards identifying critical success factors (CSF) and the risk issues of the overall execution of the PFI.

Therefore, this study recognized the literature gap as the lack of critical research on the problems affecting the handing over PFI projects, specifically in Malaysia. This fact is

relevant for this analysis, as the PFI project’s handover issue would directly affect the PFI projects’ progress. As mentioned, few studies tackled the problems that affect the handing over the PFI projects’ towards the operational stage. These issues concern the assets that are formally handed over to the operating team for the PFI projects to the owner/client receiving the acceptance certificate. In addressing these problems, the study will develop a more refined framework for the handover of local PFI projects here.

The theoretical framework towards the successful handover of PFI projects is shown in Figure 1. It has been developed to establish the relationship between problems, solutions and successful handover of PFI projects.



**Figure. 1** Theoretical Framework of the Successful Handover of the PFI Projects

The delivery of a construction project relates to the completion of the project and the handing over. Therefore, the problems arising during the handover will impede the delivery of the construction project. Schneider et al. (2016) mentioned in his study in Norway that handover is often not practical due to the delays and defects. Firing, Lædre, and Lohne (2016) also stressed that the handover issues are related to problems of delay and defects or numerous reasons that lead to defects and further delays and that the majority of them occur before the handover. A few of the past studies on the construction project’s handover problems are stipulated in Table 1. It was found that at its construction stage, the PFI project had also faced the problem of delivery. In the meantime, Hashim et al. (2017a) highlighted that the Institute for Public Research (IPPR 2001) defined the problems during the handover primarily in the health and education sectors of PPP projects, whereby 11% of the projects were not delivered on time, suggesting a delay in the delivery of projects to their operational level.

**Table 1.** Problems in Handover of Construction Project

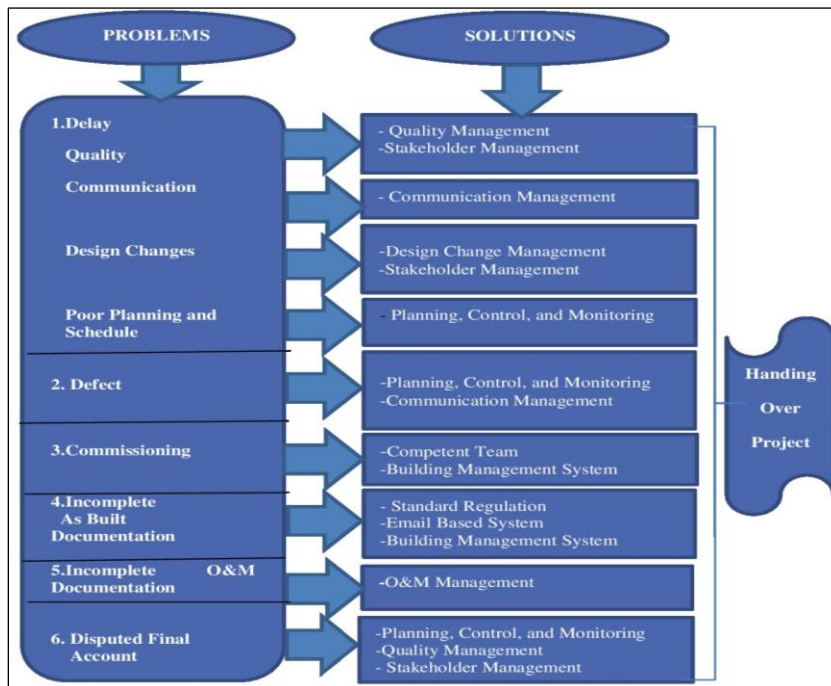
Problems in Construction Project	Journals
1. Delay	Schneider et al. (2016), Hasmori et al. (2018), Marzouk & El-Rasas (2014), Ramli et al. (2018), Zidane and Andersen (2018), Firing, Lædre and Lohne (2016)
2. Defect	Schneider et al. (2016), Othuman et al. (2014), Kissi et al. (2018)
3. Commissioning Issue	Schneider et al. (2016), Brito, Lopes, Rocha & Qualharini (2016), Al-bidaiwi, Beg & Sivakumar (2012), Munich RE (n.d.), Ye and Rahman (2011), W. Wu (2012), Larsen et al. (2018)
4. Incomplete As-built Documentation	Ayodeji et al. (2016), Pettee (2005), Hegazy & Abdel-Monem (2012)
5. Incomplete O&M Documentation	Ayodeji et al. (2016), Cavka, French and Pottinger (2015), Frank, Omer, Riffat and Mempoou (2015)
6. Disputed final account	Ayodeji et al. (2016), Zakaria et al. (2012), Zakaria et al. (2013)

Based on the identified problems in the construction project’s delivery, several approaches were highlighted and recommended by past studies concerning the above issues. The summary of the solution to problems in the construction project’s handover is tabulated in Table 2.

**Table 2.** Summary of Solution of Problems in Handover of Construction Project

Solutions of Problems in the Handover of Construction Project	Journals
1. Delay	Firing et al. (2016), Hasmori et al. (2018)
2. Defect	Manap et al. (2017), Othuman et al. (2014)
3. Commissioning issue	Brito et al. (2016), Al-bidaiwi et al. (2012), W. Wu (2012), Larsen et al. (2018)
4. Incomplete As-built documentation	Pettee (2005), Hegazy et al. (2012)
5. Incomplete O&M Documentation	Frank et al. (2015)
6. Disputed final account	Zakaria et al. (2012), Zakaria et al. (2013)

Solution categorization is derived and identified through an extensive literature review on the solutions addressing the construction project’s handover problems. A conceptual framework is developed, interrelated with the empirical research, concept and essential theories used to promote and systemize the knowledge (Adom, Hussein & Joe, 2018). Accordingly, a new conceptual framework that includes and regroups the identified variables affecting project delivery is presented in Figure 2. It can be applied as a base for further detailed investigation of the handing over PFI projects and establishing a PFI’s project handover framework.



**Figure 2.** Conceptual Framework for Successful Handover of Construction Project

## RESEARCH METHODOLOGY

This study’s approach to a qualitative method is chosen case studies. The primary data input is based on the literature review and subsequently followed by the semi-structured interviews conducted on Malaysia’s PFI project’s three case studies. Case studies as defined by Creswell (2009) are an approach or inquiry method in which the researcher explores in depth a program, process, activity, event, or one or more individuals where the cases are

bound by time and activity. Researchers gathered detailed data by applying various data collection procedures over a sustained period. On the other hand, to enhance this research's validity, the multiple case studies had been selected for this research to make better comparison and observation. Likewise, Yin (2014) stated that the researcher could examine the differences within and between cases in a multiple case study method. Therefore, three local cases studies of PFI projects were selected. These three case studies' choices is based on the successful and unsuccessful handover of the PFI project; receiving the certificate of acceptance from the client/public sector/government.

For the trustworthiness in qualitative research, the informants' feedback was applied to confirm the research's validity in this study. This method is also known as respondent validation or participant validation, whereby it is used to assess the trustworthiness, verify and validate the qualitative findings (Birt, Scott, Cavers, Campbell & Walter, 2016). The in-depth interviews were carried out with the respondents who came from different organizations. The respondents include consultants, client/end-user, contractor and concessionaire. All respondents verified the data's accuracy when they analyzed the results from each case study. The research instrument is developed for the semi-structured interview is divided into two parts. Section A aims to get feedback from the respondents regarding the problem of the handover of the PFI project in Malaysia. Meanwhile, section B seeks input from the respondents on solutions to Malaysia's PFI project handover issues.

In this study, the mock interviews or the pilot test of the qualitative interview, as suggested by Dikko (2016), were conducted in December 2018. The mock interview was conducted based on the research interview questions to conclude the construct and content validity of the selected data collection method. The expert checking for validation via the pilot test of interview questions was conducted with two potential project participants, who had the same criteria selection; minimum three years of experience in PFI projects, and a high position in a construction-related company. The interviews were conducted within a one-hour time frame. Subsequently, upon the pilot test interview, the interview questionnaire was reviewed, refined, and rephrased accordingly to achieve the research objectives. Therefore, the interviews' result becomes the baseline for the upcoming interview sessions with the other respondents.

Based on the respondents' numbers, the purposive sampling is chosen for this study and three selected projects for case studies were analyzed. The consultants, main contractors, client, and the concessionaire company were invited for the semi-structured interview, conducted in January 2019 to March 2019.

## **ANALYSIS AND DISCUSSION**

The summary of the project information for the case studies are stipulated in Table 3. The semi-structured interviews were conducted with the project participants from different companies; consultant, contractor, concessionaire and the client from every case study chosen. For easy referencing, coding is given for the company and the respondents. All the interviews were recorded with permission and were transcribed verbatim. The interview's transcripts will be extracted and tabulated into one table form, divided into 'Respondent', 'Interview Text', 'Interpretation of the Underlying Meaning', and 'Descriptive Code. The raw data collected from the interviews are then categorized into codes.

**Table 3.** Project Information for Case Studies

Project	Development & Construction of Centre for Foundation Studies for 5,000 Students at Dengkil via PFI (CASE STUDY A)	Development & Construction of Training Institute via PFI (CASE STUDY B)	Development & Construction of Centre for Foundation Studies at Gambang via PFI (CASE STUDY C)
Location	Dengkil Selangor	Nilai, Negeri Sembilan	Gambang, Pahang
Owner	Government	Government	Government
Commencement Date	26-Nov-12	1-Jul-13	16 Jan 2013
Completion Date (COA)	25-Nov-15	22-Jul-16	1 Dec 2018
On-Time	Yes	Yes	No (Delay Handover)- 2 years

### The Problem That Affects the Successful Handover of PFI Projects in Malaysia

Table 4 summarizes the descriptive code for identifying the problem affecting the successful handover of PFI projects in Malaysia. It is noticed that most of the respondents believe that the delay, defects detected and commissioning are the main problems that affect these handovers. The main contractors and concessionaire company from the three case studies shared the same opinions that the incomplete As-built documentation and the O&M documentation will not affect the handover. However, the concession agreement hinged on it did not require these documents mentioned above before issuing the certificate of acceptance. Therefore, the consultants and the client still view that these documents are essential for them and the O&M team usage at the operation stage. Likewise, the documents' incomplete submission will be a problem to the end-user or client if it has only been handed over after the issuance of the certificate of acceptance.

It was also found that the collaboration issues are the main problems for the three case studies as it relates to other problems such as the unclear project brief, different interpretation, and coordination issues between the client and the concessionaire. Sizeable respondents concluded that the disputed final account is not viable to the PFI project for all the case studies. Besides, the project's nature does not allow any extension of time (EOT) or the variation cost due to work variation. Since there is no final commercial account that influences the PFI project's delivery, the impact of the disputed final account will only happen between the concessionaire and the main contractors.

**Table 4.** Summary of Descriptive Codes/Themes on the Problems That Affect the Handover of PFI project

Descriptive Codes	Respondents Code												Total	%
	IVCA	IVCB	IVCC	IVCD	IVCE	IVCF	IVCG	IVCH	IVCI	IVCJ	IVCK	IVCL		
P1 - Delay	ü	ü	ü	ü	ü	ü	ü	ü	ü	ü	ü	ü	12	100
P2 - Defect	ü		ü	ü	ü	ü	ü	ü	ü	ü	ü	ü	11	92
P3 - Commissioning	ü	ü	ü	ü	ü	ü	ü	ü	ü	ü	ü	ü	12	100
P4 - Incomplete as built documentation	ü			ü	ü			ü	ü			ü	6	50
P5 - Incomplete O&M documentation	ü			ü	ü			ü	ü			ü	6	50
P6 - Disputed Final Account													0	0
P7 - Financial Issues	ü	ü	ü							ü			4	33
P8 - Unclear Project Brief	ü	ü			ü	ü	ü	ü	ü	ü	ü	ü	10	83
P9 - Incompliance to Client Requirement	ü	ü						ü			ü	ü	5	42
P10 - Drawing Discrepancies		ü		ü									2	17
P11 - Planning, Control and Monitoring Failure		ü	ü		ü				ü		ü	ü	6	50
P12 - Collaboration Issues		ü	ü		ü	ü	ü		ü	ü	ü	ü	9	75
P13 - Human Related Issues			ü					ü				ü	3	25

Table 4 shows eight (8) variables that achieved more than 50% feedback from the respondents. The variables are as follows:

### *Delay*

Based on the results, all the respondents agreed that delay is the main problem that may obstruct the PFI project's handover. This result parallels the study conducted in Norway, that delay will affect the handover's effectiveness (Schneider et al., 2016). The main factor that causes a delay in the PFI project may be due to the defects similarly shared by the three cases studies especially highlighted during a joint inspection between the client and concessionaire. Typically, the contractor needs time to rectify the detected issues before the second inspection could be carried out. Delay also happened caused by the lack of monitoring from the concessionaire's project team, especially regarding site management and supervision.

### *Commissioning Issues*

All the case studies and the respondents ranked the commissioning as a significant problem. Saidin Khaderi, Adnan, Yih Chong & Bakhary (2015) mentioned that the commissioning risk is part of the risk identification in Malaysia's PFI projects. However, there are no specific studies on commissioning issues related to the handover of identified PFI projects. Furthermore, the risk mentioned as the unsatisfactory performance in the commissioning stage will indeed influence the client not accepting the facility at that stage for handover to proceed.

The interview findings suggest that commissioning related to contractors' negligence in delivering good workmanship and good performance impaired the system's functioning. In case-study C, all equipment, particularly the M&E system, must be re-tested and re-run once the re-inspection has been carried out. When the time gap between the joint inspections is longer than standard construction period timeline, the recommissioning will fail due to the system not working for some time, especially if the M&E system is not maintained properly. Therefore, commissioning issues can be considered a continuous and prolonged problem if it is not appropriately handled until the handover process is completed.

### *Defect*

From the findings, 92% of respondents informed that defects are the second problem that mainly affect the PFI projects' handover. This statement is supported by Hashim et al. (2017a) in his study that, construction defects perceived as a significant contributing factor that could distract the handing over the process and operational phase. The defect's origin is due to the different interpretation of defects from the concessionaire and client. Moreover, there is a defect due to the poor workmanship from the contractors. These two defect categories are identified in case study C, whereby nearly 4000 defects detected from the first joint inspection (JI) were due to the mentioned factors.

Furthermore, based on the interview findings, the PFI defect is categorized into two types of non-conformance (NCR): the major NCR, and minor NCR. The major NCR includes the functioning, security, and safety mechanism in the PFI project. All the non-conformance work that was inspected during the joint inspection between the client /end-user and the

concessionaire project team did not comply with those factors as mentioned. This major non-conformance shall be treated as a significant defect which needs to be rectified before the handover. Moreover, the issue is that the defect's identification is subjective based on different interpretations between the concessionaire /his project team and the client /end-user. These issues occurred for both case study B and C. Hence, it is crucial to have an acceptable mechanism agreed by both parties to categorize the major NCR and minor NCR to avoid disputes or argument on the defect issues.

### *Unclear Project Brief*

From the analysis, almost 83 % of the respondents highlighted their concern regarding unclear project brief as one of the main problems discussed as potential PFI handover failures. Likewise, Carrillo, Robinson, Foale, Anumba & Bouchlaghem (2008) opined that inadequate client brief/requirements at the procurement stage had become a unique PFI issue. Therefore, enhancing the project brief at the early stage will eliminate the problems during the handover. Moreover, communication is suggested as the critical channel for resolving the issues during that early stage. It is essential that discussion with the end-user and well-maintained coordination among the team to be conducted regularly. As a result, liaison with the client and the authorities to comply with the standard criteria and eventually integrated into the project brief must be practiced. One of the useful mechanisms implemented in one of the PFI projects is noticed in case study A where it established the Value Management (VM) lab at the early stage of the project to conclude the final project brief as to avoid technical issues and other identified risks.

### *Collaboration Issues*

75 % of the respondents claimed that collaboration issues have to be taken care of to mitigate risks. In the case study A, the respondents stressed the significance of the collaboration to the project success. This result agrees with Ismail et al. (2018) findings that Private Finance Initiative (PFI) is a collaboration between private and public sector in procuring public infrastructure projects that begins with conceptual designs until the operational phase. Therefore, the collaboration issues such as coordination issues, relationship matters, lack of involvement of end-user, and miscommunication should be avoided from the beginning of the project. Consequently, the effective coordination and integration are crucial and necessary from the early stage, execution stage, and handover until the PFI project's operation stage. However, in case study C, this linear progression was not evidently practiced; hence it eventually created problems during the handover process.

### *Incomplete As-Built Documentation*

50% of the respondents agreed that the incomplete As-built documentation, including drawings, will affect the PFI project's handover. Likewise, Ayodeji et al. (2016) mentioned the incomplete As-built documents are associated with the construction projects' handover. Nevertheless, there are no studies that have related the incomplete As-built documentation in the PFI projects. Furthermore, the findings have shown that there are different opinions from different respondents. For example, in case study C, the concessionaire will only require to hand over the documents after receiving the client's certificate of acceptance. The complete submission of the As-built drawings is required and is part of handover criteria imposed by the PFI projects' client nowadays.



### *Incomplete O&M Documentation*

Even though Ayodeji et al. (2016) informed that incomplete submission of O&M would be affecting the handover of construction projects, but in the perspective of PFI model structure, the facility shall be maintained by the same concessionaire for a time-period as per stipulated in the concessionaire agreement. Therefore, it is purely the liability of the facility management team in the concessionaire company to obtain the complete O&M documentation for their usage purpose later. The availability charges may be reduced due to any hiccup during the operation and maintenance period. That is why half of the respondents do not recognize that incomplete O&M documentation may affect the PFI projects' handover. Moreover, there are no evident studies relating it to affect the handover of the PFI projects in Malaysia.

### *Planning, Control, and Monitoring Failure*

Trangkanont & Charoenngam (2014) mentioned that the poor project control, monitoring and project management were among the failure factors for the PPP projects handover. However, most past studies did not specifically highlight these problems that impact the PFI projects' handover stage. Moreover, based on interview findings, the issues of financial monitoring failure, the revised work programme, financial control failure, and the authorities' schedule not being monitored had been highlighted in case study A. Only with effective project management, control, and monitoring could the project mission objectives be achieved within the specified time, budgeted cost, and pre-defined quality specifications.

## **Solutions for Problems that Affect Handover of PFI Projects in Malaysia**

Table 5 indicates the display for summarizing the descriptive codes for the solutions of problems that affect the PFI project's handover. The respondents emphasized that 'planning and monitoring' and 'competent and experienced team' are the most influential problem-solving theme in the problems that affect the PFI project's handover. 92% of respondents reported that stakeholder management offers a solution to most of the PFI project's handover issues. Most of the issues such as the unclear project brief, the incompliance of client requirement and drawing discrepancies, collaboration problems could be resolved by the close relationship between stakeholder management and the entire project team. 42% of respondents proposed quality management to solve the problems of handover related to the defect issues. Only 33 % of the respondents stated that financial management is the solution for financial issues – the respondents were mainly from the contractor and concessionaire company side. Finally, human actions, such as management skills, responsible and competent leadership, would be the solution to the issue of handover relating to project monitoring and controlling failure.

**Table 5.** Summary of Descriptive Codes/ Themes on the Solution for Problems That Affect the Handover of PFI Project

Descriptive Codes	Respondents Code												Total	%
	IVCA	IVCB	IVCC	IVCD	IVCE	IVCF	IVCG	IVCH	IVCI	IVCJ	IVCK	IVCL		
S1 - Planning, Control and Monitoring	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	12	100
S2 - Quality Management	✓			✓		✓	✓			✓			5	42
S3 - Competent Team / Experience Team	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	12	100
S4 - Stakeholder Management	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓	11	92
S5 - Human Behaviour/Skills		✓	✓			✓							3	25
S6 - Financial Management	✓	✓	✓							✓			4	33

### *Planning, Control, and Monitoring*

All the respondents agreed that planning and monitoring are the best solutions for the main problems that occurred. This result agreed with Alinaitwe & Ayesiga (2013) that vital monitoring and evaluation teams for the projects implemented were among the success factors of implementing PFI in the construction industry. Previous studies have discussed the project management method to be applied, such as the development of an EPS (Enterprise Project Structure), and the creation of a WBS (Work Breakdown Structure) (Viradia, P. & Prakash Rao B. 2018). Nevertheless, none of the respondents explicitly mentioned the form of project management tools to be introduced.

### *Competent Team / Experienced Team*

All the respondents agreed that competent and experienced team members are also possible solutions for handover issues. The related problems are often identified defects, commissioning issues, and planning and monitoring failure. The study's result parallels with Yong & Mustaffa (2013) that stipulates that all involved members in the project should be competent and have relevant experience in their area of expertise. From the interview findings, the selection of the contractor is the core figure in the PFI projects. For example, in case study A and B, the projects achieved delivery on-time due to the experienced team involved in the PFI projects, whom both have the PFI management team from the client-side.

### *Stakeholder Management*

The problem solving on the collaboration issues, unclear project brief, project control monitoring failure, incompliance to client requirement and drawing discrepancies are among the issues found regarding stakeholder management. The problems can be seen developed from the planning stage, design stage and the construction stage. As suggested by El-Gohary, Osman & El-Diraby (2006), the PPP projects' stakeholder management would influence the project success related to the successful handover of the PFI project to its operational stage. When comparing case study, A & B with case study C, the lack of involvement of the end-user and the client mostly occurs in case study C. As a result, there was no regular engagement, such as meetings, and coordination between the stakeholders, especially concessionaire and the client that greatly affected the due progress.

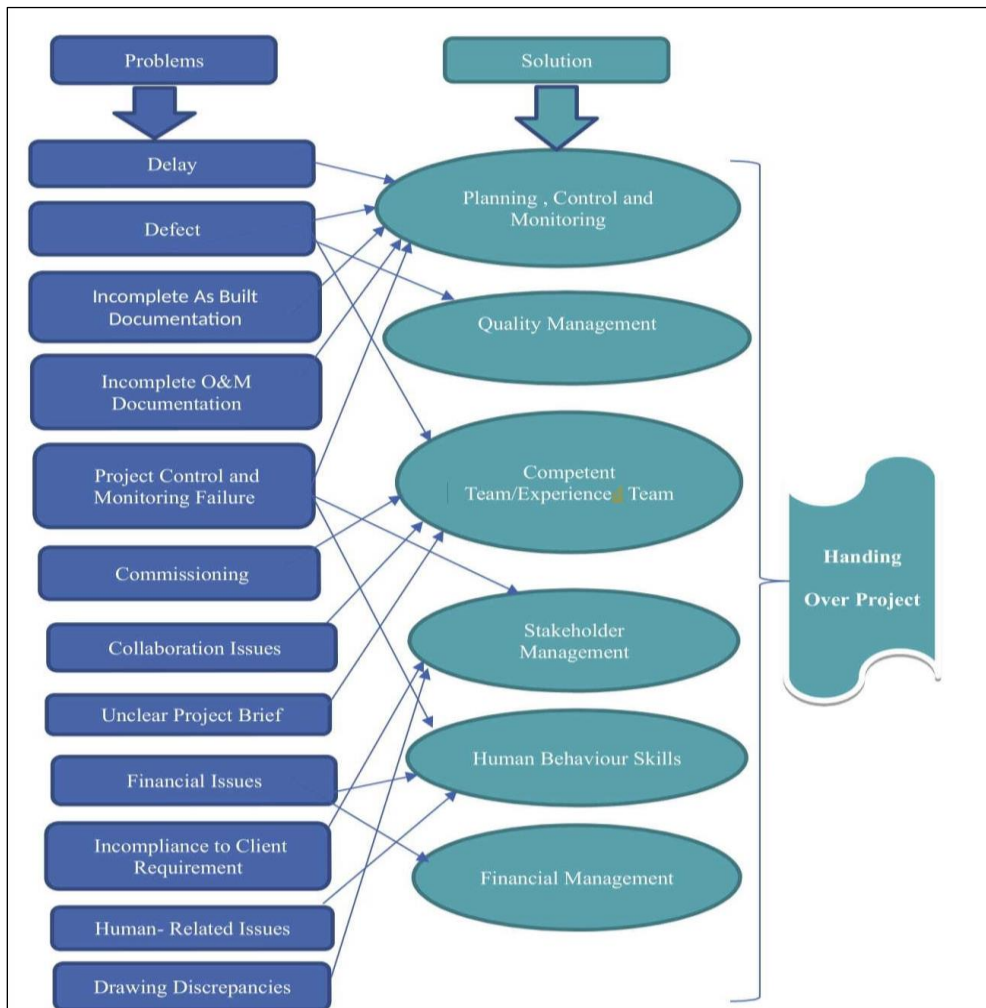
## **Development of Successful Handover Framework of PFI Project in Malaysia**

Based on the above analysis, findings to the problems and its solution, the framework for a successful handover of the PFI project is developed, as shown in Figure 4. This study discovered new additional problems for the handover of the PFI project in Malaysia. These problems are collaboration issues, unclear project brief, planning, control and monitoring failure, financial issues, incompliance to client requirement, human-related matters and drawing discrepancies. On the solution part, the result proposes a further focus on project planning, better control and monitoring, quality management, competent team or experience team, human behavior and skill factors, financial management, and better stakeholder management. These identified areas have to be improved to see significant progress in the handover issues of PFI projects. The only similarity with the traditional construction project's solution is quality management, stakeholder management, project planning control, monitoring, and competent team.

## CONCLUSION

The present study showed that the problems concerning the successful handover of the PFI project in Malaysia are recognized from the early stage of the project’s implementation, even before the concessionaire agreement had been signed. The issues typically persist until the handover stage. The primary problems identified are delay, commissioning, defect, unclear project brief, collaboration issues, the incomplete As-built documentation, O&M documentation and planning, control and monitoring failure.

However, the result showed that the leading solutions to overcome these problems potentially is planning, control and monitoring, establishing a more competent and experienced team and better stakeholder management. From the analysis, the framework consolidates the problems and solutions for a successful handover of the Private Finance Initiative (PFI) projects in Malaysia is shown in Figure 4. The framework gives useful information on the critical factors that need to be adequately managed for the government, public and private sectors in ensuring the successful handover of the PFI projects.



**Figure 4.** Framework for Successful Handover of PFI Project in Malaysia

On the other hand, academically, the study also provides new knowledge and learning area on the handover issues, especially the problems and the solutions for PFI project handover. Several new suggestions can be adopted for future implementation of the PFI project, such as the pre-qualification of the concessionaire company, third party supervision, monitoring, and the Value Management lab. Further research and studies on problem and solution can be explored based on Malaysia's other PPP model. The result can be compared whether the same problems arise and solutions for better handover process of Malaysia's PFI projects apply to the other type of PPP models. Furthermore, to achieve a more profound knowledge of the underlying problems and solutions, future research focused on each theme of problems and solutions in PPP or PFI projects locally or worldwide can be investigated further.

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# EXPLORING THE QUANTITY SURVEYING SERVICES FROM THE EMPLOYERS' AND GRADUATES' PERSPECTIVE

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## **Abstract**

A quantity surveyor is a professional working within the construction industry that is concerned with construction economics. The quantity surveyor profession in Malaysia has evolved due to client's additional needs and requirements. Quantity surveyors not only are requested to perform traditional professional services but are also asking for the demanding services such as productivity improvement, project management, arbitration, risk management, construction financial practice and feasibility study in today's changing construction industry. Therefore, this paper will identify the traditional and present roles of quantity surveyors and find out the threats to quantity surveyors' profession in the future. A quantitative method is used through the survey questionnaires to gather data and information from the quantity surveying practitioner and graduates. The research outcome showed that the quantity surveying profession and the graduates are still relevant and stay competitive in the industry.

**Keywords:** *Core competencies, graduates, profession, quantity surveyor, services.*

## **INTRODUCTION**

Quantity surveyors are the professionals that play an important role in the construction industry along with the architects, engineers, developers, building proprietors, government bodies and agencies and contractors. Roles of quantity surveyors include cost management, cost planning, project procurement, feasibility studies, contract administration and asset financial management (Shafiei and Said, 2008). As the time passes, it has constantly changed the way of construction business is handled. This change has pushed the quantity surveyors evolving over time to survive and remain viable in their respective field. In order for the profession to survive and evolve with the environment, they depend on the extent of competencies and knowledge (Sonson, 2014, Cartlidge, 2018). A lot of firms are becoming more of a business advisor than a traditional quantity surveyor (Heaton, 2015). Moreover, with technology changing, the quantity surveying profession is going to evolve, while bringing more challenges than ever before (Wong et al., 2014). Thus, evolving as a new breed of quantity surveyors in the modern market, they are currently facing a few problems such as the implementation of Building Information Modeling (BIM) as it will bring a huge impact towards the productivity and quality of the projects. Ineffective data interoperability, slacking of software adaptabilities, unavailable skilled staffs and project inexperience are the examples of technical issues that limited the BIM implementation (Chien et al., 2014). Apart from the usual barriers which constrain change, an additional factor restraining BIM adoption is an inherent conflict of interest within the construction industry. This may be a major deterrent to innovation as it straddles professional boundaries (Olatunji, Sher & Gu., 2010).

## **ISSUES**

According to Rahmani (2010), the changing and shifting scene in the requirements of clients indicated that the clients had their dissatisfaction with the services provided by

construction professionals generally and their continual disappointment with the result that they received. Hence, up to this day, it is very important to refine the services of quantity surveyors for them to play a pro-active and competent role during the progress cycle of projects (Brummer, 2004 cited by Ofori, 2012).

Graduate quantity surveyors acquire their competencies from university education and internship training. However, there is no benchmark for the level of competencies acquired by the graduate through their education as implied by Shafiei & Said (2008). Through the absence of benchmark, there is a mismatch of expectation of quantity surveyor graduate competencies by construction industry and the competencies acquired by graduate in university. This has led to dissatisfaction by the industry toward the graduate competencies (Perera et al., 2011).

There is always a strong emphasis to understand the clients' needs on evolving quantity surveying profession. Therefore, getting feedback from the fresh graduates will be as important as well as from the industry to fully grasp what quantity surveying graduates learnt during their educational period and to see what is lacking from the industry needs. Therefore, getting feedback from both parties are important to understand the needs and to create a solution. This research will identify the competencies of quantity surveying graduates to adapt the changing needs of the construction industry and the threats to quantity surveying' profession in the future.

## LITERATURE REVIEW

### Changing Roles of Quantity Surveyors

Competency is described as an action, behaviour or outcome of a person who can transfer the skills and knowledge to act effectively in a job or situation (Klieme et al., 2008). A quantity surveying is a profession for persons who are trained and qualified to provide reliable advice and assist in handling problems arising in the industry (Frei & Mbachu, 2009). According to Male (1990), valuation and measurement are the key competencies which are important for proper cost management in construction projects. Nkado & Meyer (2001) stated that quantity surveying competencies reside in financial and contractual control of the project and proposed to develop their interpersonal skills. Dada & Jagboro (2015) identified the competencies of quantity surveyor include built environment, property economics, technical skills and many more.

Nowadays as technologies and environment continues to advance, transforming various profession as well as removing certain job where it could easily be taken over by technology. According to Frei and Mbachu (2009), quantity surveyors are not different from any other professional jobs, as it also faces a risk of receiving more threats than opportunity. The threats can be the major drawback for a quantity surveying and possibly change the role or completely remove the profession.

As quantity surveyors are constantly being faced with challenges and new opportunities, thereby it is essential for them to continuously enhance the competencies in order to stay competent in the competitive industry. They are to adapt quickly with the environment or else the threats will be imminent. It can be implied that the graduate quantity surveyors need to

develop their skills to diversify into different nature of organizations. By diversifying their roles, it would help the graduates to be more marketable. However, the graduates should not neglect the traditional service as it still plays an importance in the industry despite not being as important as previously.

### **New Procurement and Contract Management**

A noticeable revolution in the competencies for quantity surveyors are the client which are seeking for ways to manage contracts (Potts, 2008). Frei et al. (2013) discussed the that new forms of procurement have been designed to counter the traditional procurement as client's requirement varies. Frei and Mbachu (2009) believe cost plus contract, design and build contracts and turnkey gives a great benefit to the value and it should be used as a basic knowledge by the quantity surveyor. New contract management and new procurement forms such measured term contracts, design management, cost management, cost plus and project delivery partners were developed over the past decades for the benefits of quick contract management and price knowledge.

Even so, different procurement methods require different types of knowledge (El Wardani, 2006). Therefore, the quantity surveyors are encouraged to understand well with different types of procurement method to advise the client on the best options available. Different procurement has different effects on the time and cost. The suitable procurement method will help in concentrating on the work at hand by different contracting parties (Verster, 2006).

### **Building Information Technology and Building Integration Modeling**

Technologies have overtaken the way how many things are done, as a result the development of BIM and automatically generated quantities, are considered a potential threat in terms of the quantity surveyor's technical role (Smith, 2004 and Frei & Mbachu, 2009). As described by Ali et al. (2016), all construction professionals will require for developing and expanding their skill in BIM sooner or later as BIM systems will be at the focus of future virtual projects and information management system. Therefore, BIM qualifications and knowledge will be as important to the professionals to be a key player in the industry (Matipa et al., 2013).

However, there are firms who believed that the implementation of BIM is not a considerable threat to the profession but the BIM integration in the industry is the greatest problem (Wong, 2004). Although, computer usage has increased during the construction periods, but there was never a common ground of software used by different firms, as such an integration recommended by using Building Integration Modeling (BIM). Hence, it is a challenge for the quantity surveyors to acquire as much knowledge and familiarize themselves to different types of BIM software for cost estimation and measurement.

### **Resistant to Change**

The construction sector diverse in both engineering and design aspect. However, the utilization of Information Technology (IT) has been lacking in comparison with other industries. It was observed that quantity surveying experience in term of information

technology (IT) applications was relatively low as compared with other developed countries. The construction industry is perhaps conservative in term of IT application, where the industry has a common approach of “wait and see” (Shen et al., 2003). The quantity surveying profession is perhaps still seen as a traditional role and not view as IT capable (Smith, 2004). The current industry still focuses on the quantity surveyors’ traditional role and not improving their services as extra time and cost required to gain those knowledges.

### **Competition of Other Professions**

The construction industry market is always in constant competitive state. Smith (2004) mentioned that cost management is not the only exclusive service. Other professions can carry out this service as well and may be providing better quality over it. Frei and Mbachu (2009) also agreed to the current competition from other professions such as accountants, lawyers, property managers, value management consultants and many more, which could provide similar services as a quantity surveyor can be viewed as one of the threats. Due to various professional service requirements, the clients have an increasing need for a profession which can provide all the required services that a modern construction requires.

As viewed by Smith (2004) that there are some firms prioritizing their quantity surveying competencies and expertise on the profession and proceeding on developing this skill. As a matter of fact, firms need to be more flexible and willing to change their standard work practices than to stick with the traditional ways. Not only do the firms must be flexible but quantity surveyor should adapt to the work pattern changes (Dada and Jagboro, 2012). Smith (2004) also agreed that diversification is needed for the quantity surveying profession. By diversifying their scope, the quantity surveyors are able to fulfil clients and industry’s requirement. Quantity surveying can be diversified into various area such as investment appraisal, project planning, project management, contractual dispute resolution, etc. as explained by Fanous (2012) cited by Nnadi et al. (2016).

### **Quality of Graduates**

Over the past years, the industrial practitioners have expressed their opinion on the graduates. The employers believe the graduates should be adapting to technical skill task. Practitioners often expressing their opinion about intellectual calibre of quantity surveying graduates is laudable, but the level of technical skill is distressing as expressed by Wilkinson and Hoxley (2005). They were disappointed by the graduate performance specifically with the core skills of measurement and construction knowledge (Smith, 2004). Furthermore, the graduates were found not interested in the traditional measurement role, which result a decline in core competencies and skills. According to Smith (2004), even some quantity surveyors have trouble functioning in their traditional services.

Zou and Darvish (2006) stated that in order to help graduate obtain various attributes expressed by the employers, the graduates should be placed on a more practical assignment with activities that provide hands on work that have real life relevance. This is to allow the graduates to gain useful experience. For example, the graduates should be assigned to various group-work opportunities to allow everyone to develop the teamwork attribute which will come in handy in their professional career. Universities should also allow the students to be exposed with the industry, including site visits and experience guest lecturers to give talks.

## **Education, Training, Mentorship, Continuing Professional Development (CPD) and Research**

The Royal Institution of Chartered Surveyors (RICS) have set out a minimum requirement that members must undergo a minimum of 20 hours of Continuing Professional Development (CPD) training each year by recording it online (RICS, 2018). This is currently seen as a potential process to allow quantity surveyors to have a certain amount of professionalism. The CPD's benefit is to enhance their professional practice through genuine continuation of education and learning (Lester, 1999). Anyhow, CPD does not only satisfy their professional body's requirements, but to ensure integrity with colleagues and employers, improve job performance, widen the horizon in term to perform in the current and future role to enable promotion and progression (King, 2004). Verster (2009) suggested there should be a model for maturity which includes education, training, mentorship, continuing professional development (CPD) and research and allows to bridge the gap between formal education providers and providers of the quantity surveying and construction management service to the industry.

### **RESEARCH METHODOLOGY**

This paper aims to investigate consultant quantity surveyors and graduates' perception towards the change in services that the quantity surveyors are required to perform. Therefore, a survey-based investigation which is one of the quantitative methods are preferred in the assessment. It is considered the most suitable method in this study as it can obtain a large amount of data and permits analysis in statistical form. A questionnaire survey is a standout amongst the best techniques to obtain countless data to accomplish better outcomes, as recommended by Minato (2003). The questionnaire is known as a technique which question people on a point to portraying their feedback as mentioned by Jackson (2011). Questionnaires are sent through website or email, enabling to reach further region.

The data collected are from survey which are distributed to practitioners of quantity surveying firms, fresh graduates and/or final year students from private university. Targeted population were consultancy firms in the Klang Valley and 3 private university fresh graduates and the students in their final years. The selection of fresh graduates with one year working experience are selected as they are still aware of the latest curriculum of the programme structure provided by their respective universities to give their insights whether their knowledge acquired during their studies shall complement the services or skills expected by the employers. Whilst, the final year students' opinion can still be counted as well as they have already received some exposure of industrial training experience during their internship and their awareness of the latest quantity surveying programme structure provided. According to the BQSM website there are 237 consultancy firm in Klang Valley. For the 3-private universities, there are a total of 199 final year students and/or graduates.

The respondents are required to rate the level of importance by a five- point Likert scale for the types of services performed by the quantity surveyors and the threats faced by them. This is to capture the relative importance index (RII) from data collected (Rooshdi, 2018). As mentioned by Gliem et al. (2003), the RII value of 0.6 to 0.8 is still consider acceptable but 0.8 and above are highly preferable.

## FINDINGS

A sum of 237 and 199 survey were dispatched to the focused population of quantity surveying firms in Klang Valley and the graduates of quantity surveying programmes from three private universities. Only 41 responses from the consultants and 43 responses from the graduates were received.

**Table 1.** Type of Services Provided by Quantity Surveyors

Type of Services	Practitioner		Graduate	
	RII	Rank	RII	Rank
Traditional Services				
Contract administration	0.873	1	0.819	4
Tendering	0.854	2	0.860	2
Bills of Quantities	0.849	3	0.879	1
Estimating/Cost planning	0.844	4	0.856	3
Builders Quantities (refer to builder/contractors)	0.834	5	0.814	5
Procurement	0.815	6	0.819	4
Specification preparation	0.727	7	0.786	7
Non- Traditional Services				
Project management	0.776	1	0.795	4
Cost benefit analysis	0.756	2	0.809	2
Feasibility study	0.741	3	0.756	8
Construction Planning	0.722	4	0.791	5
Arbitration/mediation	0.707	5	0.740	9
Value management	0.693	6	0.814	1
Quality management	0.688	7	0.763	7
Post Occupancy Evaluation	0.688	7	0.716	11
Lifecycle Costs	0.683	8	0.800	3
Due diligence reports	0.683	8	0.712	12
Facilities management	0.663	9	0.712	12
Risk management	0.663	9	0.786	6
Expert witness	0.659	10	0.716	11
Insurance valuation	0.629	11	0.721	10
Tax advice	0.605	12	0.684	13
Premises Audits	0.600	13	0.712	12
Other Fields				
Infrastructure works	0.790	1	0.781	1
Civil works	0.780	2	0.730	2
Transport	0.654	3	0.660	4
Manufacturing	0.644	4	0.702	3
Ship Building	0.590	5	0.609	8
Petrochemical	0.585	6	0.619	6
Marine works	0.576	7	0.637	5
Mining	0.566	8	0.614	7
Aeronautical	0.551	9	0.619	6

Based on Table 1, the services which are important to both consultants and graduates are displayed. Among the three categories, there is a clear picture that shows the importance of traditional services as it has the highest rank compared to the other services. Among the seven

elements from traditional services, the employers rank contract administration as the most important element. While the graduates perceive preparation of bills of quantities are the most important service to perform. This shows that the current industry is seeking the graduates with more knowledge in contract matters and administration. Thus, the clients are seeking more quantity surveyor with a strong understanding in contract.

In non-traditional services, there is a slight difference in terms of requirement from both groups. To compare both ranking, top three services are used as a basis of comparison. The employers view that project management as first (1st), cost benefit analysis as second (2nd) and feasibility study as third(3rd) as important non-traditional services.

Whilst the graduates perceive value management as first (1st), cost benefit analysis as second (2nd) and lifecycle cost as (3rd) to be the important services in that category. This shows that although the graduates place greater remarks on different specialization provided in the non-traditional services. However, the consultants see that a few services are more important than the rest. The reason behind it is that some of the services may not fit for consultant roles but it might be useful for other types of organization e.g., contractor or developer. Based on the consultants’ top three important non-traditional services which are project management, cost benefit analysis and feasibility study, this shows that the employers are looking for a person that is able to prepare a project execution plan, manage a project, maintain the budget and prepare a financial plan. These are depicted as the key services that a consultant quantity surveyor should acquire.

In other fields, the top three services i.e., infrastructure works, civil works and transport were selected by the consultants. While the graduates perceived infrastructure works, civil works and manufacturing as the top three others /non-building services. Based on this information, there is a great similarity between the consultants and graduates with a slight difference in ranking. As shown, the employers believe that civil, infrastructure work and transport should be picked up by the quantity surveying graduates as it placed a great importance for a quantity surveyor.

**Table 2.** Threats to Quantity Surveying Profession

Threats	Practitioner		Graduate	
	RII	Rank	RII	Rank
The quality of graduates is deteriorating particularly in terms of core skills in measurement and construction knowledge	0.766	1	0.735	3
The client’s increasing need for a one-stop service such as total project management or design and build contracting due to the increasing complexity of modern construction	0.741	2	0.772	2
Inability to change	0.707	3	0.735	3
Competition from other professions providing substantially similar construction and property-based services to clients	0.707	3	0.698	6
The development of BIM and automatically generated quantities	0.702	4	0.809	1
Quantity surveyors still do not function well in their basic or traditional functions, such as estimating, evaluation of variations and finalizing of accounts	0.659	5	0.707	5
The quantity surveying profession is perhaps more conservative than other professions in terms of IT utilization	0.644	6	0.730	4

Table 2 below shows the threats that could potentially affect the profession. The employers believe that the top threat that is affecting the profession is the graduate's qualities in terms of their core skills, client's need of one stop services, the inability to enhance their skills or services and other profession able to provide similar function. As seen by the employers, this top threat revolves around the issues of graduate showing less promising capability in terms of knowledge as well as unable to take the initiative to improve themselves. The second threat is where the clients looking for better control of the contract, which are associated with the price and contract management offered by quantity surveyors. If the graduates do not improve themselves, there could be a risk of other profession taking the role of a quantity surveyor.

The graduates seem to have a different ranking on the threats impose to the profession but with some slight resemblance by choosing the development of BIM to be the most important threat, followed by the client's increasing need for a one- stop service, the deteriorating graduates in terms of core skills and knowledge and the inability to change. Based on the data collected, the graduates have the similar awareness with the employers. The only difference is that the graduates placed the development of BIM and automatically generated quantities to be the most threatening aspect that a quantity surveyor will face in this generation. The reason behind it is the emphasis on BIM that has been highly advertised and established in Malaysia, which placed a huge demand on several projects (Latiffi et al., 2013). This creates a demand on graduate quantity surveyors to obtain such knowledge to remain viable in the market. By considering the threat and understand the cause of it, this will assist the graduates to prevent it and to counteract the threats. This indeed helps the graduates to stay employable in the industry.

The results have shown that the current industry's requirement and expectation from the graduates from the employer's view, the traditional services are the most essential in the quantity surveying profession. The graduates must have these fundamental knowledges to equip themselves before entering the industry. Their knowledge and skills can be enhanced during their internship, given a reasonable period of industrial training experience. This can be a good solution to solve the quality of graduates which is deteriorating particularly in terms of measurement skills. The quality of graduates would affect the number of employments secured by the employers in the future.

The respondents were also asked to give their feedbacks on the expectations of quantity surveying services by the clients and ways of improving the performance of graduate quantity surveyors. Based on the consultants/employers' perspective, they believe that a quantity surveyor should have sufficient skills and expertise in the profession. A quantity surveyor should adapt to the changing environment to remain viable. To ensure high-quality performance of the workforce can be attained through the process of education, training, research, training and Continuing Profession Development (CPD). It can be deduced that the employers seem to be selecting the methods that requires graduates to be adaptable to change, while still having enough professional expertise and skills and good knowledge in information technology (IT).

Even though, the quantity surveyor is the key role and service in the construction industry, this role or service can also be performed by other profession. Therefore, to prevent such event to happen, the quantity surveyors are recommended to have acquired the following skills:



- Apart from fundamental knowledge, hard and soft skills, a right attitude is always very important. With the right attitude, a person can continue to learn, survive, adapt, improve and move on. Without this, the person shall be often stagnant at the same spot.
- The quantity surveyors must not only be competent in the basic roles such as measurement and building economics, but should be also knowledgeable in other aspects, namely contracts, construction law, sustainability and be familiar with the roles of other profession in the construction industry.
- They need to improve their skills in terms of information technology or any new software system to enhance their services. This is to gain additional bonus to remain valuable in the industry.
- The quantity surveyors today must be able to multitask. This is to fulfil the needs of the clients as well as be ready to provide proper advice.
- As from the graduate's perspective, the quantity surveying graduates should excel in their basic skills and continuously learn and explore to cover a wider scope in the industry. They understand the needs to improve themselves to stay competent and choose the method that will benefit themselves the most. The graduate's opinion has been summarized as follow:
- BIM allows quantities to be extracted efficiently, it should be perceived as a tool for quantity surveyors rather than a replacement. The construction industry cannot progress if the graduates have inadequate knowledge on software application.
- Quantity surveyor shall have enough professional expertise and skills in the core competencies and continue to develop this expertise and quantity surveyor adaptability in the changing market.
- Willing to adapt and learn the varieties. This profession can be only strong when you have multi knowledge and discipline in many different aspects.
- Modules should be more focused on the actual site or industry experience. This is to improve more hands-on work rather than purely theory subject.
- Diversified role of Quantity Surveyors.

Referring to the summary from both employers and graduates' point of view, their fear especially on the comment that quantity surveyors could be replaced by others fear may not seem to be something new. This may not happen in the actual practice since architects and engineers cannot take over the scope of works of professional quantity surveyors easily. Different professions have different strength and specialization. Similarly, to the quantity surveyors, multitasking can be an issue. The quantity surveyor may take over some of the scope of work of others such as legal, public relation and project monitoring. However, there will still be restriction imposed if quantity surveyor wants to cross over the other professional boundaries, for example, the design which is practiced by architects and engineers for years cannot be easily obtained without a proper professional qualification.

Despite of a huge number of comments from the respondents about the requirement to improve information technology skills during their studies in the universities. In fact, the graduates only received just fundamental knowledge whilst the industries use a lot of diversified software where it would take years to master the software used in the industry. It should not be neglected that the universities must play a big part in providing a proper and up to date course for information technology to ensure their students are well equipped with the

basic knowledge to hasten their learning curve. Gradually, the graduates should be more proactive to gain additional specialized knowledge and experience in using the specialized software provided in their daily works.

## CONCLUSION

To wrap up the whole study, the research has investigated the issue identified with the quantity surveyor services, the threats and the techniques to improve graduate competencies. The study is able to recognize the abilities required from quantity surveyors to remain relevant in the current industry. This research can also help university to evaluate the quantity surveying course structure. As a suggestion to industry is that employers should be more supportive to provide opportunity to the graduate to allow them to improve themselves. This way, the graduate can continuously improve their knowledge and expertise. While the university should work together with industry players to provide real simulation or case studies, sites, actual insight of projects to allow the students to gain useful experience knowledge instead of merely theory. Therefore, creating a suitable syllabus is necessary for students to learn and remain relevant after graduating.

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# EXPLORING THE ADAPTIVE REUSE CONCEPT AND BENEFITS IN MANAGING PUBLIC BUILDING QUARTERS IN MALAYSIA

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## **Abstract**

Government building quarters are crucial solution to provide sufficient dwelling and to improve civil servant public service quality. Since independent, the government has provided each ministry with certain allocation of fund to build their own quarters. Ministry of Education has been given certain allocation to build residential quarters to facilitate teachers throughout Malaysia, unfortunately several quarters especially those are located in rural area are not being fully utilized and the condition of the abandoned teachers' quarters is in terrible condition. To overcome the problem, adaptive reuse method is purposed. Adaptive reuse is one of the methods to achieve sustainability in the built environment as Malaysia is targeting to be a modern country by 2020. But still, there are a few arguments when it comes to adaptive reuse such as, lack of knowledge and exposure regarding the concept and benefits of adaptive reuse. This paper will provide literature review on the concept of adaptive reuse and its benefits by using a Systematic Literature Review (SLR) approach. As stated by previous scholars, the concept of adaptive reuse is explained throughout this paper and the identified benefits of adaptive reuse are in term of environmental, social and cultural, economic, promoting innovation and time saving. Such benefits may be applicable to support adaptive reuse towards public quarters (teachers' quarters) as it may revitalise and lengthen the lifecycle of the building.

**Keywords:** *Adaptive reuse; teachers' quarters; concept; benefits; civil servant; systematic literature review.*

## **INTRODUCTION**

Civil servant is an important stakeholder in the implementation of public service. National Transformation Agenda targeted Malaysia to become a high-income developed nation by the year 2020, where the Public Service Department was given the mandate to lead the transformation of the public service. In ensuring the effective service of public servant, their basic necessity should be taken care of. One of basic need provided by the government is housing quarters. This facility has been provided by a federal government to assist them in reducing the burden of civil servant (Borham, 2013). Malaysia Five Years Development Plan has been used as a tool of medium-term economic policy-making in Malaysia whereby it been used to help government mobilize available economic resources to achieve specific socio-economic goals. Since then, the Malaysian government has been focusing on providing the best facilities especially housing for government servant and among of the beneficiary of this facilities are teachers in Malaysia. In the Ninth Malaysia Plan (2006-2010) the Government has allocated RM690 million for teachers' accommodation or housing quarters and half of this allocation is for teachers' housing quarters in rural areas (Malaysia Economic Planning Unit, 2006).

However, many of government quarters left unoccupied. For example, in terms of teacher's quarters, according to Ministry of Education, there are 50,257 units teachers' quarters throughout Malaysia, from the number 33,893 units are occupied while the rest 16,364 units are unoccupied. Among the 16,364 units, 10,062 units are in a dreadful condition

and it is located in rural area (BERNAMA, 2019). This trend has certainly caused the government unsustainable losses on both of the productivity and financial sides of land use (Borham, 2013). Besides this, government still need to allocate certain amount of money to maintain the building. In 2018, Finance Minister Lim Guan Eng stated RM400 million have been allocated for the maintenance and repair of government quarters for civil servants, especially the police, army and teachers, to live more comfortably (BERNAMA, 2018).

Generally, as a developing country, Malaysia has a large stock of existing buildings and most of these buildings were not maintained well includes government quarters. As an impact it may shorten the lifespan of the building making them to be in poor conditions and invaluable (Mohamed & Alauddin, 2016). Borham, (2013) in his research identified most of the quarters including teachers' quarters left in unoccupied are located outside of the city, which is located at Kerian district, Perak. The research shows that 43.3% of the government quarters units in numerous grades are deserted in rural area.

As an option to overcome this problem, adaptive reuse method is used for achieving sustainability. Adaptive reuse is defined as maximizing building life with changing original building usage (Kincaid, 2002; Vivian & Jane, 2019). Adaptive reuse is the act of finding a new utilization for a building. It is often described as a procedure by which structurally sound older buildings are developed for economically permanent new uses (Conejos, Langston & Smith, 2013). It is an alternative to demolition and replacement of buildings since it requires less energy and waste. It also provides social benefits by revitalizing familiar landmarks and giving them a new life (Misirlisoy & Gunce, 2016).

There are few statements given by minister on the alternative use of government buildings. A recent statement from The Youth and Sports Minister Syed Saddiq Abdul Rahman proposed to state governments that abandoned government quarters should be converted into transit or rental homes and open to the public, particularly young Malaysians. This initiative may help those with problems buying their own property with current economy (Ben, 2018). Also, a supportive statement from Deputy Prime Minister Datuk Seri Dr Wan Azizah Wan Ismail, government buildings or vacant teacher quarters can be used as temporary shelters for flood victims across the country. "In the future if there are many places (government buildings and teachers' quarters) that are unoccupied, it can be utilized (as temporary accommodation) so that it does not interfere with the learning of students in schools" she said (Shaarani & Shaiful, 2019).

## **PROBLEM STATEMENT**

Adaptive reuse is closely related to property that has been abandon or vacant. Property abandonment has generally not been addressed as a problem; it has been viewed as a symptom, and not a cause, of urban disinvestment. Hence, since the 1940s, cities have attempted to stimulate demand for housing, commercial property and office space, through massive demolition programs, public improvements, and subsidized developments that they hope will stimulate reinvestment in a neighbourhood or downtown district (Accordino & Johnson, 2000).

Then, the common argument when it comes to adaptive reuse are, "Lack of awareness on adaptive reuse opportunities and benefits"; "Believe that the demolition is the only

alternative”, “Adaptive reuse cost is high”; “Social unwillingness for changing day to day activities related to properties that have potential for adaptive reuse”; and “Notion that the preservation of buildings is more traditional” (De Silva, Perera & Rodrigo, 2019). As an alternative, adaptive reuse is suggested to overcome the symptom, and a clear understanding on how adaptive reuse works must be established.

Adaptive reuse is one of the sustainable methods to conserve building. There are a lot of previous project regarding adaptive reuse, but those projects mostly focused on heritage or historical building. (Mısırlısoy & Günçe, 2016, Wilson, 2010). There is lack of study regarding adaptive reuse towards public building especially teachers’ quarters.

Moreover, social and cultural benefits of adaptive reuse were given less attention when considering adaptive reuse method, possibly because such benefits are difficult to measure. It is widely recognized that historical buildings contribute to the sense of place which people have to maintain for a considerable period of time (Bullen and Love, 2011). Hence, in this paper, further explanation of adaptive reuse benefit on social and culture will be elaborated.

There is general agreement among researchers that adaptation can make an important contribution to the sustainability of existing buildings (Kurul, 2007). The change to the reuse and adaptation of buildings is a trend that has been clearly recognized by Bullen (2007), Gallant and Blickle (2005), and Bon and Hutchinson (2000). In parallel of Malaysia vision to become a developed nation by 2020, certain criteria that need to be achieved are to have the sustainability in construction and efficient use of resources.

## RESEARCH QUESTIONS

Having the above research problem, as a preliminary step in exploring the use of adaptive reuse concept in ensuring the sustainability of government quarters in Malaysia, this paper hopes to answer the below research questions:

1. What is the adaptive reuse concept?
2. What are the benefit of adapting this concept?

## METHODOLOGY

This section describes the framework for carrying out this study. This combines all methods, requirements for qualifications, references and review. For the design of the study, content analysis of the articles was carried out to identify the concept and benefits of adaptive reuse. Selected studies that showed the concept and benefits of adaptive reuse were selected for the analysis.

The articles were searched under the terms "adaptive reuse", "concept", "benefits", and "advantages". This study only considered materials from previous published research. Those published studies were identified through searches of electronic databases accessible through the authors’ university library system. The literature review process started by looking into primary and secondary sources. The primary literature sources included refereed journals, refereed conferences, dissertations/theses, occasional papers and government reports. Secondary sources included textbooks, trade journals, newspapers and magazines.

The five top journals that were reviewed in the search are: i) Science Direct; ii) Emerald Group Publishing; iii) Google Scholar; iv) Springer Link; v) Elsevier. These top five journals were selected as they frequently publish scientific scholarly papers in multiple fields and they have been ranked highly by several researchers (Mohamed & Alauddin, 2016).

## DATA ANALYSIS

The selected documents were then reviewed and studied. At first, the abstract of the papers was studied and then the full documents to comprehend and interpret the substance for this paper. In order to identify the concept of adaptive reuse, related papers that provide understanding towards the idea of adaptive reuse were taken to be abstracted. Then, for the advantages of adaptive reuse, the shortlisted papers were then reviewed as shown in (Table 1). The selected research papers that consist of benefits of adaptive reuse covered from various parts of region such as South Korea, Sri Lanka, United States, China, United Kingdom and Australia.

**Table 1.** Benefit of Adaptive Reuse

Scholars	Region	Benefits of Adaptive Reuse				
		Environmental	Social and Cultural	Economic	Promoting Innovation	Time Saving
Yoon & Lee (2019)	South Korea		✓	✓		
De Silva, Perera & Rodrigo (2019)	Sri Lanka	✓	✓	✓		
Myers (2018)	United States	✓		✓		✓
Hang & Chen (2017)	China		✓	✓		
Manewa, Siriwardena, Ross & Madanayake (2016)	United Kingdom	✓	✓	✓		
Conejos, Langston & Smith (2011)	Australia	✓	✓	✓		
Bullen (2007)	Australia	✓	✓	✓		
Australia's Department of the Environment and Heritage (2004)	Australia	✓	✓	✓	✓	

Many researchers have explored on the benefit of adopting adaptive reuse strategy in building such as De Silva et al. (2019), Manewa et al. (2016), Conejos et al. (2011) and Bullen (2007). These researchers identified the benefit in terms of social and cultural, economic and environmental.

Besides the three common advantages, Myers (2018) add time saving as the new advantage of adaptive reuse for industrial buildings. Another view from Australia Department of the Environment and Heritage (2004), identified the benefits of adaptive reuse of heritage and historical buildings in terms of the environment, social, economic and promoting innovation.

While Yoon and Lee (2019) and Hang and Chen (2017) believe the benefits of adaptive reuse are in term of social and cultural and economic. Thus, the below section will elaborate more on the concept and the benefits of adaptive reuse in terms of environment, social, economic and promoting innovation and time saving.



## FINDING AND DISCUSSION

The concept of adaptive reuse existed around the Roman times. Due to a long historical background, adaptive reuse has been proposed, particularly in some parts of the world such as Europe (Stratton, 2000). Adaptive reuse inspiration comes from several other terms that are interchangeably used such as preservation, conservation, rehabilitation, renovation and recycling. Adaptive reuse is described as optimizing building life with changing original use of the building (Kincaid, 2002). Adaptive reuse is intended for buildings that have failed economically or socially and have been abandoned previously.

In architecture, adaptive reuse means ‘the change process or state that suits a new environment or circumstance, or the subsequent change’ (Abdulhameed, Mamat & Zakaria, 2019). In addition, Yildirim (2012) views it as an effective adaptive modification of existing buildings to fit newly proposed purposes and coexist in an area other than the original. It is the act or process of keeping something alive by either modernization (rehabilitation to the original function) or complete conversion to a new function or even a mixture between the two. Brooker & Stone (2008) link the need for the conversion of buildings or sites in relation to new sustainable development trends. In different situation, buildings need to change its original function to fit into contemporary social needs.

Adaptive reuse is the process of adapting old structures, renovating a building or site to include elements enabling specific uses to occupy a space originally intended for a different purpose (Douglas, 2006). It is the act or process of allowing a property to be used compatibly by repairing, altering and adding while preserving those portions or features that convey the economic, cultural or architectural values. This could be simply to keep an existing building component or to completely renovate the entire building. The new way of thinking about adaptive reuse is to reduce consumption, recycle and reuse what was produced and be environmentally responsive (McDonough, 1998).

Adaptive reuse strategies assist the promotion of development of sustainable built environment (Conejos, Langston & Smith, 2011). On the other hand, architectural conservation ensures economic, cultural and social benefits to urban communities. Therefore, the role of architectural conservation has changed from preservation to being part of urban regeneration and sustainability (Ariffin et al., 2017; Bullen & Love, 2011). Adaptive reuse is an alternative to demolition and replacement of buildings since it requires less energy and waste. It also provides social benefits by revitalizing familiar landmarks and giving them a new life (Conejos, Langston & Smith, 2011). Giving new life into heritage buildings ensures environmental and social benefits to the communities and helps to retain our national heritage (Shen & Langston, 2010).

Adaptive reuse strategies are preferable to demolition if the objectives of environmental sustainability and reduced energy consumption are also to be met (van der Flier & Thomsen, 2006). The central issues are that a static internal environment that cannot be easily adapted is wasteful in terms of sustainability and not warranted in terms of the needs of the occupants. The more flexible a building is the quicker and easier to adapt, which represents a saving in the time and productivity lost during “office churn” (Wilson & Boehland, 2008). Adaptive reuse also offers a more efficient and effective process of dealing with buildings than demolition. This is because it is deemed to be safer as it reduces the amount of disturbance

due to hazardous materials, contaminated ground and the risk of falling materials and dust. Site work is also more convenient because the existing building presents a work enclosure that reduces downtime from inclement weather. There are numerous researches by scholars that have identify the benefits of adaptive reuse towards different types of building such as heritage, historical, commercial, industrial and residential.

## **BENEFITS OF ADAPTIVE REUSE**

### **Environmental**

Demolition of buildings is not environmentally friendly (Itard and Klunder, 2007). Bullen (2007) and Johnstone (1995) consider adaptive reuse as quite sustainable as it consumes less material, energy and transport compared to new building construction which causing less environmental pollution. According to Binder (2007), there is a considerable amount of embodied energy in the existing building stock. One of the main environmental benefits of reusing buildings is the retention of the original building's "embodied energy". The Commonwealth Scientific and Industrial Research Organisation (CSIRO) defines embodied energy as the energy consumed by all the processes associated with the production of a building, from the acquisition of natural resources to product delivery, including mining, manufacturing of materials and equipment, transport and administrative functions. By reusing buildings, their embodied energy is retained, making the project much more environmentally sustainable than entirely new construction (Australia Department of the Environment and Heritage, 2004).

Referring to Australia Department of the Environment and Heritage (2004), new buildings have much higher embodied energy costs than buildings that are adaptively reused. In 2001, new building accounted for about 40 per cent of annual energy and raw materials consumption, 25 per cent of wood harvest, 16 per cent of fresh water supplies, 44 per cent of landfill, 45 per cent of carbon dioxide production and up to half of the total greenhouse emissions from industrialised countries. The Australian Greenhouse Office notes that the reuse of building materials usually involves a saving of approximately 95 percent of embodied energy that would otherwise be wasted. In this context the reuse of heritage buildings makes good sense.

According to Australia Department of the Environment and Heritage (2004), adaptive reuse of buildings has a major role to play in the sustainable development of communities. When adaptive reuse involves historic buildings, environmental benefits are more significant, as these buildings offer so much to the landscape, identity and amenity of where the communities belong to De Silva et al. (2017) have found that adaptive reuse ensures cleanliness and the good appearance of a city which provide environmental benefits.

In other hand, adaptive reuse may have benefits towards the architecture environment. As stated by Myers (2018), in some cases of adaptive reuse by business company, old buildings can provide aesthetic appeal and promote certain qualities that help define a company's brand and culture. Companies can use the space to represent their work and brand or image of their firm. The property may contain attractive features that can no longer be duplicated. For instance, older buildings often have charming architectural characteristics and touches that new buildings eschew for economic reasons.

In different condition, research done by Zainudin, Haron, Bachek & Jusoh in 2016 regarding the Traditional Malay Houses in Malaysia. The structure of Traditional Malay Houses is considered as vernacular architecture, built and designed by the locals with thoughtful considerations and appreciation for nature. There are various beneficial features in technical and environmental contexts of a Traditional Malay Houses that should be investigated further and applied in the present-day built environment. By applying adaptive reuse method, the architecture value of the structure can be preserved.

Elliott (2018) in his research regarding adaptive reuse of commercial building stated that creative office space is all the rage in the commercial office world, with adaptive reuse offices being a prominent part of that newly popular office class. Such office space, often found in unique parts of cities that have long been abandoned or underutilized, appeals to a younger talent pool because of its novelty. These spaces, which can include old manufacturing facilities and warehouses, are also viewed as environmentally responsible because no new ground is disturbed by their development.

## **Social and Culture**

Keeping and reusing historic buildings has long-term benefits for the communities that value them. When done well, adaptive reuse can restore and maintain the heritage significance of a building and help to ensure its survival. Rather than falling into disrepair through neglect or being rendered unrecognisable, heritage buildings that are sympathetically recycled can continue to be used and appreciated (Australia Department of the Environment and Heritage, 2004).

Adaptive Reuse provides specific opportunities for the public to perceive and attract new born spaces to the value of historic buildings (Abdulhameed, Mamat & Zakaria, 2019). In the context of local government planning, heritage has merged with more general quality-of-life concerns in recent years. Communities increasingly recognise that future generations will benefit from the protection of certain places and areas, including heritage places. Human lifestyle is enhanced not just from the retention of heritage buildings, but from their adaptation into accessible and useable places. The reuse of heritage buildings in established residential areas can provide the community with new housing and commercial property opportunities (Australia Department of the Environment and Heritage, 2004).

In Australia, the greater Sydney region, for example, a number of large publicly owned sites containing heritage buildings are being redeveloped including the former Parramatta, Lidcombe, and Rozelle Hospitals. Location, access and public transport availability will always attract developers, and the size of the sites, and variety of buildings available for reuse mean that a good mix of dwelling types can be offered, with broad appeal to buyers as a result. Town planners and councils that recognise and promote the benefits of adaptive reuse of heritage buildings, then, will be contributing to the liveability and sustainability of the communities (Australia Department of the Environment and Heritage, 2004).

Bullen (2007) believes that adaptive reuse deserves broader recognition as it is a form of urban regeneration that can help future generations to reap benefits by protecting buildings (Australia Department of the Environment and Heritage, 2005). Adaptation is a successful alternative to the retention of embodied cultural and social capital of important buildings

(Bullen, 2007; Bromley et al., 2005). According to Langston (2008), Bullen (2007), Remoy and Wilkinson (2012), adaptive reuse prevents the promotion of antisocial activities in cities. Investments on adaptive reuse can significantly boost the living standards of people living in neglected areas and communities (Ball, 2002; Langston et al., 2007; Langston and Shen, 2007).

Mayer (2018) stated when a vacant building is revitalized, it serves as a tipping point to stimulate a hub of growth and activity. Existing buildings are part of the fabric of a city and reflect the history and culture of the neighbourhood. As such, older buildings can have a large impact on the vitality of that city. This has been the case in many parts of Denver. In the River North District, for example, the adaptive reuse of warehouse and industrial buildings has transformed these buildings into restaurants and co-working spaces. In fact, the city of Denver and private parties alike have been investing heavily in the RiNo district, which is experiencing a major revival and now is considered one of Denver's most desirable neighbourhoods. In addition, adaptive reuse projects like the Rackhouse Pub's brewery, distillery, and cidery collaboration are emerging in the formerly industrial LoHi area.

## **Economic**

According to Australia Department of the Environment and Heritage (2004), there are several financial savings and returns to be made from adaptive reuse of historic buildings. Embodied energy savings from not demolishing a building will only increase with the predicted rise of energy costs in the future. While there is no definitive research on the market appeal of reused heritage buildings, they have anecdotally been popular because of their originality and historic authenticity. Many researchers also believe that demolishing and rebuilding is more expensive and less practical than converting old buildings to new uses (Ariffin, Zahari, Radzi and Kutut, 2017; Bullen, 2007).

Shiple et al. (2006) indicate that the average cost saving expected from adaptive reuse is approximately 10-12 per cent. According to past research, it is often less costly to adapt a building than to construct a totally new building (Ball, 2002; Bullen, 2007; Campbell, 1996; Douglas, 2006; Highfield, 2000; Shipley et al., 2006). A study on high density residential properties in Hong Kong has revealed that there has been a 9.8 per cent increase in property values after the refurbishment of the properties (Chau et al., 2003). Developing countries can use adaptive reuse to improve their economies. Adaptive reuse provides a sense of belonging to the people (De Silva et al., 2017).

Myers in 2018 stated that saving money is one way that stakeholder can improve when using adaptive reuse. Stakeholder can lower their equity requirements by purchasing a building for less than the replacement cost would be. Even if the property is not available for sale, the lease rate can be quite affordable given that the property may appear to be obsolete.

According to De Silva et al. (2017), adaptive reuse generates revenue through commercial initiatives, avoids demolition costs and other related costs, attracts tourists and encourages companies to move into adapted buildings to demonstrate their passions and identities.

## Promoting Innovation

The definition of innovation has been an area of interest both for researchers and for different industries (Popa, Preda & Boldia, 2010). In general literature, innovation is referred to as research and development practices and implementing high technology aspects, but innovation in building and construction can take different forms from design to procurement, contracting, financial arrangement or even softer aspects such as teamwork and leadership (Maghsoudi, Duffield & Wilson, 2016).

The adaptation of heritage buildings presents a genuine challenge to architects and designers to find innovative solutions. As development pressures increase in the cities, more heritage buildings are being reused, producing some excellent examples of creative designs that retain heritage significance (Australia Department of the Environment and Heritage, 2004).

For instance, the Traditional Malay House have its own unique modularity and ingenious construction method, it is possible for a Traditional Malay House to be dismantled, relocated and reconstructed in a new environment. By applying adaptive reuse method, the study is promoting innovation focusing on exploring Building Information Modeling (BIM) usage to analyse the building performance of a typical TMH. (Zainudin, Haron, Bachek & Jusoh, 2016).

## Time Saving

Lee in 2006 stated by using adaptive reuse mode, developers save time and money rather than starting from scratch. Supporting the statement, Myers (2018) says if the client is dealing with a short timeline, reusing a building may be not only the best option, but also the only option. The zoning and site plan approval process for a new development can be lengthy and only begins once a site has shortened the wait for occupancy significantly, as adaptive reuse does not require the same lengthy approval process.

According to Misirlisoy and Gunce in 2016, adaptive reuse occurred in the past precisely because demolition and construction of new buildings will take more time than reusing the existing building. Supporting the point, reuse of existing building is pragmatic because it provides faster build time compared to demolition and new development with the population growth and increasing urbanization trend. (Wikilson and Remoy, 2017).

Moreover, there are advantages of adaptive reuse when the building is in good structural condition and easily adapted to its new programme. These include the potential for less construction time depending on the extent of the work done (Ijla & Broström, 2015).

While Lin (1995) stated construction time is a function of the required work range. However, it may be more expedient to reuse an old building than new construction-through fast-track construction, staged or continuous occupancy, and early marketing. New building often takes longer to recover costs.

## CONCLUSION AND RECOMMENDATION

Since independent, the provision of government quarters has become a priority of the government in the Five Years National Plans. The Malaysian government has been focused on housing for government staff quarters during the first until the fifth Malaysia plan (1981-1985). As a developing country, Malaysia has a large stock of existing buildings and most of these buildings were not conserved well and most of them were in poor conditions and invaluable (Mohamed & Alauddin, 2016). To address the problem, adaptive reuse of existing buildings is seen as an important strategy for achieving sustainability because of Malaysia intends to be a developed country by 2020 and the criteria that need to be achieved are to have the construction of sustainable and inclusive, and efficient use of resources. This method will have a positive impact towards the construction industry in Malaysia.

From the perspective of Malaysia, development schemes for historic cities revolves around the idea of introducing new modern development to historic areas, revitalization of the areas and refurbishment of historic buildings, which are often referred to as the tools for the improvement schemes of the cities. This is where adaptive reuse plays its role to ensure sustainability of these buildings. A deep understanding towards the concept of adaptive reuse must be done to make sure the proper adaptive reuse method is applied towards the building. Most of previous studies apply adaptive reuse towards historical or heritage building but less to other type of building such as commercial, industrial, residential, even to public building. As recommendation, adaptive reuse should be applicable to all types of vacant building as it gives benefits towards the environment, economic, social and culture, promoting innovation and saving times.

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# E-TENDERING: IMPROVEMENT MODEL IN MALAYSIA PUBLIC SECTOR'S CONSTRUCTION INDUSTRY

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## Abstract

Industry 4.0 ushered the rapid development of the Internet of Things (IoT) within the diverse industry. The construction industry in Malaysia is also entering the era of Industry 4.0. Besides the trending of Building Information Modeling (BIM) adoption, the e-Tendering application is also an essential part of the Construction Industry 4.0. E-tendering is an electronic facilitated solution involving a whole tendering process. However, the e-Tendering System usage here is still relatively low, especially in the implementation strategy. Therefore, to catch up to the global Industry 4.0 steps, the usage of Malaysia's public sector e-Tendering system is needed for improvement. Therefore, this research aims to propose an improved model for the public sector construction e-Tendering system by identifying the barriers and solutions for improving the National e-Tendering System usage. The public sector procurement department is the target respondents whose opinions on the barriers and solutions for improving the National e-Tendering System usage are accounted for through quantitative measurement, a questionnaire survey. This research found that the top three barriers for improving the National e-Tendering System usage are 'resistance to change in users,' 'securities concern' and 'incomplete technical coverage on the whole e-Tendering process.' Furthermore, this research also justified the primary and secondary solutions to overcome each barrier. Based on the findings, the National e-Tendering framework improvement model is proposed. This model may assist the public sector in planning the upgrading of the existing National e-Tendering System in the future.

**Keywords:** *e-Tendering; NeTI; National e-Tendering system usage improvement model.*

## INTRODUCTION

In conjunction with the development of Industry 4.0, the construction industry is driving towards the world of digitalization. The movement of business mode from traditional methods towards modern ways to aid information technology creates high working efficiency (Herbst, Knolmayer, & Mendling, 2012). As the great contributors to Malaysian Gross Domestic Product (GDP) currently at 4 percent, the construction industry is expected to contribute 5.5 percent to the Malaysian GDP up to 2020 (CITP, 2015). Therefore, the construction industry must follow the steps of industrial digitalization trends to improve current performances. One of the crucial processes in construction is the procurement method. The procurement method's efficiency will affect the particular construction project performance (Ogunsanmi, 2013 and Ghadamsi, 2012). Based on this inevitable development, this paper will focus on the digital transformation in the tendering process.

E-Tendering is estimated to cut down the cost for preparing paper-based documentation, simplify information and data entry process, as well as to ensure fairer assessment between tenderers (RICS, 2011). Previous research pointed out that e-procurement in construction leverage the tenderer's proposal from the perspective of financial and technical value (Trkman & McCormack, 2010). According to Elias, Mahidin, & Shiratuddin (2005), e-Tendering can save over 90% of paper usage with online document viewing and save space for physical

document storage. Besides, tendering lead-time can be reduced by over 80% when the tender can be obtained online. Besides, e-Tendering reduces by over 80% of tedious data entry and compilation.

In sight of the slow adoption of the e-procurement system launched by the Malaysian government in 1999, the Construction Industry Development Board (CIDB) initiated a triggering program called National e-Tendering Initiatives (NeTI). It was specially formulated for the construction industry procurement purpose transition towards digitality. It links all the tendering process into an electronic platform, aiming to reduce unnecessary tendering error and speed up the tendering process. Four phases of e-Tendering implementation are adopted in NeTI, namely 'Tender Advertisement,' 'Registration and Purchase Tender,' 'Pricing and Upload BQ (Bill of Quantities)' and 'Open Tender Box' to encourage participants of construction players towards e-Tendering transition. However, despite all these benefits brought by the e-Tendering System, they are still reluctant to change and adapt to the digital process due to too many years of using the traditional manual tendering method that assured a sense of security and comfortability among themselves (Betts et al., 2006). A study showed that there are only 57% of Malaysia's construction members, having experiences with e-Tendering (Tan & Ren, 2016).

According to the trending of BIM usage in the global construction industry, there is no doubt that the current e-procurement framework is far from adequate to accommodate the integrated data in the BIM-based projects (He, Li, Wu, & Ning, 2018). The concept and idea phase of an e-commerce platform specially designed for BIM in digitalized construction is starting to emerge ( Grilo & Jardim, 2013; Grilo & Jardim, 2011; Ren, Skibniewski, & Jiang, 2012). If the Malaysian construction industry did not completely implement the e-Tendering framework, the opportunity to deal with potential BIM-based e-procurement would be limited due to not utilizing the e-commerce platform. From the above issues, it can be concluded that the existing traditional tendering process is inefficient, and there is a need for Malaysia's construction industry to fully adopt e-Tendering in replacement of the common tendering practice. Therefore, the objectives that have been counted to achieve the above aim are:

- i. To identify barriers in improving the use of the current e-Tendering System for Malaysia's public construction sector.
- ii. To establish a solution for the identified barriers to enhancing the current e-Tendering System's use by the Malaysian public construction sector.
- iii. To develop an improved model that would significantly progress the usage of the existing e-Tendering System.

## **E-TENDERING**

Tendering is defined as preparing and submitting a conforming offer to execute work in return for a price estimated or known as bid. For later acceptance, thorough evaluation and assessment. The tendering process typically involves preparing tender documents, advertisement or invitation of tender, tenders submission by tenderers, evaluation of tenders, tenders awards, and followed by contract management and execution (Patilp, Waghmarep, Gawande3, & Patil, 2016). E-Tendering is defined as an electronic facilitated solution involving a tendering process that completely covers project requirement advertisement until placing of contract, and even to contract execution (Eadie, Perera, & Heaney, 2010; Tindsley

& Stephenson, 2008).

E-Tendering operates on a web services platform that allows access of tenderers and clients via login and password to exchange information involving upload and download of tendering documents. This common platform provides accessibility for all the tenderers on designated levels of information, enabling similar information flows for all tenderers. A secure online area is designed for each tenderer to submit their tenders during the submission of a tender proposal. The accessibility of the submitted tender documents usually is reachable by the client's side after the tender submission deadline or tender closing date by using controlled access features (RICS, 2011). Based on the literature definition of e-Tendering, the whole process involves electronic application starting from the tender preparation until the tender award stages. Therefore, centered on the Malaysia Government Procurement Regime by the Minister of Finance, a table is formed to show the mapping between the components of the e-Tendering.

**Table 1. E-Tendering System Components and Functions**

<b>Tendering System Component</b>	<b>E-Tendering General System Function</b>
Tenderer Registration	Pre-registration Issuance of ID and Username for registered tenderers
Tender Preparation	The client prepares a tender document with basic requirements and details for tendering Upload the tender documents to Client Tendering System
Tender Advertisement	Advertisement of tender on website and newspaper Tenderer access the tender advertisement
Tender Submission	Tenderer registers for the tender purchase Tenderer downloads the tender documents Tenderer submits the tender proposal through upload to the online Tendering System
Tender Closing and Tender Aggregation	Tender online submission valve closed The principals open the tender proposal
Tender Evaluation	The proposal is assessed The most qualified proposal is awarded the tender
Tender Award	The signing of the formal agreement between both parties

(Source: Ministry of Finance Malaysia, 2016; Mohd, Baharom, Darus, Saip, & Marzuki, 2017)

## Existing National e-Tendering System

In 2004, a memorandum of understanding was signed between the Construction Industry Development Board (CIDB) and the Public Works Department (PWD), establishing a National E-tendering System that would become the revolution flagship of Malaysia's construction industry tendering process. It is clearly stated in the memorandum that the roles of CIDB will be on the development, implementation, operation, and maintenance of the National E-Tender System. This initiative aims to create greater corporate governance in the tendering process of the construction industry. To achieve the goals for all construction works submitted to the government, e-Tendering, tendering knowledge management, tender appointment, and selection of services are involved (Azizan, 2012).

According to Tan & Ren (2016), the NeTI implementation was planned in four phases. Phase 1 is the Online Tender Box that enables uploading the BQ, drawings, and other tender

documents by the clients. Phase 2 is the Online Viewing of Tender Documents, which permits tender documents uploaded by the applicant to be accessed by the interested tenderer if an Internet connection is available. Phase 3 is the Online Buying of Tender Documents that process the Electronic Bills Presentment and Payment (EBPP) system for tender document purchases by tender via the e-tender system. Finally, Phase 4 is Virtual Tender Box. A virtual tender box allows the tenderer to submit their proposal online without manually submitting the proposal at the nearest tender box location.

Despite the practical implementation phases proposed by NeTI, the proposal does not seem to match up with the government e-Tendering framework's current operation. By comparing the e-Tendering System available on CIDB, PWD, and Ministry of Works (KKR), each e-Tendering system component's current application is summarized as follows.

**Table 2.** National e-Tendering System Components

Tendering System Component	E-Tendering General System Function	Current Application
Tenderer Registration	Pre-registration	Tenderer can register at the Ministry of Work e-Vendor website <a href="http://evendor.kkr.gov.my/portal/index.php">http://evendor.kkr.gov.my/portal/index.php</a>
	Issuance of ID and Username for registered tenderers	Once successful registration, ID and username will be given for login purposes afterward.
Tender Preparation	The client prepares a tender document with basic requirements and details for tending. Upload the tender documents to Client Tendering System	The related government agencies prepare the tender documents but never upload them to the Tendering System, not even the simple specification and instruction to the tenderer, stated in Phase 2 of the National e-Tender system implementation.
Tender Advertisement	Advertisement of tender on website and newspaper	Tender advertisement syncs with three other leading construction portals: the Ministry of Work website (MoW), Public Work Department (PWD) website, and CIDB e-tender website.
	Tenderer access the tender advertisement	Tender advertisement accessibility is opened to the public viewing the e-tender websites.
Tender Submission	Tenderer registers for the tender purchase	Tender purchase only available manually for both MoW / PWD and CIDB e-tender sites.
	Tenderer downloads the tender documents. Tenderer submits the tender proposal through upload to the online Tendering System.	Submission of the tender proposal still needs to go through manual submission to the tender box at the specified venue.
Tender Closing and Tender Aggregation	Tender online submission valve closed.	E-Tender notice on the website does show the tender closing date and highlights the warning of "late tender submission will not be accepted."
Tender Evaluation	The principals open the tender proposal. The proposal is assessed.	These processes still adhered to the traditional tendering process but not through online web services or automated mechanisms.
Tender Award	The most qualified proposal is awarded the tender.	
	The signing of the formal agreement between both parties	

(Source: Ministry of Finance Malaysia, (2016); Mohd et al., (2017); Construction Industry Development Board, (2018); Public Works Department, (2018); Ministry of Works, (2018))

**Table 3. Barriers for Public Sector to Improve Usage of National e-Tendering System**

Barriers	Description	Reference
High Initial Cost	The first key barrier to the e-Tendering application is the high initial cost, including integrated e-Tendering platform invention, maintenance, and services. The government may need to invest a lot in purchasing IT software and hardware but cannot see substantial benefits. Besides, costly ultra-speed internet service is needed for the e-Tendering process to be complete, especially during tender submission.	(Eadie, 2010; Khalil & Waly, 2015; Nasrun et al., 2017, 2016; Tan & Ren, 2016)
Lack of Government Policies and Standard	There are insufficient government policies and legislation promoting the existing e-Tendering system application. For example, the current tendering advertisement, including those on the existing sector e-Tendering website, requests the tenderer to purchase hardcopy tender at specific locations. No e-Tendering mandating policies is set up for the application in public sector projects.	(Construction Industry Development Board, 2018; Jabatan Kerja Raya, 2018; Kementerian Kerja Raya, 2018; Nasrun et al., 2016; Norzaidi, Mohammad & Mohamed, 2013)
Securities Concern	E-Tendering users are mostly concerned regarding security issues such as data integrity where the tender documents uploaded may become inaccurate, missing parts, or data corrupted after reassembly. Accessibility authorization is another concern where the e-Tendering platform operator's integrity may tamper with documents without permission. Document potential confidential and security issues hinder the application of e-Tendering because tenderers worry about data leakage such as tender sum exposure.	(Eadie, 2010; Kajewski, 2014; Kaliannan, Raman, & Dorasamy, 2009; Khalil & Waly, 2015; Tan & Ren, 2016)
Legal Issues	When switching from the conventional tendering process to the digital submission, the enforceability of electronic contracts and electronic signatures is still one of the e-Tender users' objections. In addition, in some previous studies on e-Tendering implantation challenges, problems with proof of intent and knowledge clarity of the tenderer are listed. Moreover, where conflicts should arise, there are no applicable legal cases on the subject of e-Tendering; therefore, time-consuming and onerous regulatory processes will occur.	(Eadie, Heaney, & Carlisle, 2007; IDC, 2015; Khalil & Waly, 2015; Tan & Ren, 2016)
Resistance to Change	People typically adhere to established ways of doing things that have served them well for years, are familiar with, and appear to perceive that there is no need for improvement, hence disregarding the advantages of e-Tendering. The lack of top management pressure and motivation confirms the reluctance to consider e-Tendering. Moreover, the benefits of e-Tendering exacerbate employee's resistance to change as it would potentially challenge their job security.	(Choen & Lou, 2015; Eadie, 2010; Khalil & Waly, 2015; Oyediran & Akintola, 2011; Tan & Ren, 2016)
Incomplete Technical Coverage on whole E-Tendering process	Potential corruption of documents and data could occur due to myriad issues such as the possibility of failure of the e-Tendering portal, poor telecommunications infrastructure, irregular power supply, inadequate broadband coverage, internal and external system interoperability, especially during tender submission. The new e-Tendering System, from tender advertisements to tender awards, does not cover the entire tendering process.	(Eadie, 2010; Nasrun et al., 2017, 2016; Oyediran & Akintola, 2011; Tan & Ren, 2016)
The disbelief of Reliability on E-Tendering Efficiency	E-Tendering users commonly feel that it is more time-consuming than the established traditional tendering. It will need additional training time to adopt it that requires additional works with no incentives. Apart from the perception of no business benefits gained, a lack of benchmark model in adopting the e-Tendering System as a consistent reference and its quantifiable measurement of success discourages the uptake of the e-Tendering System.	(Choen & Lou, 2015; Eadie, 2010; Kaliannan et al., 2009; Khalil & Waly, 2015; Nasrun et al., 2017, 2016; Tan & Ren, 2016)

By looking at the current application of the e-Tendering System in the construction industry, it is believed that Malaysia lags way behind compared to the UK, China, and

Australia (M. Inzofu, 2016). Therefore, the barriers that hinder the improvement of the e-Tendering system usage in the public sector construction industry in Malaysia, summarized through a literature review as in Table 3.

### The solution to Address Public Sector Problems to Enhance the Use of the Current National E-Tendering System

After reading through the recommendation of solutions on each barrier in improving the National e-Tendering System usage, the proposed solutions are tabulated in Table 4.

**Table 4.** Solution to Overcome Challenges

No	Barriers	Solution	Reference
B1	High initial cost	Value management adoption in developing complete e-Tender System Phasing budget in e-Tendering promotion activities	(Institute of Value Management Australia, 2018; Malaysia Institute of Value Management, 2018) (Panda & Sahu, 2011; Yusoff, Abas, Islam, & Muhd Yusuf, 2011)
B2	Lack of Government Policies and Standard	Establish staging compulsory policy based on project size Establish Incentive-based policy	(Alyahya & Panuwatwanich, 2017; Arasa & Achuora, 2012; Tan & Ren, 2016) (Igari, 2014; Iosifidis, Gao, Huang, & Tassioulas, 2014)
B3	Securities Concern	Instigate an enhanced security policy and standard. Perform regular security and system "health checks."	(Bakari, Tarimo, Yngström, & Magnusson, 2005) (dos Santos Moreira, Fondazzi Martimiano, dos Santos Brandão, & Bernardes, 2008)
B4	Legal Issues	Enforce the existing electronic commerce Acts Training and education	(Act, 2012; Ayub, 2007; Deka, Zain, & Mahanti, n.d.; HJ Ahmad & Othman, 2009) (Arasa & Achuora, 2012; Choen & Lou, 2015; Kajewski et al., 2001; Tan & Ren, 2016)
B5	Resistance to Change	Training and education Staging compulsory enforcement policy based on project size	(Arasa & Achuora, 2012; Choen & Lou, 2015; Kajewski et al., 2001; Tan & Ren, 2016) (Alyahya & Panuwatwanich, 2017; Arasa & Achuora, 2012; Tan & Ren, 2016)(Bin Zakaria, Z, Mohamed Ali, N, Tarmizi Haron, A, Marshall-Ponting, AJ and Abd Hamid & Tittle, 2015; Nov & Ye, 2010; Talke & Heidenreich, 2014)
B6	Incomplete Technical Coverage on whole E-Tendering process	Cooperation between CIDB and third-party e-tender service provider for the system upgrade Hire a third-party e-tender service provider to perform a system upgrade	(Arasa & Achuora, 2012)
B7	The disbelief of Reliability on E-Tendering Efficiency	Introduce quantifiable measure of success Training and education	(Gardenal, 2013; Kauppi, Jones, Ronchi, Raaij, & Brandon-jones, 2013; Vaidya, Guy Callender, Sajeev, & Gao, 2004) (Arasa & Achuora, 2012; Choen & Lou, 2015; Kajewski et al., 2001; Tan & Ren, 2016)

**Table 5.** Description for Each Variable in Solution to Overcome Barriers on Improving Usage of Existing e-Tendering System

Solution	Description
B1-Solution1	Apply a value management approach in upgrading the existing National e-Tendering System to focus more on functionality and securities of the System.
B1-Solution2	Design a series of National e-Tendering promotional activities such as training, discussion forums and implement them in phases with the budget allocated for each phase.
B2-Solution1	Establish E-Tendering application compulsory policies on public sector projects starting from large sum projects then gradually enforce to smaller sum projects.
B2-Solution2	Establish an Incentive-based policy. For example, tenderer who use the National e-Tendering System will get a certain amount of discount on the price for purchasing tender document.
B3-Solution1	Instigate an enhanced security policy and standard that includes system authorization rights.
B3-Solution2	Perform regular security and system "health checks."
B4-Solution1	Enforce the existing Acts regarding electronic commerce.
B4-Solution2	Training and education on legal tenderer's legal rights.
B5-Solution1	Staging training and education for the contractors based on the Contractor grade.
B5-Solution2	Establish E-Tendering application compulsory policies on public sector projects starting from large sum projects and then gradually enforce smaller sum projects.
B6-Solution1	Look for an experienced e-procurement portal service provider to cooperate with the CIDB e-construct team to develop a complete E-Tendering System that extensively covers from tender advertisement till tender awards stage.
B6-Solution2	Hire a third-party e-tender service provider to perform regular system upgrades.
B7-Solution1	Introduce E-procurement Performance Measurement Model (EPPMM) on the contractor that uses the public E-Tendering System to evaluate the impact of E-Tendering usage on organizational benefits to prove the efficacy of the E-Tendering framework.
B7-Solution2	Establish discussion forums and training for different construction players to highlight the advantages of the e-Tendering System.

## RESEARCH METHODOLOGY

The two main methods used for this research are primary data collection and secondary data collection. This particular research's primary data collection is a questionnaire survey, while the secondary data collection is a literature review. The primary literature was sourced from the incredible amount of data and information from the internet. However, to avoid discrepancies, the research hinged foremost to the data collected through the questionnaire survey's primary method. The questionnaire has three (3) sections, where every question in each section is close-ended. The sections are; Section 1 – Demographic Information, Section 2 – National e-Tendering System Usage Improvement Barriers, and Section 3 – Solution to Overcome Barriers on Improving Usage of existing e-Tendering System.

The research is carried out at Klang Valley, a state in Selangor, from September 2018 to May 2019. The targeted respondents are the e-Tendering practitioners from the contract or procurement department in Malaysia's public construction sectors. Therefore, the respondents are selected from the official websites where all the information about targeted respondents are shown from these industrial players; the Construction Industry Development Board (CIDB), Kementerian Perumahan dan Kerajaan Tempatan (KPKT), Public Works Department (PWD), Ministry of Energy, Science, Technology, Environment and Climate Change (MESTECC), Ministry of Agriculture (MOA), Ministry of Defence (MOD), Ministry of Education (MOE), Ministry of Tourism, Arts and Culture (MOTAC), Ministry of Works (MOW) and Kementerian Pembangunan Luar Bandar (KPLB). In order to obtain accurate



data and results, some criteria of the respondents are outlined as follows: (1) The respondents must be from contract or procurement departments that possess e-Tendering System, (2) The respondents must have at least one-year experience in e-Tendering System, and (3) The respondents should be the members of CIDB e-Construct Services as they are the developer of the National e-Tendering System. The total population identified is 363, a significant sampling size where Krejcie and Morgan (1970) recommended the sample size of 186. Simple random sampling was adopted for this research.

Meanwhile, three hundred and one (301) questionnaires were sent to the targeted respondents via email and hand distribution. The questionnaires were distributed to more than the total number of samples necessary to ensure a high response rate. Compared to the sample size required, the response rate is 55.37%, which is equivalent to 103 returned questionnaires. The results obtained from the questionnaire survey were collected and analyzed using the Statistical Package for Social Science (SPSS) version 25.0. The descriptive analysis and Inferential Analysis were chosen to analyze the results.

## **ANALYSIS AND DISCUSSION**

The result of the research will be presented following the objectives that have been decided during the early stage of the research.

### **Barriers for Public Sector to Improve Usage of National e-Tendering System**

This section contains several questions concerning the respondents' opinion on several obstacles to the improvement of the current national e-Tendering System, to identify the importance of these obstacles to the success of e-Tendering. The respondents were requested to rate those barriers based on the level of agreement, from strongly disagree to strongly agree, by using the Likert scale (1-5). The outcome of this section's descriptive analysis is clarified in the table below, where the results can be used to assess the ranking of the public sectors' barriers to improving their usage of the National e-Tendering framework.

As shown in Table 6, the "resistance to change" variable occupied the 1st ranking with the highest mean value of 4.1845. The "resistance to change" describes that people are often clinging to old ways of actions with which they are familiar. Apart from that, the lack of organizational help and worries about replacing jobs with more easily applicable electronic tools also contribute to the factor. Respondents opined that the above concern is the biggest challenge to transition towards the National e-Tendering System usage. The result is followed by "securities concern" and "incomplete technical coverage" by a mean value of 3.5728 and 3.2524, respectively. Securities Issue indicates that the data's disruption and the confidential degree of the data impeded the e-Tendering System's implementation.

In contrast, the incomplete technical coverage of the entire e-Tendering process clarified that the electronic operation's inadequate technical explanations also reduced the optimum use of the e-Tendering System. Based on the results, respondents believe that these barriers contribute more to improving the use of e-Tendering Systems. The fourth ranking, which is "high initial cost," with a mean value of 3.2427, has a minor difference of about 0.01 mean values from the third-ranking variable. On the other hand, the three barriers with a relatively lower mean value, which are "disbelief of reliability on e-Tendering effectiveness," "legal

issues" and "lack of government policies and standards," had placed at 5th, sixth and seventh ranking with the mean value of 2.8738, 2.7184, and 2.2427 respectively. This result coincided with Kajewski's (2014) research where he pointed out that the employment resistance, securities of tender transmission, and ethnic issues are among the barriers that need to be resolved for a more successful e-Tendering implementation.

**Table 6.** Barriers for Public Sector to Improve Usage of National e-Tendering System

National E-Tendering System Usage Improvement Barriers	Level of Agree					Mean	Median	Standard Deviation	Rank
	Strongly disagree	Disagree	Neutral	Agree	Strongly agree				
B2-Lack of government policies and standards	39	13	39 <sup>a</sup>	13	0	2.2427 <sup>c</sup>	2.00	1.09782	7
B4- legal issues	6	28	58 <sup>a</sup>	11	0	2.7184	3.00	.73321	6
B7-Disbelief of reliability on e-Tendering Effectiveness	13	26	25	36 <sup>a</sup>	0	2.8738	3.00	1.06347	5
B1-High initial cost	0	26	39 <sup>a</sup>	25	13	3.2427	3.00	.97484	4
B6-Incomplete technical coverage	0	26	25	52 <sup>a</sup>	0	3.2524	4.00	.83688	3
B3-Securities concern	4	4	39	41 <sup>a</sup>	15	3.5728	4.00	.92463	2
B5-Resistance to change	0	0	7	70 <sup>a</sup>	26	4.1845 <sup>b</sup>	4.00	.53774	1

Note: a. Likert item with the highest frequency  
 b. Variable with the highest mean value  
 c. Variable with the lowest mean value

### The Solution to Overcome Barriers on Improving Usage of Existing e-Tendering System

This section discusses the respondents’ opinion on the possible solution to overcome the barriers to improving the current use of the e-Tendering System. The result of the descriptive analysis of the solutions decided the primary and secondary solution for each barrier. The respondents were requested to rate those solutions based on the level of effectiveness, from very ineffective to very effective, by using the Likert scale (1-5).

Discussion of the result is based on the top three most influential barriers. The solution to the most significant barrier, which is 'resistance to change' (B5), is to 'establish the e-Tendering application as compulsory policies on the public sector projects starting from large sum projects then gradually enforce to smaller sum projects.' This factor was ranked as the highest solution, followed by ‘staging training and education for the contractors based on contractor’s grade.’ This result is in line with Talke & Heidenreich (2014) and Nov & Ye (2010), who found that compulsory policy is proven effective in overcoming the initial resistance in making changes.

**Table 7.** Descriptive Analysis for Solution to Overcome Barriers on Improving Usage of Existing e-Tendering System

Solution	Level of Effectiveness					Mean	Median	Standard Deviation	Rank
	Very ineffective	Ineffective	Neutral	Effective	Very Effective				
Barrier 1									
B1Solution1	0	0	26	64	13	3.8738	4.00	0.6052	1
B1Solution2	0	13	23	44	23	3.7476	4.00	0.9468	2
Barrier 2									
B2Solution1	0	26	26	25	26	3.4951	3.00	1.1279	1
B2Solution2	13	26	51	13	0	2.6214	3.00	0.8645	2
Barrier 3									
B3Solution1	0	0	29	52	22	3.9320	4.00	0.7038	1
B3Solution2	0	19	27	43	14	3.5049	4.00	0.9484	2
Barrier 4									
B4Solution1	0	0	39	39	26	3.8739	4.00	0.7881	1
B4Solution2	0	0	52	25	26	3.7476	3.00	0.8369	2
Barrier 5									
B5Solution1	0	0	38	52	13	3.7573	4.00	0.6637	2
B5Solution2	0	0	0	77	26	4.2524	4.00	0.4365	1
Barrier 6									
B6Solution1	0	26	38	26	13	3.2524	3.00	0.9774	2
B6Solution2	0	0	63	24	16	3.5437	3.00	0.7512	1
Barrier 7									
B7Solution1	0	11	28	38	26	3.7670	4.00	0.9518	2
B7Solution2	0	1	31	49	22	3.8932	4.00	0.7398	1

The second most influential barrier is 'securities concerns' (B3). To address this barrier, respondents suggested a need to 'instigate an enhanced security policy and standards that include system authorization rights,' which is being ranked at No. 1. Furthermore, the need for 'perform regular security and system's health checks' was ranked as No. 2 in B3 solution for security measures. This result supports the findings by Bakari, Tarimo, Yngström, & Magnusson (2005), where non -existence of security policy system will lead to data loss, critical information leakage, reputation damages, and financial losses.

The 'incomplete technical coverage' (B6) is the third most significant barrier where the highest solution agreed is 'hiring third-party e-tender service providers to update the system.' The second highest is 'searching for experienced e-procurement portal service providers to work with the CIDB e-construction team to establish full e-Tendering system coverage from tender advertisement till tender awards stage.'

### Statistical Significance of the Variables

A one-sample t-test is carried out to test whether each variable's mean value differs significantly from the neutral response of 3.00 value. When testing uses the t-test against the 3.00 (neutral) value, H<sub>0</sub>, the test's null hypothesis assumes that the 3.00 differences between the true mean,  $\mu$ , and the expected mean are equal to zero. Since this test aims to find out whether the variable is neutral or not, two tails test is used. Therefore, H<sub>1</sub>, the test's alternative hypothesis, will assume the differences between the true mean,  $\mu$ , and the expected mean, 3.00, does not equal zero. In SPSS, the p-value is denoted as Sig (2-tailed). Deciding whether

to accept the null hypothesis, a cut-off value is set. Typically, the cut-off value,  $\alpha$ , will be 0.05, which means there is a 5% chance to get the result in the null hypothesis. In this research, the cut-off value,  $\alpha$  of 0.05 is used; therefore, the p-value of whichever variables is less than 0.05 will indicate that the null hypothesis for that variable will not be accepted.

**Table 8.** One-Sample t-Test for National e-Tendering System Usage Improvement Barriers

	Test Value = 3					
	t	df	Sig. (2-tailed)	Mean Difference	95% Confidence Interval of the Difference	
					Lower	Upper
Cost	2.527	102	.013	.24272	.0522	.4332
Policies	-7.001	102	.000	-.75728	-.9718	-.5427
Securities	6.287	102	.000	.57282	.3921	.7535
Legal	-3.897	102	.000	-.28155	-.4249	-.1383
Resistance	22.355	102	.000	1.18447	1.0794	1.2896
Technical	3.061	102	.003	.25243	.0889	.4160
Effectiveness	-1.204	102	.231	-.12621	-.3341	.0816

The result of one sample t-test for each variable for barriers to the use of the National e-Tendering System shows that only "disbelief of reliability on the effectiveness of the e-Tendering system" has a p-value exceeding the 0.05  $\alpha$  value. This value accepted the null hypothesis ( $\mu = 3.00$ ) and indicates that this variable has a neutral response. On the other hand, the other six variables do not accept the null hypothesis; therefore, the alternative hypothesis ( $\mu \neq 3.00$ ) is accepted, indicates that these six variables are not neutral responses.

**Table 9.** One-Sample t-Test for Solution to Overcome Barriers on improving usage of existing E-Tendering System

	Test Value = 3					
	t	df	Sig. (2-tailed)	Mean Difference	95% Confidence Interval of the Difference	
					Lower	Upper
B1Solution1	14.653	102	.000	.87379	.7555	.9921
B1Solution2	8.013	102	.000	.74757	.5625	.9326
B2Solution1	4.456	102	.000	.49515	.2747	.7156
B2Solution2	-4.445	102	.000	-.37864	-.5476	-.2097
B3Solution1	13.440	102	.000	.93204	.7945	1.0696
B3Solution2	5.402	102	.000	.50485	.3195	.6902
B4Solution1	11.252	102	.000	.87379	.7198	1.0278
B4Solution2	9.066	102	.000	.74757	.5840	.9111
B5Solution1	11.580	102	.000	.75728	.6276	.8870
B5Solution2	29.118	102	.000	1.25243	1.1671	1.3377
B6Solution1	2.621	102	.010	.25243	.0614	.4434
B6Solution2	7.346	102	.000	.54369	.3969	.6905
B7Solution1	8.178	102	.000	.76699	.5810	.9530
B7Solution2	12.253	102	.000	.89320	.7486	1.0378

While the outcome of one sample t-test for each variable in solution to overcome barriers to improving the use of the current e-Tendering System shows that none of them have a p-value greater than 0.05. Also, 13 of them have a p-value  $< 0.001$ , meaning that their mean values differ significantly from neutral.

## Development of Usage Improvement Model for Existing National e-Tendering System

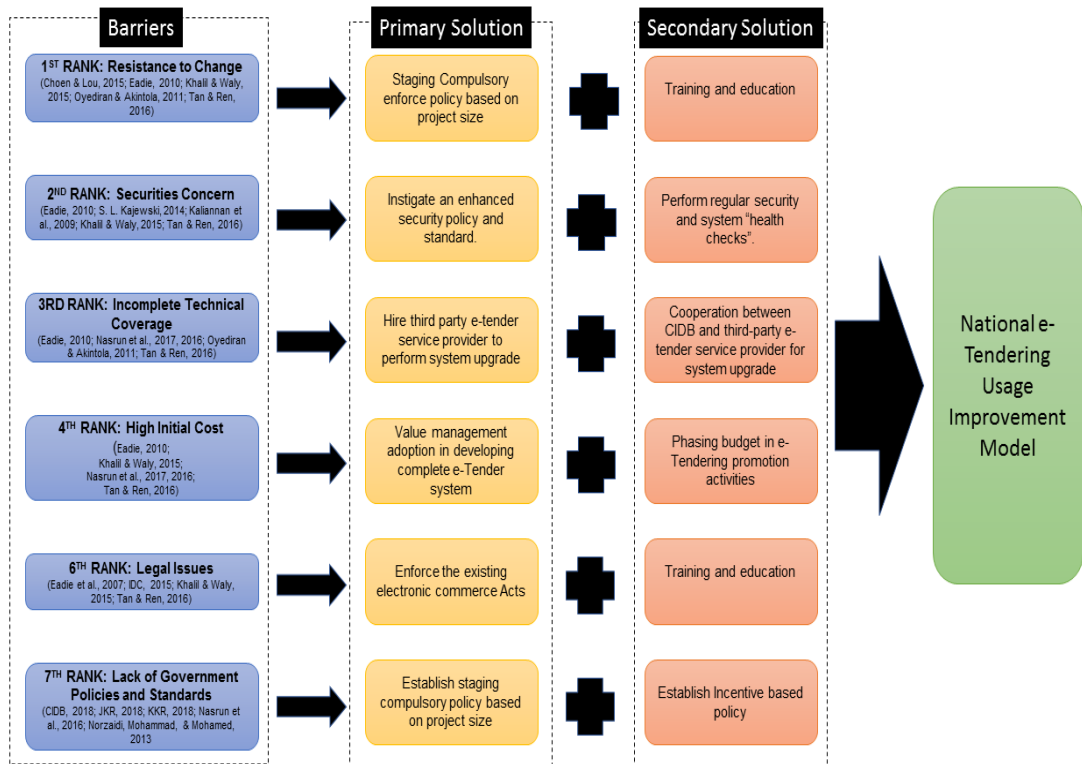


Figure 1. Final Usage Improvement Model for Existing National e-Tendering System

## CONCLUSION

In conclusion, in tandem with the development of Industry 4.0, the construction industry is progressing towards the world of digitalization. The movement of business mode from traditional methods towards modern ways to aid information technology creates higher working efficiency. Therefore, the efficacy of the procurement process will impact the success of the specific construction project. Nonetheless, in terms of the purpose and implementation, the e-Tendering System available in Malaysia's public construction sector is still relatively limited. There is a need to expand the National e-Tendering System's use while preparing the industry to comply with Industry 4.0 and to meet future BIM procurement requirements. This study explored the most recent opinions on the existing barriers and solutions to the development of the National e-Tendering System usage in the construction sector from the public sector's point of view. The improvement model proposed in this research will enable the public sector to prepare for the current national e-Tendering System upgrading for optimum usage in the future. Finally, this research can inform Malaysia's construction industry on the importance of improving e-Tendering use, particularly in the period of Industry 4.0.

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